

Brandon A. Steele

From: Ferrato, Margaret (Whitehouse) (b) (6)
Sent: Thursday, January 12, 2017 2:49 PM
To: Jennifer Matis
Cc: Gaeta, Joe (Whitehouse)
Subject: Letter for Mr. Walter Shaub, Director, OGE
Attachments: 2017-01-12_OGE_Shaub.pdf; 2017-01-12_EPA_Minoli.pdf Available in electronic reading room

Hello Jennifer,

Attached is a letter to Mr. Walter Shaub, Director of OGE from Senator Sheldon Whitehouse and other members of the Senate Committee on Environment and Public Works. Also attached is a letter that was sent today to Mr. Kevin Minoli, Designated Agency Ethics Official at EPA, which should be forwarded to Mr. Shaub as well.

The letters are currently being circulated among Senate offices for signatures, but as the letter contains a time sensitive request, we wanted to get it to OGE as soon as possible. Please let me know if there is a better way to get this letter to the intended recipient. Feel free to reach out if you have any questions or concerns.

All the best,
Maggie

Brandon A. Steele

From: Mayer, Deborah (Ethics) (b) (6)
Sent: Monday, January 02, 2017 5:39 PM
To: Shelley K. Finlayson
Subject: Information/Document Management System

Shelley,

I hope you were able to take some time off over the holidays. I'm back in the office and am looking at 2017, and am reaching out about information/document management. I am beginning the processes of looking in to options to update/upgrade our information/document management system. Because there are similarities across our offices in terms of work product and outputs I was wondering if you might have some time to discuss the system your office uses to manage your workflow and documents, and your experience with that system. Or, if there is someone else in your office or one of the components you work with who you think may be able to better provide insight into the benefits and drawbacks of the system you currently use, I would welcome the opportunity to meet with them and discuss their experience and feedback.

Let me know if you have some time to meet and discuss.

Thanks,
DSM

Deborah Sue Mayer
Chief Counsel and Staff Director
Select Committee on Ethics
United States Senate

(b) (6)

The guidance provided in this email is based upon the specific facts presented to the Committee and even a slight change in the facts could alter the guidance provided. If this email does not correctly recite the facts, contact the Committee immediately. Furthermore, the guidance provided in this email is intended only for the use of the recipient. The Committee does not authorize any use of this email beyond its intended purpose. This email contains confidential information and access to this email by anyone other than the intended recipient is unauthorized. If you are not the intended recipient, you may not use, copy, distribute or deliver this message, or any of its contents, or take any action in reliance on it.

Brandon A. Steele

From: Director of OGE
Sent: Monday, January 16, 2017 11:08 PM
To: Jennifer Hemingway, Jon Skladany, Krista Boyd
Cc: Director of OGE; Shelley K. Finlayson; Walter M. Shaub; Matthew A. Marinec
Subject: Letter from OGE Director Walter M. Shaub, Jr. dated January 16, 2017
Attachments: 16 January 2017 letter from OGE Director W Shaub Jr.pdf
Attachment publicly available on OGE.gov under Congressional
Correspondence section
Please find the attached letter from OGE Director Walter M. Shaub, Jr. The original will follow by regular mail.

From: [Diana Veilleux](#)
To: [Brandon A. Steele](#)
Subject: FOIA Request
Date: Monday, February 13, 2017 6:03:46 PM
Attachments: [image002.png](#)
[2017-01-12_JEC to Shaub-OGE - Transcribed Inverview.pdf](#)

Diana J. Veilleux

Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

Visit OGE's website at: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: Casey, Sharon [mailto:(b) (6)]
Sent: Thursday, January 12, 2017 5:58 PM
To: Diana Veilleux
Cc: Thorlin, Jack; Bailey, Katie; McKenna, Liam
Subject: Letter to Director Shaub OGE re Transcribed Inverview

Attached please find a letter from Chairman Chaffetz of the U.S. House of Representatives Committee on Oversight and Government Reform. Please note the letter requests a response by January 31, 2017.

Please acknowledge receipt of this letter.

Thank you,
Sharon Casey



Sharon Ryan Casey
Deputy Chief Clerk
Committee on Oversight and Government Reform
2157 Rayburn Building, Washington, DC 20515
(b) (6)

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN 09 2017

The Honorable Ron Johnson
Chairman
Committee on Homeland Security
and Governmental Affairs
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by John F. Kelly. President-Elect Trump has announced his intention to nominate Mr. Kelly for the position of Secretary, Department of Homeland Security.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

A handwritten signature in blue ink, appearing to read "Walter M. Shaub, Jr." with a stylized flourish at the end.

Walter M. Shaub, Jr.
Director

Enclosures

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN 05 2017

The Honorable John McCain
Chairman
Committee on Armed Services
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by James N. Mattis. President-Elect Trump has announced his intention to nominate Mr. Mattis for the position of Secretary, Department of Defense.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

A handwritten signature in blue ink that reads "Walter M. Shaub, Jr." with a stylized flourish at the end.

Walter M. Shaub, Jr.
Director

Enclosures

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



January 17, 2017

The Honorable James E. Risch
Chairman
Committee on Small Business
and Entrepreneurship
United States Senate
Washington, DC 20510

Dear Mr. Chairman:


In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Linda E. McMahon. President-Elect Trump has announced his intention to nominate Ms. McMahon for the position of Administrator, Small Business Administration.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,
**WALTER
SHAUB**

Walter M. Shaub, Jr.
Director

 Digitally signed by WALTER SHAUB
DN c=US, o=U.S. Government, ou=Office of
Government Ethics, cn=WALTER SHAUB,
0.9.2342.19200300.100.1.1=95491000364655
Date 2017.01.17 11 43 29 -05 00'

Enclosures



Brandon A. Steele

From: Teresa L. Williamson
Sent: Thursday, January 19, 2017 8:59 PM
To: 'Shek, Chung (HELP Committee)'
Cc: Deborah J. Bortot; Sandra S. Mabry; Rodrick T. Johnson; Heather A. Jones; (b) (6)
Subject: Public Financial Disclosure Report of Elisabeth P. "Betsy" DeVos
Attachments: DeVos, Elisabeth P. finalEA.pdf; DeVos, Elisabeth P. final278.pdf; DeVos, Elisabeth P. finalsenate1tr.pdf

Good Evening,

I've attached the financial disclosure package for Elisabeth P. "Betsy" DeVos. President-Elect Trump has announced his intention to nominate Ms. DeVos for the position of Secretary, Department of Education.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

Brandon A. Steele

From: News and Info for Ethics Officials <oge-ethicsinfo@LISTSERV.GSA.GOV> on behalf of Ethics Mailinglist <Ethics_mailinglist@OGE.GOV>
Sent: Monday, January 09, 2017 2:38 PM
To: OGE-ETHICSINFO@LISTSERV.GSA.GOV
Subject: OGE Issues a Legal Advisory Discussing the Effect of Pay Adjustments on Ethics Provisions for Calendar Year 2017

OGE Issues a Legal Advisory Discussing the Effect of Pay Adjustments on Ethics Provisions for Calendar Year 2017

On January 6, 2017, the U.S. Office of Government Ethics (OGE) issued a legal advisory updating three statutory pay-level thresholds for certain purposes under the Ethics in Government Act and 18 U.S.C. § 207(c). The Legal Advisory identifies the 2017 thresholds for: (1) determining which senior employees are subject to the post-employment restrictions; (2) identifying which officers and employees must file public financial disclosure reports; and (3) implementing the outside employment and outside earned income restrictions for certain covered noncareer employees.

For more information, please see Legal Advisory LA-17-01, dated January 5, 2017, [https://www2.oge.gov/web/oge.nsf/Legal%20Advisories/02E2A7AC2C45184A852580A00050FABD/\\$FILE/LA-17-01%20Pay%20Adjustments%20for%20CY%202017%20\(FINAL\).pdf?open](https://www2.oge.gov/web/oge.nsf/Legal%20Advisories/02E2A7AC2C45184A852580A00050FABD/$FILE/LA-17-01%20Pay%20Adjustments%20for%20CY%202017%20(FINAL).pdf?open)

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Brandon A. Steele

From: Thomas, Katie (Sanders) (b) (6)
Sent: Monday, January 09, 2017 8:57 PM
To: Jennifer Matis
Cc: Akerlof, Karen (Sanders); Elizabeth D. Horton
Subject: Re: Conference call with EPW member staffers and OGE

Hi again,

Thank you very much for this offer. There are several offices who are interested in both questions. Are you free 3-4 Thursday? We could do oge ethics overview at 3 and the call re the ethics agreement at 330?

Thanks again,

Katie Thomas
Policy Advisor for Energy and Environment
Senator Bernie Sanders
332 Dirksen Senate Office Building
(b) (6)

Sent from my phone, so please excuse any errors.

On Jan 9, 2017, at 5:42 PM, Jennifer Matis <jmatis@oge.gov> wrote:

Hi,

It would be best to split the two issues into two separate calls. We have someone from our Presidential Nominations Branch that can do a general overview of the process. My colleague Liz, who I included on this email, will join her on that call. Is Wednesday at 2 pm good for you for that? We could also find other times on Wednesday or Thursday if that doesn't work for you.

With regard to the questions about ethics agreements, the head of our Ethics Law and Policy Branch can assist you. I will join him on that call. He is available on Thursday any time before 4 (meaning the call would have to end by 4). Is there a time on Thursday morning or early afternoon that would work for you for that call?

Thanks,

Jen

Jennifer Matis
Assistant Counsel
Legal, External Affairs and Performance Branch
U.S. Office of Government Ethics
202-482-9216

jennifer.matis@oge.gov

Visit OGE's website: www.oge.gov

Follow OGE on Twitter: [@OfficeGovEthics](https://twitter.com/OfficeGovEthics)

From: Thomas, Katie (Sanders) [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Monday, January 09, 2017 3:17 PM
To: Jennifer Matis
Cc: Akerlof, Karen (Sanders)
Subject: Conference call with EPW member staffers and OGE
Ms. Matis,

I got to speak with a few other offices regarding Pruitt's Ethics agreement. I am now hoping that we can find a time this week to do a more general briefing on the OGE process for nominees because the briefing I went to was a bad time for others. Is that possible? I would like to do that *in addition* to discussing what possible tools Senators have for asking for additional OGE review or a change to the ethics agreement.

Please let me know if that is possible. I am very open Wednesday and Thursday.

Thanks

KT

Katie Thomas

Policy Advisor for Energy and Environment

Senator Bernie Sanders

332 Dirksen Senate Office Building

(b) (6)

Visit Senator Sanders' Online Resources:

[Website](#) | [Facebook](#) | [Twitter](#) | [You Tube](#) | [Bernie Buzz](#)

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Brandon A. Steele

From: Thomas, Katie (Sanders) (b) (6)
Sent: Thursday, January 12, 2017 2:01 PM
To: Elizabeth D. Horton; Jennifer Matis
Cc: Akerlof, Karen (Sanders)
Subject: RE: Conference call with EPW member staffers and OGE

Hi All,

So, as a reminder, **Here's the 3 – 4pm call in number:**

[Local:](#) (b) (6) (US) English (United States)

Conference ID (b) (6)

And, so far I have gotten RSVP's from:

Name Attendance Response

Thomas, Katie (Sanders) Meeting Organizer None
Frede, Shannon (Cardin) Required Attendee Accepted
Bakalov, Kalina (Duckworth) Optional Attendee None
Baugh, Jordan (Gillibrand) Optional Attendee Accepted
Deveny, Adrian (Merkley) Optional Attendee None
Batkin, Gabrielle (EPW) Optional Attendee None
Freedhoff, Michal (Markey) Optional Attendee None
Unruh Cohen, Ana (Markey) Optional Attendee None
Enderle, Emily (Whitehouse) Optional Attendee None
Gaeta, Joe (Whitehouse) Optional Attendee None
Zipkin, Adam (Booker) Optional Attendee None
Rhodeside, Benjamin (Duckworth) Optional Attendee None
Odom, Clint (Harris) Optional Attendee Tentative
Ingram, Amir (Carper) Optional Attendee None
Spence, Drew (Harris) Optional Attendee Accepted
Gillam, Laura Haynes (Carper) Optional Attendee None
Akerlof, Karen (Sanders) Optional Attendee Accepted
Moritsugu, Erika (Duckworth) Optional Attendee None
Repko, Mary Frances Required Attendee Accepted
Batkin, Gabrielle (EPW) Required Attendee None
Stevens, Mae (Cardin) Required Attendee Accepted
Sheridan, Blaise (Franken) Required Attendee None
Look, Wesley (Wyden) Required Attendee None
Thomson, Matt (Booker) Optional Attendee None
Tulou, Christophe (EPW) Optional Attendee None

From: Elizabeth D. Horton [mailto:edhorton@oge.gov]
Sent: Tuesday, January 10, 2017 11:36 AM
To: Thomas, Katie (Sanders) ; Jennifer Matis
Cc: Akerlof, Karen (Sanders)
Subject: RE: Conference call with EPW member staffers and OGE

Good morning Ms. Thomas.

I have confirmed with our colleagues that they are available on Thursday, January 12 from 3:00 to 4:00.

What telephone number should we call for the conference call?

From: Thomas, Katie (Sanders) [[mailto:\(b\) \(6\)](#)]
Sent: Monday, January 09, 2017 8:57 PM
To: Jennifer Matis
Cc: Akerlof, Karen (Sanders); Elizabeth D. Horton
Subject: Re: Conference call with EPW member staffers and OGE

Hi again,

Thank you very much for this offer. There are several offices who are interested in both questions. Are you free 3-4 Thursday? We could do oge ethics overview at 3 and the call re the ethics agreement at 330?

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Katie Thomas
Policy Advisor for Energy and Environment
Senator Bernie Sanders
332 Dirksen Senate Office Building
(b) (6)

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Thanks,
Jen

Jennifer Matis
Assistant Counsel
Legal, External Affairs and Performance Branch
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

Visit OGE's website: www.oge.gov

From: Thomas, Katie (Sanders) [[mailto:\(b\) \(6\)](#)]
Sent: Monday, January 09, 2017 3:17 PM
To: Jennifer Matis
Cc: Akerlof, Karen (Sanders)
Subject: Conference call with EPW member staffers and OGE

Ms. Matis,

I got to speak with a few other offices regarding Pruitt's Ethics agreement. I am now hoping that we can find a time this week to do a more general briefing on the OGE process for nominees because the briefing I went to was a bad time for others. Is that possible? I would like to do that *in addition* to discussing what possible tools Senators have for asking for additional OGE review or a change to the ethics agreement.

Please let me know if that is possible. I am very open Wednesday and Thursday.

Thanks
KT

Katie Thomas
Policy Advisor for Energy and Environment
Senator Bernie Sanders
332 Dirksen Senate Office Building
(b) (6)

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Brandon A. Steele

From: Hemingway, Jennifer (b) (6)
Sent: Saturday, January 14, 2017 1:18 PM
To: Shelley K. Finlayson
Subject: Re: Questions

Hi Shelley,

I'm happy to help. My cell is (b) (6) . .

Should we connect today? (b) (6) .

Jennifer

Sent from my iPhone

On Jan 14, 2017, at 1:14 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Hi Jennifer -

I'm spoke to Krista Boyd of Ranking Member Cummings' staff yesterday and have some questions about the details she relayed. Are you the correct point of contact for Chairman Chaffetz? If not, can you please put me in touch with the correct POC?

Thanks,
Shelley

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Brandon A. Steele

From: Teresa L. Williamson
Sent: Wednesday, January 11, 2017 8:04 PM
To: Josh Levasseur
Cc: Deborah J. Bortot; Sandra S. Mabry; Rodrick T. Johnson; Heather A. Jones; (b) (6) Anne Donaldson
Subject: Public Financial Disclosure Report of Thomas E. Price
Attachments: Price, Thomas E. finalsenateltr.pdf; Price, Thomas E. final278.pdf; Price, Thomas E. finalEA.pdf

Good Evening,

I've attached the financial disclosure package for Thomas E. Price. President-Elect Trump has announced his intention to nominate Dr. Price for the position of Secretary, Department of Health and Human Services.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

Brandon A. Steele

From: Kelsey D. Phipps
Sent: Tuesday, December 13, 2016 3:44 PM
To: 'Greengrass, David'; 'Bond, Slade'; 'Hiller, Aaron'
Cc: Jennifer Matis
Subject: RE: Responding to your call
Attachments: R44083.pdf

Good afternoon Slade, Aaron, and David:

I'm writing to follow up with some information on several outstanding items on which you had requested information.

Regarding the procedures for removal of the Director of OGE, I would direct you to the attached CRS report, which states that the Director serves at the pleasure of the President.

Regarding your CRS memorandum on conflicts of interest provisions that may apply to the President, OGE has reviewed the memorandum for ethics statutes that fall under our purview and finds it to be comprehensive of them.

If you have any further questions, please reach out to me or Jen Matis.

Best,

Kelsey

Kelsey D. Phipps
Assistant Counsel
Office of Government Ethics
202-482-9318

From: Kelsey D. Phipps
Sent: Monday, December 05, 2016 2:51 PM
To: 'Greengrass, David'; Bond, Slade; Hiller, Aaron
Cc: Jennifer Matis
Subject: RE: Responding to your call

Good afternoon Slade, Aaron, and David:

Following up on our conversation from last week, we are providing links to documents from the OGE website that Jen Matis mentioned as potential resources you might be interested in. Also included is the statement released by OGE last week with regard to the Tweets.

I hope this information is helpful as we continue to look into your broader inquiries.

Best,

Kelsey

Kelsey D. Phipps
Assistant Counsel
Office of Government Ethics
202-482-9318

Compilation of Federal Ethics Laws (we update this after each Congress ends, so last updated in 2015):
<https://www.oge.gov/Web/oge.nsf/Resources/OGE+Publishes+Updated+Compilation+of+Federal+Ethics+Laws>

Director's note on changes to gift rules in part 2635 subpart B (no advisory published yet):
<https://www.oge.gov/web/oge.nsf/Director's%20Notes/CD041295FB43740F8525806F005DA97F?opendocument>

Director's note on changes to requirements for Executive Branch Ethics Programs in part 2638:
<https://www.oge.gov/web/oge.nsf/Director's%20Notes/643CEEDF64COC7B48525806000485A99?opendocument>

Legal advisory just on the education requirement changes to 2638:
[https://www.oge.gov/web/oge.nsf/Legal%20Advisories/6356FBD6F927B1EE85258067007155C8/\\$FILE/LA-16-09.pdf?open](https://www.oge.gov/web/oge.nsf/Legal%20Advisories/6356FBD6F927B1EE85258067007155C8/$FILE/LA-16-09.pdf?open)

LA-16-06: Publication of the Final Rule Revising Subpart F of the Standards of Conduct, Seeking Other Employment:
[https://www.oge.gov/web/oge.nsf/Legal%20Advisories/138BAF7F3F0B0AA48525800400491785/\\$FILE/LA-16-06.pdf?open](https://www.oge.gov/web/oge.nsf/Legal%20Advisories/138BAF7F3F0B0AA48525800400491785/$FILE/LA-16-06.pdf?open)

PA-16-09: Updated Procedures for Notifying the Office of Government Ethics of Conflict of Interest Referrals to the Department of Justice:
[https://www.oge.gov/web/oge.nsf/Program%20Management%20Advisories/137844E780B223C985258060004A87BB/\\$FILE/Program%20Advisory%20PA-16-09.pdf?open](https://www.oge.gov/web/oge.nsf/Program%20Management%20Advisories/137844E780B223C985258060004A87BB/$FILE/Program%20Advisory%20PA-16-09.pdf?open)

Like everyone else, we were excited this morning to read the President-elect's twitter feed indicating that he wants to be free of conflicts of interest. OGE applauds that goal, which is consistent with an opinion OGE issued in 1983. Divestiture resolves conflicts of interest in a way that transferring control does not. We don't know the details of their plan, but we are willing and eager to help them with it.

The tweets that OGE posted today were responding only to the public statement that the President-elect made on his Twitter feed about his plans regarding conflicts of interest. OGE's tweets were not based on any information about the President-elect's plans beyond what was shared on his Twitter feed. OGE is non-partisan and does not endorse any individual.

<https://twitter.com/OfficeGovEthics>

Seth Jaffe
OGE Spokesperson

Brandon A. Steele

From: Fuller, Thomas (Budget) (b) (6)
Sent: Tuesday, January 17, 2017 4:20 PM
To: Deborah J. Bortot
Cc: Heather A. Jones; Teresa L. Williamson
Subject: RE: Public Financial Disclosure Report John Michael Mulvaney

Thank you so much.

Thomas

From: Deborah J. Bortot [<mailto:djbortot@oge.gov>]
Sent: Tuesday, January 17, 2017 4:17 PM
To: Fuller, Thomas (Budget)
Cc: Heather A. Jones ; Teresa L. Williamson
Subject: FW: Public Financial Disclosure Report John Michael Mulvaney

From: Deborah J. Bortot
Sent: Saturday, January 14, 2017 3:31 PM
To: Adam Kamp
Cc: Yasaman Sutton; Teresa L. Williamson; Rodrick T. Johnson; Heather A. Jones; 'Ann M Donaldson'; Sandra S. Mabry; Emory A. Rounds III; David J. Apol
Subject: Public Financial Disclosure Report John Michael Mulvaney

Good Afternoon,

I've attached the financial disclosure package for John Michael Mulvaney. President-Elect Trump has announced his intention to nominate Representative Mulvaney for the position of Director, Office of Management and Budget.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Deborah J. Bortot
Chief, Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Telephone: (202) 482-9227
Facsimile: (202) 482-9237

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Brandon A. Steele

From: Skladany, Jon (b) (6)
Sent: Wednesday, January 18, 2017 8:00 AM
To: Shelley K. Finlayson
Subject: Re: Questions

I'll call you. Thanks Shelley.

On Jan 18, 2017, at 7:36 AM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Yes. Do you want me to call your number or will you call mine (202) 482-9314?

From: Skladany, Jon [[mailto:\(b\) \(6\)](mailto:(b) (6)@oge.gov)]
Sent: Wednesday, January 18, 2017 7:32 AM
To: Shelley K. Finlayson
Subject: Re: Questions
Yes, thank you. Do you have time at 12:30?

On Jan 18, 2017, at 7:26 AM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Hi Jon –
Thanks for following up. Are you available for a call today to discuss in further detail the topics to be covered at the meeting? If so, what time would work best for you?
Thanks,
Shelley

From: Skladany, Jon [[mailto:\(b\) \(6\)](mailto:(b) (6)@oge.gov)]
Sent: Tuesday, January 17, 2017 11:13 PM
To: Shelley K. Finlayson
Cc: Hemingway, Jennifer; Boyd, Krista
Subject: Re: Questions

Hi Shelley,
The Chairman appreciates that the Director will meet and answer his questions on January 23 at 4:00 and that a transcribed interview will not be necessary. We will be in touch re: the location of the meeting as soon as we reserve a room.
Thanks,
Jon

On Jan 16, 2017, at 9:43 AM, Skladany, Jon (b) (6) wrote:

Hi Shelley,
The Chairman is not able to accommodate the Director's request for a public event at that time. Please let us know if the Director will meet with the Chairman and Ranking Member under the concept that you described below.
Thanks,
Jon

On Jan 15, 2017, at 2:40 PM, Shelley K. Finlayson
<skfinlay@oge.gov> wrote:

All -

Thanks for the call. As we discussed, the Director is agreeing to the date and time originally offered (January 23 at 4 pm) for a meeting as described below; however, he is requesting that the meeting be open to the public. He is not refusing to attend if it is not open to the public, but is requesting that it be open to the public.

Thanks again,

Shelley

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Hemingway, Jennifer
Sent: Sunday, January 15, 2017 1:30 PM
To: Skladany, Jon; Shelley K. Finlayson
Cc: Boyd, Krista
Subject: RE: Questions

Me, too. I just sent a revised calendar invitation so folks would have it handy.

From: Skladany, Jon
Sent: Sunday, January 15, 2017 1:29 PM
To: Shelley K. Finlayson
Cc: Hemingway, Jennifer; Boyd, Krista
Subject: Re: Questions
I'm available at 2:00

On Jan 15, 2017, at 1:24 PM, Shelley K. Finlayson
<skfinlay@oge.gov> wrote:

All -

I have spoken to the Director so we can go forward with the 2pm call if everyone is still free.

Shelley

From: Hemingway, Jennifer
Sent: Sunday, January 15, 2017 11:07 AM
To: Shelley K. Finlayson
Cc: Boyd, Krista; Skladany, Jon
Subject: Re: Questions

Hi Shelley,

Let's wait until you have a better sense of times that work well for Director Shaub. We can then look to schedule our call.

Sincerely,

Jennifer

Sent from my iPhone

On Jan 15, 2017, at 8:44 AM,
Shelley K. Finlayson
<skfinlay@oge.gov> wrote:

Yes, I can do 2, but cannot be assured that I will have connected with the Director to have alternative dates by then, so a later time might be preferable. I am available this afternoon/evening and tomorrow.

Shelley
Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Boyd, Krista
Sent: Saturday, January 14, 2017 9:54 PM
To: Hemingway, Jennifer
Cc: Shelley K. Finlayson; Skladany, Jon
Subject: Re: Questions

Yes, I can do a call at 2 p.m. tomorrow or any time on Monday.

On Jan 14, 2017, at 8:19 PM,
Hemingway, Jennifer

(b) (6)

wrote:

Hi Shelley,
Thanks for your email, and for reaching out to Director Shaub. We will let the Chairman know that staff level discussions continue,

which he will appreciate.

Re a staff call, Jon and I should be available almost anytime. Could we plan for Sunday (tomorrow), perhaps at 2 pm? We can circulate a conference line. Krista are you free?

If we are looking at dates other than Jan 23, there is a possibility the meeting may last beyond 90 minutes. We can discuss this during our call as we confirm the specifics of the meeting in response to the Chairman's request.

It would also be helpful to know what other dates are possible if Director Shaub has a conflict on Jan 23.

Sincerely,
Jennifer

Sent from my iPhone

On Jan 14, 2017, at 7:09 PM, Shelley K.

Finlayson
<skfinlay@oge.gov>

wrote:

Hi
Jennife
r -
Thanks
for the
call
today.
I spoke
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OGE's
Directo

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rization. The meeting will not be under oath. The requested meeting date and time is Monday, January 23 from 4-5:30 pm. In addition, there will be a staff-level conference call with HOGR majority and minority staff and OGE staff no later than Tuesday to ensure that we all have the same understanding.

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of the
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Please
let me
know
best
days
and
times
for the
staff
level
call.
Thanks
,
Shelley

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Brandon A. Steele

From: Eden, Kathryn (SBC) (b) (6)
Sent: Tuesday, January 17, 2017 12:13 PM
To: Teresa L. Williamson
Cc: Deborah J. Bortot; Sandra S. Mabry; Rodrick T. Johnson; Heather A. Jones; (b) (6) Ann Donaldson
Subject: RE: Public Financial Disclosure Report of Linda E. McMahon

Hi Teresa,

Confirming receipt. Thank you very much.

Sincerely,

Kathryn

Kathryn Fulton Eden
Chief Clerk
Senate Committee on Small Business and Entrepreneurship
428A Russell Senate Office Building

(b) (6)

(b) (6)

www.sbc.senate.gov

From: Teresa L. Williamson [<mailto:tlwillia@oge.gov>]
Sent: Tuesday, January 17, 2017 12:03 PM
To: Eden, Kathryn (SBC)
Cc: Deborah J. Bortot ; Sandra S. Mabry ; Rodrick T. Johnson ; Heather A. Jones ; Ann Donaldson
Subject: Public Financial Disclosure Report of Linda E. McMahon

Good Afternoon,

I've attached the financial disclosure package for Linda E. McMahon. President-Elect Trump has announced his intention to nominate Ms. McMahon for the position of Administrator, Small Business Administration.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500

Washington, DC 20005
202-482-9283

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Brandon A. Steele

From: Rueda, Jorge (Veterans Affairs) (b) (6)
Sent: Thursday, January 05, 2017 3:32 PM
To: Elizabeth D. Horton
Subject: RE: Briefing

Thanks for the note Elizabeth. Our staff director doesn't require a briefing anymore.

Thanks again,

Jorge

From: Elizabeth D. Horton [<mailto:edhorton@oge.gov>]
Sent: Thursday, January 05, 2017 3:21 PM
To: Rueda, Jorge (Veterans Affairs)
Subject: Briefing

Good afternoon Mr. Rueda.

We spoke last month about your interest in a briefing about OGE's role in the nomination process. I have left a couple of voicemail messages for you to let you know that OGE staff is available to provide a briefing on Wednesday, January 11, 2017 at 2:00 pm. Please let me know if you are still interested and if so, if that time is convenient for you. Thank you.

Elizabeth D. Horton
Assistant Counsel, Legal, External Affairs and Performance Branch
Program Counsel Division
U.S. Office of Government Ethics
(202) 482-9211
Elizabeth.Horton@oge.gov

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Brandon A. Steele

From: Teresa L. Williamson
Sent: Friday, January 06, 2017 4:12 PM
To: Jason Vanbeek
Cc: Deborah J. Bortot; Sandra S. Mabry; Rodrick T. Johnson; Heather A. Jones; (b) (6) Ann Donaldson
Subject: Public Financial Disclosure Report of Elaine L. Chao
Attachments: Chao, Elaine L. final278.pdf; Chao, Elaine L. finalEA.pdf; Chao, Elaine L. finalsenateltr.pdf

Good Afternoon,

I've attached the financial disclosure package for Elaine L. Chao. President-Elect Trump has announced his intention to nominate Ms. Chao for the position of Secretary, Department of Transportation.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

Brandon A. Steele

From: Teresa L. Williamson
Sent: Tuesday, January 10, 2017 4:43 PM
To: Daria Ripchensky
Cc: Deborah J. Bortot; Sandra S. Mabry; Rodrick T. Johnson; Heather A. Jones; (b) (6) Ann Donaldson
Subject: Public Financial Disclosure Report of James Richard Perry
Attachments: Perry, James Richard finalEA.pdf; Perry, James Richard final278.pdf; Perry, James Richard finalsenateltr.pdf

Good Afternoon,

I've attached the financial disclosure package for James Richard Perry. President-Elect Trump has announced his intention to nominate Mr. Perry for the position of Secretary, Department of Energy.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!


Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

Brandon A. Steele

From: Diana Veilleux
Sent: Monday, November 28, 2016 6:22 PM
To: 'Nicholas, Taylor (Appropriations)'
Cc: Shelley K. Finlayson
Subject: Quick question

Good Evening Taylor

Shelley asked me to follow up on our previous responses about OGE's funding concerns in the event of an extended CR. ^{(b) (5)}



Best regards,

Diana


Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

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From: Shelley K. Finlayson
Sent: Tuesday, November 22, 2016 6:02 PM
To: 'Nicholas, Taylor (Appropriations)'
Subject: RE: Quick question

Hi Taylor –

Thanks so much for checking in with me. Because we anticipated the potential for an extended CR, we structured our expenses such that we will not experience any funding problems if the CR runs into March. ^{(b) (5)}



We have not analyzed the specific effects of a CR into May, so we will do that and I will get back to you.

Regards,
Shelley

From: Nicholas, Taylor (Appropriations) [mailto:(b) (6)]
Sent: Tuesday, November 22, 2016 5:46 PM
To: Shelley K. Finlayson
Subject: RE: Quick question

Hey Shelley,
I'm writing to circle back on the same anomaly question. Do you think OGE will request one for a CR through March or for a CR into May?

Thanks,
Taylor

Sent from my Verizon, Samsung Galaxy smartphone

----- Original message -----

From: "Shelley K. Finlayson" <skfinlay@oge.gov>
Date: 8/15/16 3:33 PM (GMT-05:00)
To: "Nicholas, Taylor (Appropriations)" (b) (6)
Subject: RE: Quick question

Hi Taylor –

Hope your summer is going well.

(b) (5)



Thanks,

Shelley

From: Nicholas, Taylor (Appropriations) [mailto:(b) (6)]
Sent: Monday, August 08, 2016 2:38 PM
To: Shelley K. Finlayson
Subject: Quick question

Hey Shelley,

I hope August is treating you well. Quick question: do you anticipate OGE having an anomaly request for a possible September CR? Knowing an anomaly request is a rare occurrence, I'm just reaching out early as we begin to look toward a CR scenario next month.

Thanks,

Taylor

R. Taylor Nicholas

Professional Staff

Senate Appropriations Committee

Subcommittee on Financial Services and General Government

(b) (6)

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Brandon A. Steele

From: Teresa L. Williamson
Sent: Friday, December 23, 2016 12:15 PM
To: (b)(6) Kasey O'Connor email
Cc: Deborah J. Bortot; Sandra S. Mabry; Rodrick T. Johnson; Heather A. Jones; (b) (6) Ann Donaldson
Subject: Public Financial Disclosure Report of Jefferson B. Sessions
Attachments: Sessions, Jefferson B. finalEA.pdf; Sessions, Jefferson B. finalsenateletter.pdf; Sessions, Jefferson B. final278.pdf

Good Morning,

I've attached the financial disclosure package for Jefferson B. Sessions. President-Elect Trump has announced his intention to nominate Senator Sessions for the position of Attorney General, Department of Justice.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

Brandon A. Steele

From: Teresa L. Williamson
Sent: Tuesday, January 17, 2017 12:03 PM
To: Kathryn Eden email
Cc: Deborah J. Bortot; Sandra S. Mabry; Rodrick T. Johnson; Heather A. Jones; (b) (6)
Subject: Public Financial Disclosure Report of Linda E. McMahon
Attachments: McMahon, Linda E final278.pdf; McMahon, Linda E. finalsenateltr.pdf; McMahon, Linda E finalEA.pdf

Good Afternoon,

I've attached the financial disclosure package for Linda E. McMahon. President-Elect Trump has announced his intention to nominate Ms. McMahon for the position of Administrator, Small Business Administration.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

Brandon A. Steele

From: Thomas, Katie (Sanders) (b) (6)
Sent: Wednesday, January 11, 2017 2:49 PM
To: Elizabeth D. Horton; Jennifer Matis
Cc: Akerlof, Karen (Sanders)
Subject: RE: Conference call with EPW member staffers and OGE

Hi All, this is the phone number we will use 3-4pm tomorrow. We will let staffers call in as they are available since tomorrow will be a crazy day. I will send you a list tomorrow with everyone who has told me they want to be on the call.

Join by phone

[Local:](#) (b) (6) (US) English (United States)
[Senate Internal:](#) (b) (6) (US) English (United States)
[Toll Free:](#) (b) (6) (US) English (United States)
[Find a local number](#)

Conference ID: 115895

From: Elizabeth D. Horton [mailto:edhorton@oge.gov]
Sent: Tuesday, January 10, 2017 11:36 AM
To: Thomas, Katie (Sanders) ; Jennifer Matis
Cc: Akerlof, Karen (Sanders)
Subject: RE: Conference call with EPW member staffers and OGE

Good morning Ms. Thomas.

I have confirmed with our colleagues that they are available on Thursday, January 12 from 3:00 to 4:00.

What telephone number should we call for the conference call?

From: Thomas, Katie (Sanders) [mailto:(b) (6)]
Sent: Monday, January 09, 2017 8:57 PM
To: Jennifer Matis
Cc: Akerlof, Karen (Sanders); Elizabeth D. Horton
Subject: Re: Conference call with EPW member staffers and OGE

Hi again,

Thank you very much for this offer. There are several offices who are interested in both questions. Are you free 3-4 Thursday? We could do oge ethics overview at 3 and the call re the ethics agreement at 330?

Thanks again,

Katie Thomas
Policy Advisor for Energy and Environment
Senator Bernie Sanders

332 Dirksen Senate Office Building

(b) (6)

Sent from my phone, so please excuse any errors.

On Jan 9, 2017, at 5:42 PM, Jennifer Matis <jmatis@oge.gov> wrote:

Hi,

It would be best to split the two issues into two separate calls. We have someone from our Presidential Nominations Branch that can do a general overview of the process. My colleague Liz, who I included on this email, will join her on that call. Is Wednesday at 2 pm good for you for that? We could also find other times on Wednesday or Thursday if that doesn't work for you.

With regard to the questions about ethics agreements, the head of our Ethics Law and Policy Branch can assist you. I will join him on that call. He is available on Thursday any time before 4 (meaning the call would have to end by 4). Is there a time on Thursday morning or early afternoon that would work for you for that call?

Thanks,
Jen

Jennifer Matis
Assistant Counsel
Legal, External Affairs and Performance Branch
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

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From: Thomas, Katie (Sanders) [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Monday, January 09, 2017 3:17 PM
To: Jennifer Matis
Cc: Akerlof, Karen (Sanders)
Subject: Conference call with EPW member staffers and OGE

Ms. Matis,

I got to speak with a few other offices regarding Pruitt's Ethics agreement. I am now hoping that we can find a time this week to do a more general briefing on the OGE process for nominees because the briefing I went to was a bad time for others. Is that possible? I would like to do that *in addition* to discussing what possible tools Senators have for asking for additional OGE review or a change to the ethics agreement.

Please let me know if that is possible. I am very open Wednesday and Thursday.

Thanks
KT

Katie Thomas

Policy Advisor for Energy and Environment
Senator Bernie Sanders
332 Dirksen Senate Office Building
(b) (6)

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Brandon A. Steele

From: Diana Veilleux
Sent: Tuesday, November 01, 2016 6:32 PM
To: 'Nassif, Marybeth'
Cc: Shelley K. Finlayson; George Hancock
Subject: RE: Integrity System

Perfect! See you tomorrow.

Diana J. Veilleux

Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

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From: Nassif, Marybeth [mailto:(b) (6)]
Sent: Tuesday, November 01, 2016 6:30 PM
To: Diana Veilleux
Subject: RE: Integrity System

Yes, we have WiFi. It will just be me, so we can do it in the conference room. Please bring a lap top since I don't have one here.

mb

From: Diana Veilleux [mailto:djveille@oge.gov]
Sent: Tuesday, November 01, 2016 5:37 PM
To: Nassif, Marybeth (b) (6)
Subject: RE: Integrity System

Hi Marybeth

We are looking forward to the Integrity briefing tomorrow but had some questions about logistics. We have a short demo video we would like to show. We can bring a laptop to show it on unless you have a set up in your conference room with a computer and a bigger screen etc., in which case we can bring a thumb drive. Also, in order to do a live demo, we will need to have internet access. Do you have wifi available for visitors?

Thanks!

Diana J. Veilleux
Diana J. Veilleux

Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

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From: Nassif, Marybeth [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Thursday, October 13, 2016 9:58 AM
To: Diana Veilleux
Subject: RE: Integrity System

That is perfect! Thanks so much and I have the room reserved. I will invite Winnie as well, but unsure at this time if she is available to attend.

Marybeth

From: Diana Veilleux [<mailto:djveille@oge.gov>]
Sent: Wednesday, October 12, 2016 5:59 PM
To: Nassif, Marybeth [[\(b\) \(6\)](mailto:(b) (6))]
Subject: RE: Integrity System

Hi Marybeth

Sorry it has taken so long for me to circle back with you but folks have been out of the office! Schedulewise, it works best for us to come to your office on November 2 in the morning. Would 11 am work for you?

Look forward to hearing from you.

Diana

Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

Visit OGE's website at: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: Nassif, Marybeth [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Thursday, October 06, 2016 9:58 AM
To: Diana Veilleux
Subject: RE: Integrity System

I can do Nov 1 or 2. Would it be easier for me to come to your place? If so Nov 1, would be better.

Thanks so much.

Marybeth

From: Diana Veilleux [<mailto:djveille@oge.gov>]
Sent: Wednesday, October 05, 2016 1:49 PM
To: Nassif, Marybeth (b) (6); Shelley K. Finlayson <skfinlay@oge.gov>
Subject: RE: Integrity System

Hi Marybeth

We would be happy to do an *Integrity* demo for you. The best time for us would be sometime on October 31 through November 2. Do any of those days work with your schedule?

Diana J. Veilleux

Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

Visit OGE's website at: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: Nassif, Marybeth [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Tuesday, October 04, 2016 5:21 PM
To: Shelley K. Finlayson; Diana Veilleux
Subject: Integrity System

Hi Shelly and Diana,

I hope you had a good new fiscal year and close out of FY 16. Was wondering if your team had time to do a demonstration of the Integrity system. I may have some time in early Nov. while folks are still in recess so I wanted to toss that out. No worries if the timing doesn't work for you all. We can then shoot for early January.

Thanks and I hope all is well.

Marybeth

Marybeth Nassif
Subcommittee on Financial Services and General Government
Committee on Appropriations
U.S. House of Representatives
(b) (6)

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Brandon A. Steele

From: Deborah J. Bortot
Sent: Saturday, January 14, 2017 3:42 PM
To: Gabrielle D'Adamo
Cc: Yasaman Sutton; Teresa L. Williamson; Rodrick T. Johnson; Heather A. Jones; 'Ann M Donaldson'; Sandra S. Mabry; Emory A. Rounds III; David J. Apol
Subject: Public Financial Disclosure Report John Michael Mulvaney
Attachments: Mulvaney, John M Final 278.pdf; Mulvaney, John M Signed Ethics Agreement -- January 13 2017.pdf; Mulvaney, John M FinalLTRTOSENATE 1-14 HSGAC.pdf

Good Afternoon,

I've attached the financial disclosure package for John Michael Mulvaney. President-Elect Trump has announced his intention to nominate Representative Mulvaney for the position of Director, Office of Management and Budget.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Deborah J. Bortot
Chief, Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Telephone: (202) 482-9227
Facsimile: (202) 482-9237

Brandon A. Steele

From: Deborah J. Bortot
Sent: Tuesday, January 10, 2017 10:53 PM
To: Dawn Ratliff
Cc: Teresa L. Williamson; Sandra S. Mabry; Rodrick T. Johnson; Heather A. Jones; David J. Apol; 'Ann M Donaldson'; Emory A. Rounds III; Monica M. G. Ashar
Subject: Public Financial Disclosure Report of Benjamin Carson
Attachments: Carson, Benjamin Final 278.pdf; Final Ethics Agreement Carson, Benjamin S.pdf; Carson, Benjamin S. finalsenateletter.pdf

Good Evening,

I've attached the financial disclosure package for Dr. Benjamin S. Carson. President-Elect Trump has announced his intention to nominate Dr. Carson for the position of Secretary, Department of Housing and Urban Development.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Sandra S. Mabry
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

Brandon A. Steele

From: Teresa L. Williamson
Sent: Friday, January 27, 2017 1:59 PM
To: Heather Vachon
Cc: Ann Donaldson (b) (6); Stefan Passantino
(b) (6); James D. Schultz (b) (6)
Deborah J. Bortot; Heather A. Jones; Rodrick T. Johnson; Sandra S. Mabry
Subject: Public Financial Disclosure Report of David J. Shulkin
Attachments: Shulkin, David J. finalsenateletter.docx.pdf; Shulkin, David J. final278.pdf; Shulkin, David J. finalEA.pdf

Good Afternoon,

I've attached the financial disclosure package for David J. Shulkin, who has been nominated by President Trump for the position of Secretary, Department of Veterans Affairs.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

Brandon A. Steele

From: Sandra S. Mabry
Sent: Monday, January 09, 2017 3:51 PM
To: Gabrielle D'Adamo
Cc: Deborah J. Bortot; Teresa L. Williamson; Rodrick T. Johnson; Heather A. Jones; 'Ann M Donaldson'
Subject: Public Financial Disclosure Report for John F. Kelly
Attachments: Kelly, John F. finalEA.pdf; Kelly, John F. final278.pdf; Kelly, John F. finalsenateltr.pdf

Good Afternoon,

I've attached the financial disclosure package for John F. Kelly. President-Elect Trump has announced his intention to nominate Mr. Kelly for the position of Secretary, Department of Homeland Security.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Sandra Mabry @ 202-482-9258.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Sandra Mabry
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9258

Brandon A. Steele

From: Shek, Chung (HELP Committee) (b) (6)
Sent: Thursday, January 12, 2017 7:10 PM
To: Sandra S. Mabry
Cc: Deborah J. Bortot; Teresa L. Williamson; Rodrick T. Johnson; Heather A. Jones; Ann M Donaldson
Subject: Re: Public Financial Disclosure Report of Thomas E. Price

Thank you!

We all very much appreciate the work that you do.

> On Jan 12, 2017, at 7:03 PM, Sandra S. Mabry <ssmabry@oge.gov> wrote:

>

>

> Good Evening,

>

> I've attached the financial disclosure package for Thomas E. Price. President-Elect Trump has announced his intention to nominate Dr. Price for the position of Secretary, Department of Health and Human Services.

>

> The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

>

> Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

>

> Thanks!

>

> Sandra Mabry

> Presidential Nominations Branch

> U.S. Office of Government Ethics

> 1201 New York Avenue, NW - Suite 500

> Washington, DC 20005

> 202-482-9258

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> <Price, Thomas E. final278.pdf>

> <Price, Thomas E. finalEA.pdf>

> <Price, Thomas E. finalsenateltr-HELP--.docx.pdf>

Brandon A. Steele

From: Heather A. Jones
Sent: Wednesday, January 11, 2017 7:08 PM
To: Nicholson, Ian (Finance)
Cc: Shelley K. Finlayson
Subject: Re: Quick call on Mnuchin's OGE Forms and Letter

Ian-
This request has to go through our leg affairs office. I have copied Shelley Finlayson, who is the head of the office, on this response.

Best regards,
Heather

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Nicholson, Ian (Finance)
Sent: Wednesday, January 11, 2017 7:02 PM
To: Heather A. Jones
Subject: Quick call on Mnuchin's OGE Forms and Letter

Hi Heather,
We received the OGE forms for Mr. Mnuchin last night and are continuing to review their contents. I know you have a ton on your plate, but if you had 15-20 minutes tomorrow afternoon or on Friday, it would be helpful to pick your brain on a few items, just to help our understanding.
Thanks in advance.

Ian Nicholson
Investigator
U.S. Senate Committee on Finance
219 Dirksen Senate Building
Washington, D.C. 20510

(b) (6)

Brandon A. Steele

From: Skladany, Jon (b) (6)
Sent: Monday, January 23, 2017 12:24 PM
To: Shelley K. Finlayson
Subject: RE: Location of meeting

Hi Shelley – we'll be in the committee's conference room in 2157 Rayburn. Members have been asked to limit their entourage to one staffer. Let me know if you need any help finding us.

From: Shelley K. Finlayson [<mailto:skfinlay@oge.gov>]
Sent: Monday, January 23, 2017 12:22 PM
To: Skladany, Jon
Subject: Location of meeting

Hi Jon –
I am circling back with you to find out the location details of today's meeting at 4 p.m.
Thanks,
Shelley

Shelley K. Finlayson
Chief of Staff and Program Counsel
U.S. Office of Government Ethics
1201 New York Avenue NW, Suite 500
Washington, DC 20005
(202) 482-9314
skfinlay@oge.gov

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Brandon A. Steele

From: Skladany, Jon <(b) (6)>
Sent: Saturday, January 14, 2017 7:05 PM
To: Hemingway, Jennifer; Shelley K. Finlayson
Subject: RE: Connecting

Hi Shelley - looking forward to working with you.

Call or email any time!

Jon

-----Original Message-----

From: Hemingway, Jennifer
Sent: Saturday, January 14, 2017 6:58 PM
To: Shelley K. Finlayson
Cc: Skladany, Jon
Subject: Connecting

Shelley,

I'm copying Jon Skladany, OGR's new Staff Director.

I know you will enjoy working with each other.

Jon's cell phone is (b) (6)

Sincerely,
Jennifer

Sent from my iPhone

Brandon A. Steele

From: Shelley K. Finlayson
Sent: Monday, January 30, 2017 9:37 AM
To: 'Skladany, Jon'
Subject: RE: Details of next Tuesday's meeting/forum

Hi Jon –

I hope you had a nice weekend. I am writing to confirm that the roundtable discussion is still on for tomorrow at 3:30 and to inquire about the location.

Thanks,
Shelley

From: Skladany, Jon [mailto:(b) (6)]
Sent: Tuesday, January 24, 2017 7:33 PM
To: Shelley K. Finlayson
Subject: RE: Details of next Tuesday's meeting/forum

Hi Shelley – we are looking at 3:30 on Tuesday. The event is a roundtable discussion with groups who can recommend reforms of ethics laws and weigh in on ways to strengthen OGE, among other topics.

From: Shelley K. Finlayson [mailto:skfinlay@oge.gov]
Sent: Tuesday, January 24, 2017 12:44 PM
To: Skladany, Jon
Subject: Details of next Tuesday's meeting/forum

Hi Jon –

Yesterday the Chairman mentioned that the committee is hosting a meeting/forum/hearing for NGOs focusing on OGE next Tuesday. I don't see it on the HOCR calendar. Will you please send me the location and time?

Thanks,
Shelley

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Brandon A. Steele

From: Deborah J. Bortot
Sent: Thursday, January 05, 2017 6:56 PM
To: Leah Brewer
Cc: Sandra S. Mabry; Heather A. Jones; Teresa L. Williamson; Rodrick T. Johnson; 'Ann M Donaldson'
Subject: Public Financial Disclosure Report General James N. Mattis
Attachments: Mattis, James N. Senate Letter.pdf; Mattis, James N. final 278.pdf; MATTIS James Ethics Agreement.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

Good Evening,

I've attached the financial disclosure package for General James N. Mattis. President-Elect Trump has announced his intention to nominate General Mattis for the position of Secretary, Department of Defense.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Deborah J. Bortot
Chief, Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Telephone: (202) 482-9227
Facsimile: (202) 482-9237

Brandon A. Steele

From: Teresa L. Williamson
Sent: Tuesday, January 03, 2017 2:47 PM
To: Carrie Lane, Samantha Hamilton
Cc: Deborah J. Bortot; Sandra S. Mabry; Rodrick T. Johnson; Heather A. Jones; (b) (6) Ann Donaldson
Subject: Public Financial Disclosure Report of Rex W. Tillerson
Attachments: Tillerson, Rex W. final278.pdf; Tillerson, Rex W. finalsenateletter.pdf; Tillerson, Rex W. final EA.pdf

Good Afternoon,

I've attached the financial disclosure package for Rex W. Tillerson. President-Elect Trump has announced his intention to nominate Mr. Tillerson for the position of Secretary, Department of State.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

Brandon A. Steele

From: Nassif, Marybeth (b) (6)
Sent: Thursday, January 19, 2017 11:38 AM
To: Shelley K. Finlayson
Subject: Re: Call today

I'm teleworking as well.. (b) (6) is a better number.

Sent from my iPad

> On Jan 19, 2017, at 9:41 AM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

>
> Thanks. I'll give you a call at (b) (6), unless there is a better number to reach you?

> -----Original Message-----

> From: Nassif, Marybeth [[\(b\) \(6\)](mailto:(b) (6))]
> Sent: Thursday, January 19, 2017 9:26 AM
> To: Shelley K. Finlayson
> Subject: Re: Call today

> Sounds good. Let me know what number I should call.

> Thanks

> Sent from my iPad

>> On Jan 19, 2017, at 8:14 AM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

>> Hi Marybeth -
>> I am available at 2:30. Does that work for you?

>> Thanks,
>> Shelley

>> -----Original Message-----

>> From: Nassif, Marybeth [[\(b\) \(6\)](mailto:(b) (6))]
>> Sent: Thursday, January 19, 2017 7:49 AM
>> To: Shelley K. Finlayson; Diana Veilleux
>> Subject: Call today

>> Hi ladies, please let me know your availability for a call today thanks.

>> Sent from my iPad

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Brandon A. Steele

From: Skladany, Jon (b) (6)
Sent: Wednesday, January 18, 2017 7:32 AM
To: Shelley K. Finlayson
Subject: Re: Questions

Yes, thank you. Do you have time at 12:30?

On Jan 18, 2017, at 7:26 AM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Hi Jon –
Thanks for following up. Are you available for a call today to discuss in further detail the topics to be covered at the meeting? If so, what time would work best for you?
Thanks,
Shelley

From: Skladany, Jon [[mailto:\(b\) \(6\)](mailto:(b) (6)@oge.gov)]
Sent: Tuesday, January 17, 2017 11:13 PM
To: Shelley K. Finlayson
Cc: Hemingway, Jennifer; Boyd, Krista
Subject: Re: Questions

Hi Shelley,
The Chairman appreciates that the Director will meet and answer his questions on January 23 at 4:00 and that a transcribed interview will not be necessary. We will be in touch re: the location of the meeting as soon as we reserve a room.
Thanks,
Jon

On Jan 16, 2017, at 9:43 AM, Skladany, Jon (b) (6) wrote:

Hi Shelley,
The Chairman is not able to accommodate the Director's request for a public event at that time. Please let us know if the Director will meet with the Chairman and Ranking Member under the concept that you described below.
Thanks,
Jon

On Jan 15, 2017, at 2:40 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

All -
Thanks for the call. As we discussed, the Director is agreeing to the date and time originally offered (January 23 at 4 pm) for a meeting as described below; however, he is requesting that the meeting be open to the public. He is not refusing to attend if it is not open to the public, but is requesting that it be open to the public.
Thanks again,
Shelley

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Hemingway, Jennifer
Sent: Sunday, January 15, 2017 1:30 PM
To: Skladany, Jon; Shelley K. Finlayson
Cc: Boyd, Krista
Subject: RE: Questions

Me, too. I just sent a revised calendar invitation so folks would have it handy.

From: Skladany, Jon
Sent: Sunday, January 15, 2017 1:29 PM
To: Shelley K. Finlayson
Cc: Hemingway, Jennifer; Boyd, Krista
Subject: Re: Questions
I'm available at 2:00

On Jan 15, 2017, at 1:24 PM, Shelley K. Finlayson
<skfinlay@oge.gov> wrote:

All -
I have spoken to the Director so we can go forward with the 2pm call if everyone is still free.
Shelley

From: Hemingway, Jennifer
Sent: Sunday, January 15, 2017 11:07 AM
To: Shelley K. Finlayson
Cc: Boyd, Krista; Skladany, Jon
Subject: Re: Questions

Hi Shelley,
Let's wait until you have a better sense of times that work well for Director Shaub. We can then look to schedule our call.
Sincerely,
Jennifer

Sent from my iPhone

On Jan 15, 2017, at 8:44 AM, Shelley K. Finlayson
<skfinlay@oge.gov> wrote:

Yes, I can do 2, but cannot be assured that I will have connected with the Director to have alternative dates by then, so a later time might be preferable. I am available this afternoon/evening and tomorrow.
Shelley

Sent from my BlackBerry 10
smartphone on the Verizon Wireless
4G LTE network.

From: Boyd, Krista
Sent: Saturday, January 14, 2017 9:54 PM
To: Hemingway, Jennifer
Cc: Shelley K. Finlayson; Skladany, Jon
Subject: Re: Questions

Yes, I can do a call at 2 p.m.
tomorrow or any time on Monday.

On Jan 14, 2017, at 8:19 PM,
Hemingway, Jennifer

(b) (6)

wrote:

Hi Shelley,
Thanks for your email, and for
reaching out to Director Shaub. We
will let the Chairman know that staff
level discussions continue, which he
will appreciate.

Re a staff call, Jon and I should be
available almost anytime. Could we
plan for Sunday (tomorrow), perhaps
at 2 pm? We can circulate a
conference line. Krista are you free?
If we are looking at dates other than
Jan 23, there is a possibility the
meeting may last beyond 90 minutes.
We can discuss this during our call
as we confirm the specifics of the
meeting in response to the
Chairman's request.

It would also be helpful to know
what other dates are possible if
Director Shaub has a conflict on Jan
23.

Sincerely,
Jennifer
Sent from my iPhone

On Jan 14, 2017, at 7:09 PM, Shelley
K. Finlayson <skfinlay@oge.gov>
wrote:

Hi Jennifer -
Thanks for the call
today. I spoke to
OGE's Director this

evening. I relayed the following details from our conversation about the meeting being offered in lieu of the closed-door, transcribed interview:

The event is a meeting in a conference room with the Chairman and Ranking Member and their staff present, in addition to the Director of OGE and his attendees. The Chairman, Ranking Member, and their respective staff may ask questions. The meeting will not be recorded or transcribed other than staff taking notes. The meeting is scheduled to last no longer than 90 minutes. The meeting will focus on OGE's public outreach activities and potential reauthorization. The meeting will not be under oath. The requested meeting date and time is Monday, January 23 from 4-5:30 pm. In addition, there will be a staff-level conference call with HOCR majority and minority staff and OGE staff no later than Tuesday to ensure that we all have the same understanding of the meeting. The Director has agreed in concept to

the meeting as described above, but will need to discuss other possible dates. I have included Krista Boyd on this email so she is aware of the status. Please let me know best days and times for the staff level call. Thanks,
Shelley

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Brandon A. Steele

From: Skladany, Jon (b) (6)
Sent: Tuesday, January 17, 2017 11:13 PM
To: Shelley K. Finlayson
Cc: Hemingway, Jennifer; Boyd, Krista
Subject: Re: Questions

Hi Shelley,

The Chairman appreciates that the Director will meet and answer his questions on January 23 at 4:00 and that a transcribed interview will not be necessary. We will be in touch re: the location of the meeting as soon as we reserve a room.

Thanks,

Jon

On Jan 16, 2017, at 9:43 AM, Skladany, Jon <(b)(6)> wrote:

Hi Shelley,

The Chairman is not able to accommodate the Director's request for a public event at that time. Please let us know if the Director will meet with the Chairman and Ranking Member under the concept that you described below.

Thanks,

Jon

On Jan 15, 2017, at 2:40 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

All -

Thanks for the call. As we discussed, the Director is agreeing to the date and time originally offered (January 23 at 4 pm) for a meeting as described below; however, he is requesting that the meeting be open to the public. He is not refusing to attend if it is not open to the public, but is requesting that it be open to the public.

Thanks again,
Shelley

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Hemingway, Jennifer
Sent: Sunday, January 15, 2017 1:30 PM
To: Skladany, Jon; Shelley K. Finlayson

Cc: Boyd, Krista
Subject: RE: Questions

Me, too. I just sent a revised calendar invitation so folks would have it handy.

From: Skladany, Jon
Sent: Sunday, January 15, 2017 1:29 PM
To: Shelley K. Finlayson
Cc: Hemingway, Jennifer; Boyd, Krista
Subject: Re: Questions
I'm available at 2:00

On Jan 15, 2017, at 1:24 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

All -
I have spoken to the Director so we can go forward with the 2pm call if everyone is still free.
Shelley

From: Hemingway, Jennifer
Sent: Sunday, January 15, 2017 11:07 AM
To: Shelley K. Finlayson
Cc: Boyd, Krista; Skladany, Jon
Subject: Re: Questions

Hi Shelley,
Let's wait until you have a better sense of times that work well for Director Shaub. We can then look to schedule our call.
Sincerely,
Jennifer

Sent from my iPhone

On Jan 15, 2017, at 8:44 AM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Yes, I can do 2, but cannot be assured that I will have connected with the Director to have alternative dates by then, so a later time might be preferable. I am available this afternoon/evening and tomorrow.

Shelley

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Boyd, Krista
Sent: Saturday, January 14, 2017 9:54 PM
To: Hemingway, Jennifer
Cc: Shelley K. Finlayson; Skladany, Jon
Subject: Re: Questions

Yes, I can do a call at 2 p.m. tomorrow or any time on Monday.

On Jan 14, 2017, at 8:19 PM, Hemingway, Jennifer (b) (6) wrote:

Hi Shelley,
Thanks for your email, and for reaching out to Director Shaub. We will let the Chairman know that staff level discussions continue, which he will appreciate.
Re a staff call, Jon and I should be available almost anytime. Could we plan for Sunday (tomorrow), perhaps at 2 pm? We can circulate a conference line. Krista are you free?
If we are looking at dates other than Jan 23, there is a possibility the meeting may last beyond 90 minutes. We can discuss this during our call as we confirm the specifics of the meeting in response to the Chairman's request.
It would also be helpful to know what other dates are possible if Director Shaub has a conflict on Jan 23.
Sincerely,
Jennifer
Sent from my iPhone

On Jan 14, 2017, at 7:09 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Hi Jennifer -
Thanks for the call today. I spoke to OGE's Director this evening. I relayed the following details from our conversation about the meeting being offered in lieu of the closed-door, transcribed interview:

The event is a meeting in a conference room with the Chairman and Ranking Member and their staff present, in addition to the Director of OGE and his attendees. The Chairman, Ranking Member, and their respective staff may ask questions. The meeting will not be recorded or transcribed other than staff taking notes. The meeting is scheduled to last no longer than 90

minutes. The meeting will focus on OGE's public outreach activities and potential reauthorization. The meeting will not be under oath. The requested meeting date and time is Monday, January 23 from 4-5:30 pm.

In addition, there will be a staff-level conference call with HOCR majority and minority staff and OGE staff no later than Tuesday to ensure that we all have the same understanding of the meeting.

The Director has agreed in concept to the meeting as described above, but will need to discuss other possible dates.

I have included Krista Boyd on this email so she is aware of the status.

Please let me know best days and times for the staff level call.

Thanks,
Shelley

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Brandon A. Steele

From: Levasseur, Josh (Finance) (b) (6)
Sent: Tuesday, January 10, 2017 7:24 PM
To: Sandra S. Mabry
Cc: Deborah J. Bortot; Rodrick T. Johnson; Heather A. Jones; (b) (6);
Teresa L. Williamson; Ann Donaldson
Subject: Re: Public Financial Disclosure Report of Steven T. Mnuchin

Thank you

Sent from my iPhone

> On Jan 10, 2017, at 7:14 PM, Sandra S. Mabry <ssmabry@oge.gov> wrote:

>

> Good Evening,

>

> I've attached the financial disclosure package for Steven T. Mnuchin. President-Elect Trump has announced his intention to nominate Mr. Mnuchin for the position of Secretary, Department of the Treasury.

>

> The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

>

> Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

>

> Thanks!

>

>

> Sandra S. Mabry

> Presidential Nominations Branch

> U.S. Office of Government Ethics

> 1201 New York Avenue, NW - Suite 500

> Washington, DC 20005

> 202-482-9283

>

>

>

>

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> <Mnuchin, Steven T. finalsenateltr.pdf> <Mnuchin, Steven T.

> final278.pdf> <Mnuchin, Steven T. finalEA.pdf>

Brandon A. Steele

From: Skladany, Jon (b) (6)
Sent: Tuesday, January 17, 2017 11:13 PM
To: Shelley K. Finlayson
Cc: Hemingway, Jennifer; Boyd, Krista
Subject: Re: Questions

Hi Shelley,

The Chairman appreciates that the Director will meet and answer his questions on January 23 at 4:00 and that a transcribed interview will not be necessary. We will be in touch re: the location of the meeting as soon as we reserve a room.

Thanks,

Jon

On Jan 16, 2017, at 9:43 AM, Skladany, Jon (b) (6) wrote:

Hi Shelley,

The Chairman is not able to accommodate the Director's request for a public event at that time. Please let us know if the Director will meet with the Chairman and Ranking Member under the concept that you described below.

Thanks,

Jon

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All -

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Thanks again,
Shelley

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Cc: Boyd, Krista
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To: Shelley K. Finlayson
Cc: Hemingway, Jennifer; Boyd, Krista
Subject: Re: Questions
I'm available at 2:00

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I have spoken to the Director so we can go forward with the 2pm call if everyone is still free.
Shelley

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Cc: Boyd, Krista; Skladany, Jon
Subject: Re: Questions

Hi Shelley,
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Sincerely,
Jennifer

Sent from my iPhone

On Jan 15, 2017, at 8:44 AM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Yes, I can do 2, but cannot be assured that I will have connected with the Director to have alternative dates by then, so a later time might be preferable. I am available this afternoon/evening and tomorrow.

Shelley

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From: Boyd, Krista
Sent: Saturday, January 14, 2017 9:54 PM
To: Hemingway, Jennifer
Cc: Shelley K. Finlayson; Skladany, Jon
Subject: Re: Questions

Yes, I can do a call at 2 p.m. tomorrow or any time on Monday.

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Hi Shelley,
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Re a staff call, Jon and I should be available almost anytime. Could we plan for Sunday (tomorrow), perhaps at 2 pm? We can circulate a conference line. Krista are you free?
If we are looking at dates other than Jan 23, there is a possibility the meeting may last beyond 90 minutes. We can discuss this during our call as we confirm the specifics of the meeting in response to the Chairman's request.
It would also be helpful to know what other dates are possible if Director Shaub has a conflict on Jan 23.
Sincerely,
Jennifer
Sent from my iPhone

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Hi Jennifer -
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minutes. The meeting will focus on OGE's public outreach activities and potential reauthorization. The meeting will not be under oath. The requested meeting date and time is Monday, January 23 from 4-5:30 pm.

In addition, there will be a staff-level conference call with HOCR majority and minority staff and OGE staff no later than Tuesday to ensure that we all have the same understanding of the meeting.

The Director has agreed in concept to the meeting as described above, but will need to discuss other possible dates.

I have included Krista Boyd on this email so she is aware of the status.

Please let me know best days and times for the staff level call.

Thanks,
Shelley

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Brandon A. Steele

From: Director of OGE
Sent: Saturday, January 14, 2017 9:23 PM
To: Shelley K. Finlayson; Director of OGE; Diana Veilleux
Subject: RE: Meeting

Thanks for the phone call earlier, Shelley. I'm just adding Diana to this message.

Diana, we have an agreement in principle, but the date is actually TBD. Danielle Bryan had indicated in her conversation with me that the Chairman was eager to come to agreement before the Sunday morning TV shows, and tonight the Chairman's staffer confirmed that he's going on Stephanopolous (spelling?). We wanted to be helpful and try to accommodate his desire to come to an agreement before his TV appearance on the alternative plan he had initiated after sending his letter, so we agreed in principle but we still have some coordinating to do in order to figure out a workable date given the transition work that we're doing. Let me know your availability for the week after this coming one, in case we need some support.

There's two confusing things, but they may not need to be sorted out.

First is the statement by his staff that he wanted the letter he sent me to be withheld from the public. We were not the ones who released the letter, at least as far as Shelley or I know. Given that Chairman Chaffetz spoke to the press about his letter before sending it to us, I had assumed he was the one who released the letter. But he copied the ranking member on the letter, so maybe the minority released it. Shelley explained to the Chairman's staffer that a letter between two public institutions is not something we would have withheld from a FOIA response. It's not clear why the staffer raised this issue, but it seems like a non-issue because they didn't sound like they're blaming us. All the same, please just double-check on whether anyone released the letter for some reason? If so, we can follow up with the Chairman's staff to just let them know.

During Shelley's call, the Chairman's staffer didn't bring up that bizarre claim that we declined to meet with them in December. I told Danielle that we have emails corroborating the fact that the Chairman is the one who cancelled the meeting planned for 2:30 on the House's last day in session. I explained that his staffer even sent us a message explaining that he was on the floor at 2:30 and had a "hard stop" for a flight. Maybe Danielle passed on the word that we have emails and that news jogged their memory, or showed we could prove it. Please have your folks keep an eye on the news. Let me know if they repeat that incorrect claim to the press again. We'll need to decide whether to respond to correct the record if they keep repeating it. If they don't repeat it, I'm willing to forgive and forget. There's too many incorrect stories floating around out there to run around correcting all of them.

Thanks!

Walt

Walter M. Shaub, Jr.
Director
U.S. Office of Government Ethics
1201 New York Avenue, NW, Suite 500
Washington, DC 20005-3917

Telephone: 202.482.9292
Email: walter.shaub@oge.gov

From: Shelley K. Finlayson
Sent: Saturday, January 14, 2017 6:44 PM
To: Director of OGE
Subject: Meeting

Walt -

I spoke to Chairman Chaffetz's Chief of Staff and she provided the following details about the meeting being offered in lieu of the closed-door, transcribed interview:

The event is a meeting in a conference room with the Chairman and Ranking Member and their staff present, in addition to the Director of OGE and his chosen attendees. The Chairman, Ranking Member, and their respective staff may ask questions. The meeting will not be recorded or transcribed other than staff taking notes. The meeting is scheduled to last no longer than 90 minutes. The meeting will focus on OGE's public outreach activities and potential reauthorization. The meeting will not be under oath. The proposed meeting date and time is Monday, January 23 from 4-5:30 pm.

She indicated that they would like to de-escalate. She also indicated that the Chairman's letter was not intended to be made public, but did not suggest that we had made it public.

In addition, she agreed that there would be a staff-level conference call with HOCR majority and minority staff and OGE staff no later than Tuesday to ensure that we all have the same understanding of the meeting. I will provide a written summary of our understanding to both the majority and minority staff points of contact. I will also relay that the date does not work for you.

Thanks,
Shelley

Brandon A. Steele

From: Hyun, Peter (Judiciary-Dem) (b) (6)
Sent: Thursday, January 05, 2017 4:58 PM
To: Jennifer Matis
Subject: RE: Briefing on Conflicts of Interest

Hi Jen: can we plan for sometime the week of 1/16? How is 1/20 in the pm?

From: Jennifer Matis [<mailto:jmatis@oge.gov>]
Sent: Thursday, January 05, 2017 3:29 PM
To: Hyun, Peter (Judiciary-Dem)
Subject: RE: Briefing on Conflicts of Interest

I just wanted to follow up to see if you are still interested in setting up a briefing. Let me know if there is anything we can do to be of assistance.

Thanks,
Jen

Jennifer Matis
Assistant Counsel
Legal, External Affairs and Performance Branch
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: [@OfficeGovEthics](https://twitter.com/OfficeGovEthics)

From: Jennifer Matis
Sent: Thursday, December 22, 2016 11:34 AM
To: Peter Hyun
Subject: Briefing on Conflicts of Interest

Hello,

It is my understanding that you are looking to have a call with someone at OGE who can discuss conflicts of interest. I would be happy to set up a call with our Ethics Law and Policy Chief. What is your time frame? We could set up a call next Thursday or Friday (any time except 1:00 or 3:00 next Thursday). Would that work for you, or do you need to speak to someone this week? Let me know and we will do our best to accommodate you.

Thanks,
Jen

Jennifer Matis
Assistant Counsel
Legal, External Affairs and Performance Branch
U.S. Office of Government Ethics
202-482-9216

jennifer.matis@oge.gov

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Brandon A. Steele

From: Skladany, Jon (b) (6)
Sent: Monday, January 30, 2017 10:54 AM
To: Shelley K. Finlayson
Subject: RE: Details of next Tuesday's meeting/forum

Here are the groups who are sending representatives (in most cases it is the head of the group, except Minnesota Law School . . . you can probably guess who they're sending):

- Cause of Action
- Center for Responsive Politics
- Citizens for Responsibility and Ethics in Washington (CREW)
- Demand Progress
- Judicial Watch
- OpenTheGovernment.org
- Project on Government Oversight (POGO)
- Public Citizen
- Sunlight Foundation
- Brookings Institute
- University of Minnesota Law School

From: Shelley K. Finlayson [<mailto:skfinlay@oge.gov>]
Sent: Monday, January 30, 2017 10:49 AM
To: Skladany, Jon
Subject: RE: Details of next Tuesday's meeting/forum

Thanks, Jon. I will definitely take you up on your offer to give me a read out on any discussions regarding OGE. Can you tell me which organizations will be represented at the roundtable?
Shelley

From: Skladany, Jon [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Monday, January 30, 2017 10:41 AM
To: Shelley K. Finlayson
Subject: RE: Details of next Tuesday's meeting/forum

Hi Shelley – the roundtable is on. It is not an open meeting, however. I am happy to give you a read out after it wraps up on anything that comes up re: OGE. But the room will be closed during the session so the participants can speak candidly. Please let me know if you have any questions.

From: Shelley K. Finlayson [<mailto:skfinlay@oge.gov>]
Sent: Monday, January 30, 2017 9:37 AM
To: Skladany, Jon
Subject: RE: Details of next Tuesday's meeting/forum

Hi Jon –

I hope you had a nice weekend. I am writing to confirm that the roundtable discussion is still on for tomorrow at 3:30 and to inquire about the location.

Thanks,
Shelley

From: Skladany, Jon [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Tuesday, January 24, 2017 7:33 PM
To: Shelley K. Finlayson
Subject: RE: Details of next Tuesday's meeting/forum

Hi Shelley – we are looking at 3:30 on Tuesday. The event is a roundtable discussion with groups who can recommend reforms of ethics laws and weigh in on ways to strengthen OGE, among other topics.

From: Shelley K. Finlayson [<mailto:skfinlay@oge.gov>]
Sent: Tuesday, January 24, 2017 12:44 PM
To: Skladany, Jon
Subject: Details of next Tuesday's meeting/forum

Hi Jon –
Yesterday the Chairman mentioned that the committee is hosting a meeting/forum/hearing for NGOs focusing on OGE next Tuesday. I don't see it on the HOCR calendar. Will you please send me the location and time?
Thanks,
Shelley

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Brandon A. Steele

From: Kelsey D. Phipps
Sent: Friday, December 02, 2016 12:01 PM
To: Shelley K. Finlayson; Diana Veilleux
Subject: CRS memorandum
Attachments: President conflict provisions.pdf

Here is a copy of the CRS memorandum that Jen and I received from the House Judiciary staff following our call this morning.

From: Jennifer Matis
Sent: Friday, December 02, 2016 11:30 AM
To: Kelsey D. Phipps
Subject: FW: Responding to your call

Thanks,

Jen

Jennifer Matis
Assistant Counsel
Legal, External Affairs and Performance Branch
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: [@OfficeGovEthics](https://twitter.com/OfficeGovEthics)

From: Greengrass, David [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Friday, December 02, 2016 11:22 AM
To: Bond, Slade; Jennifer Matis; Hiller, Aaron
Subject: RE: Responding to your call

Thanks for chatting with us. Here is a copy of the CRS Report that we discussed.

Brandon A. Steele

From: Diana Veilleux
Sent: Monday, February 13, 2017 6:07 PM
To: Brandon A. Steele
Subject: FOIA Request

Diana J. Veilleux

Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

Visit OGE's website at: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: Diana Veilleux
Sent: Tuesday, December 20, 2016 5:00 PM
To: John Coleman
Cc: Shelley K. Finlayson; Seth Jaffe
Subject: Follow Up TCall With OGE

Good Afternoon

We are following up on information discussed during our phone call this morning. Following is a link to OGE's December 12 response to questions posed by Senator Carper in a letter to OGE's Director dated November 20, 2016. In response to your specific questions, we direct your attention to OGE's answer to Question 2 b., on page 10 of OGE's letter.

[https://www.oge.gov/web/oge.nsf/Congressional%20Correspondence/092B59EFD6EC27608525808800724288/\\$FILE/Carper%20response.pdf?open](https://www.oge.gov/web/oge.nsf/Congressional%20Correspondence/092B59EFD6EC27608525808800724288/$FILE/Carper%20response.pdf?open)

You also requested citations regarding OGE's authorities to provide overall direction of executive branch policies related to preventing conflicts of interest. We direct your attention to sections 402(a), (b)(8) and (c) of the Ethics in Government Act, 5 U.S.C. app.

We hope this information is helpful.

Diana J. Veilleux

Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

Visit OGE's website at: www.oge.gov

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Brandon A. Steele

From: Diana Veilleux
Sent: Monday, February 13, 2017 6:04 PM
To: Brandon A. Steele
Subject: FOIA Response

Diana J. Veilleux

Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

Visit OGE's website at: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: Diana Veilleux
Sent: Thursday, January 12, 2017 7:45 PM
To: 'Casey, Sharon'
Cc: Thorlin, Jack; Bailey, Katie; McKenna, Liam
Subject: RE: Letter to Director Shaub OGE re Transcribed Interview

Good Evening

I am writing to acknowledge receipt of the referenced correspondence from Chairman Chaffetz. If you have any further questions about this matter, please direct them to me or to Shelley Finlayson at shelley.finlayson@oge.gov.

Best regards,

Diana J. Veilleux

Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

Visit OGE's website at: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: Casey, Sharon [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Thursday, January 12, 2017 5:58 PM

To: Diana Veilleux
Cc: Thorlin, Jack; Bailey, Katie; McKenna, Liam
Subject: Letter to Director Shaub OGE re Transcribed Interview

Attached please find a letter from Chairman Chaffetz of the U.S. House of Representatives Committee on Oversight and Government Reform. Please note the letter requests a response by January 31, 2017.

Please acknowledge receipt of this letter.

Thank you,
Sharon Casey



Sharon Ryan Casey
Deputy Chief Clerk
Committee on Oversight and Government Reform
2157 Rayburn Building, Washington, DC 20515
(b) (6)

Brandon A. Steele

From: Diana Veilleux
Sent: Tuesday, December 20, 2016 5:00 PM
To: John Coleman
Cc: Shelley K. Finlayson; Seth Jaffe
Subject: Follow Up TCall With OGE

Good Afternoon

We are following up on information discussed during our phone call this morning. Following is a link to OGE's December 12 response to questions posed by Senator Carper in a letter to OGE's Director dated November 20, 2016. In response to your specific questions, we direct your attention to OGE's answer to Question 2 b., on page 10 of OGE's letter.

[https://www.oge.gov/web/oge.nsf/Congressional%20Correspondence/092B59EFD6EC27608525808800724288/\\$FILE/Carper%20response.pdf?open](https://www.oge.gov/web/oge.nsf/Congressional%20Correspondence/092B59EFD6EC27608525808800724288/$FILE/Carper%20response.pdf?open)

You also requested citations regarding OGE's authorities to provide overall direction of executive branch policies related to preventing conflicts of interest. We direct your attention to sections 402(a), (b)(8) and (c) of the Ethics in Government Act, 5 U.S.C. app.

We hope this information is helpful.

Diana J. Veilleux

Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

Visit OGE's website at: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

Brandon A. Steele

From: Teresa L. Williamson
Sent: Friday, January 27, 2017 9:28 AM
To: Kasey O'Connor
Cc: Deborah J. Bortot; Heather A. Jones; Ann Donaldson
(b) (6); Stefan Passantino
(b) (6); James D. Schultz (b) (6)
Subject: Amendment to Public Financial Disclosure Report of Jefferson B. Sessions
Attachments: Sessions, Jefferson B. amendNomineeltr.pdf; Sessions, Jefferson B.
amendAgencyltr.pdf; Sessions, Jefferson B. amendfinalsenateltr.pdf; Sessions, Jefferson
B. Amended 278 pages.pdf

Good Morning,

The attached is an amendment to the final report that was submitted to the committee by letter dated December 23, 2016.

If you have any questions, please contact me @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package.

Thanks!

Teresa Williamson
US Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

Brandon A. Steele

From: McElvein, Elizabeth (b) (6)
Sent: Monday, January 09, 2017 6:38 PM
To: Daniel Goldberg; Shelley K. Finlayson
Subject: House Committee on the Judiciary letter
Attachments: 1036_001.pdf Attachment publicly available in OGE's electronic reading room.

Attached, please find a letter from members of the House Judiciary Committee to the Attorney General and the Director of the United States Office on Government Ethics.

Many thanks,

Elizabeth H. McElvein
Professional Staff
Committee on the Judiciary
House of Representatives

(b) (6)

Brandon A. Steele

From: Gaeta, Joe (Whitehouse) (b) (6)
Sent: Thursday, January 12, 2017 11:03 AM
To: Jennifer Matis
Cc: Enderle, Emily (Whitehouse)
Subject: incoming letter EPW Democrats on Pruitt nomination

Jennifer,

Katie Thomas in Senator Sanders office passed along your name. I understand she's been working with you to set up this afternoon's call to discuss the work you've been doing on the Pruitt nomination. As a courtesy, I wanted to give you advance notice of a letter several EPW Democrats (not sure how many but it will include Ranking Member Carper) will be sending to OGE later today asking several questions about your process, similar to those I expect will be raised during the call. I'd be happy to discuss the letter further if you'd like, and will copy you on the letter when it is sent.

Joe Gaeta

Senior Advisor and Director of Oversight
Senator Sheldon Whitehouse (D-RI)

(b) (6)

Brandon A. Steele

From: Jennifer Matis
Sent: Friday, January 13, 2017 8:19 AM
To: Shelley K. Finlayson; Matthew A. Marinec
Subject: FW: Letter for Mr. Walter Shaub, Director, OGE
Attachments: 2017-01-12_Shaub_signed.pdf; 2017-01-12_Minoli_signed.pdf
Attachments are publicly available on OGE.gov under the Congressional Correspondence section.

Thanks,

Jen

Jennifer Matis
Assistant Counsel
Legal, External Affairs and Performance Branch
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: [@OfficeGovEthics](https://twitter.com/OfficeGovEthics)

From: Ferrato, Margaret (Whitehouse) (b) (6)
Sent: Thursday, January 12, 2017 5:37 PM
To: Jennifer Matis
Subject: RE: Letter for Mr. Walter Shaub, Director, OGE

Thanks, Jennifer!
Signed letters are attached.

From: Jennifer Matis [<mailto:jmatis@oge.gov>]
Sent: Thursday, January 12, 2017 2:51 PM
To: Ferrato, Margaret (Whitehouse) (b) (6)
Cc: Gaeta, Joe (Whitehouse)
Subject: RE: Letter for Mr. Walter Shaub, Director, OGE

I will pass it along.

Thanks,

Jen

Jennifer Matis
Assistant Counsel
Legal, External Affairs and Performance Branch
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

From: Ferrato, Margaret (Whitehouse) [[mailto:\(b\) \(6\) \[REDACTED\]](mailto:(b) (6) [REDACTED])]
Sent: Thursday, January 12, 2017 2:49 PM
To: Jennifer Matis
Cc: Gaeta, Joe (Whitehouse)
Subject: Letter for Mr. Walter Shaub, Director, OGE

Hello Jennifer,

Attached is a letter to Mr. Walter Shaub, Director of OGE from Senator Sheldon Whitehouse and other members of the Senate Committee on Environment and Public Works. Also attached is a letter that was sent today to Mr. Kevin Minoli, Designated Agency Ethics Official at EPA, which should be forwarded to Mr. Shaub as well.

The letters are currently being circulated among Senate offices for signatures, but as the letter contains a time sensitive request, we wanted to get it to OGE as soon as possible. Please let me know if there is a better way to get this letter to the intended recipient. Feel free to reach out if you have any questions or concerns.

All the best,
Maggie

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Brandon A. Steele

From: Cohen, Brian (Warren) (b) (6)
Sent: Thursday, January 05, 2017 7:01 PM
To: Walter M. Schaub; Shelley K. Finlayson
Cc: Slaughter, Rebecca Kelly (Schumer)
Subject: FW: Letter from Sens. Schumer and Warren
Attachments: Schumer Warren Letter to Schaub 010516.pdf
Attachement publicly available in OGE's electronic reading room.

[This time with the correct email for Mr. Schaub](#)

From: Cohen, Brian (Warren)
Sent: Thursday, January 05, 2017 6:57 PM
To: 'walter.schaub@oge.gov' ; 'skfinlay@oge.gov'
Cc: Slaughter, Rebecca Kelly (Schumer)
Subject: Letter from Sens. Schumer and Warren

Good evening – attached you will find a letter from Sens. Schumer and Warren with questions about the OGE review process for President-Elect Trump's Cabinet nominees. Please let Rebecca or me know if you have any questions. We look forward to your timely response.

Brian

Brian A. Cohen
Oversight and Investigations Director
Sen. Elizabeth Warren (D-MA)

(b) (6)

Brandon A. Steele

From: Jennifer Matis
Sent: Friday, January 27, 2017 9:33 AM
To: Shelley K. Finlayson
Subject: FW: Letter to Kevin Minoli from Senator Whitehouse, copy to Walter Shaub
Attachments: 2017 01 27 Minoli_EPA.pdf

FYI

From: Ferrato, Margaret (Whitehouse) [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Friday, January 27, 2017 9:16 AM
To: Jennifer Matis
Subject: Letter to Kevin Minoli from Senator Whitehouse, copy to Walter Shaub

Hello Jen,

I hope you're well. Thanks for your help last time with the letter from EPW Committee members. I'm reaching out today with a letter that Senator Whitehouse sent this morning to Kevin Minoli, Designated Agency Ethics Official at EPA. He indicated that he'd also like a copy sent to Walter Shaub.

Thanks,
Maggie

Brandon A. Steele

From: Jennifer Matis
Sent: Thursday, January 19, 2017 5:27 PM
To: Shelley K. Finlayson
Subject: FW: Letter to Secretary of Commerce nominee
Attachments: 01.19.2017 to Secretary of Commerce Nominee (Ross).pdf

We received this a few minutes ago as a courtesy copy from Senator Cantwell's office.

Thanks,

Jen

Jennifer Matis
Assistant Counsel
Legal, External Affairs and Performance Branch
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: [@OfficeGovEthics](https://twitter.com/OfficeGovEthics)

From: Rosenberg, Michelle (Cantwell) [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Thursday, January 19, 2017 5:20 PM
To: Jennifer Matis
Cc: Teutschel, Nicole (Cantwell)
Subject: Letter to Secretary of Commerce nominee

Good Evening Jennifer,

Please see attached letter to Secretary of Commerce nominee, Mr. Wilbur Ross, from Senator Cantwell. We have cced Mr. Walter Shaub on this letter. Please let me know if you have any questions, Thanks!

Michelle Rosenberg
Coast Guard Fellow

U.S. Senator Maria Cantwell
511 Hart Senate Office Building, Washington, DC 20510
(b) (6)
<http://cantwell.senate.gov>



Brandon A. Steele

From: Director of OGE
Sent: Monday, January 23, 2017 12:18 PM
To: Shelley K. Finlayson
Subject: FW: OGE Response to Letter from HELP Ranking Member Patty Murray

Walter M. Shaub, Jr.
Director
U.S. Office of Government Ethics
1201 New York Avenue, NW, Suite 500
Washington, DC 20005-3917

Telephone: 202.482.9292
Email: walter.shaub@oge.gov

From: Stein, Beth (HELP Committee) [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Monday, January 23, 2017 12:10 PM
To: Director of OGE
Cc: Rush, Carly (HELP Committee)
Subject: RE: OGE Response to Letter from HELP Ranking Member Patty Murray

Hi Matthew,

Carly Rush has been reaching out this morning in an effort to set up a call on the DeVos SF278 and Ethics Agreement. We have spent the weekend with the documents and promise to be as succinct and informed as possible, but we have reached the outer limits of our understanding and are really hoping to speak with the best folks there today. Thanks for your help.

Beth Stein

From: Stein, Beth (HELP Committee)
Sent: Monday, January 09, 2017 6:10 PM
To: 'Director of OGE'
Cc: Rush, Carly (HELP Committee)
Subject: RE: OGE Response to Letter from HELP Ranking Member Patty Murray

Thank you Matthew and please thank the Director for the fast turn-around.

Beth Stein

From: Director of OGE [<mailto:director@oge.gov>]
Sent: Monday, January 09, 2017 5:49 PM
To: Stein, Beth (HELP Committee)
Cc: Rush, Carly (HELP Committee)
Subject: OGE Response to Letter from HELP Ranking Member Patty Murray

Please see attached.

Any queries regarding the content of this letter may be directed to our Chief of Staff and Program Counsel, Ms. Shelley Finlayson. She can be reached at 202-482-9314 or skfinlay@oge.gov.

I am available to help with any logistical inquiries.

Thank You,

Matthew Marinec, M.P.P.
Confidential Assistant to the Director
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Tel. 202.482.9286

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Brandon A. Steele

From: Deborah J. Bortot
Sent: Tuesday, January 17, 2017 4:17 PM
To: Thomas Fuller
Cc: Heather A. Jones; Teresa L. Williamson
Subject: FW: Public Financial Disclosure Report John Michael Mulvaney
Attachments: Mulvaney, John M Final 278.pdf; Mulvaney, John M Signed Ethics Agreement -- January 13 2017.pdf; Mulvaney, John M FinalLTRTOSENATE 1-14 Budget.pdf

From: Deborah J. Bortot
Sent: Saturday, January 14, 2017 3:31 PM
To: Adam Kamp
Cc: Yasaman Sutton; Teresa L. Williamson; Rodrick T. Johnson; Heather A. Jones; 'Ann M Donaldson'; Sandra S. Mabry; Emory A. Rounds III; David J. Apol
Subject: Public Financial Disclosure Report John Michael Mulvaney

Good Afternoon,

I've attached the financial disclosure package for John Michael Mulvaney. President-Elect Trump has announced his intention to nominate Representative Mulvaney for the position of Director, Office of Management and Budget.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Deborah J. Bortot
Chief, Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Telephone: (202) 482-9227
Facsimile: (202) 482-9237

Brandon A. Steele

From: Boyd, Krista (b) (6)
Sent: Friday, January 13, 2017 3:53 PM
To: Shelley K. Finlayson
Subject: Re: question

Ok thanks will call around 415 (or let me know if that won't work - just in a busy spot right now).

On Jan 13, 2017, at 3:51 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Hi Krista - I called and left you a voicemail but am not sure it went through correctly. I am at my BlackBerry number at (b) (6) right now.

Thanks,
Shelley

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Boyd, Krista
Sent: Friday, January 13, 2017 2:16 PM
To: Shelley K. Finlayson
Subject: RE: question

Hi, Shelley,

I just spoke with Chairman Chaffetz's staff. I agreed to be the point person to follow up with you to relay the results of that conversation whenever you have time.

Krista

From: Shelley K. Finlayson [<mailto:skfinlay@oge.gov>]
Sent: Thursday, January 12, 2017 9:42 AM
To: Boyd, Krista (b) (6)
Subject: RE: question

Hi Krista –

Apologize for the delay in responding. Please let me know if you still want to discuss.

Thanks,
Shelley

From: Boyd, Krista [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Wednesday, January 11, 2017 11:43 AM
To: Shelley K. Finlayson
Subject: question

Hi, Shelley,

Was the plan being announced by the President-elect run by OGE?

Thanks,
Krista

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Brandon A. Steele

From: Slobodin, Alan (b) (6)
Sent: Friday, November 18, 2016 11:30 AM
To: Diana Veilleux
Cc: Shelley K. Finlayson
Subject: RE: Program Review Report for FDA

Diana,

Is the 2005 report still the most recent OGE program review for FDA? Please confirm.

Thank you,

Alan

From: Diana Veilleux [<mailto:dveille@oge.gov>]
Sent: Friday, July 10, 2015 2:04 PM
To: Slobodin, Alan
Cc: Shelley K. Finlayson
Subject: Program Review Report for FDA

Good Afternoon

Per your request, attached please find a copy of the Program Review Report for FDA that was issued in 2005. For your reference, this report is posted on the OGE website but is referenced under Health and Human Services program review reports issued in 2005.

Diana J. Veilleux
Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

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Brandon A. Steele

From: Boyd, Krista (b) (6)
Sent: Saturday, January 14, 2017 9:55 PM
To: Hemingway, Jennifer
Cc: Shelley K. Finlayson; Skladany, Jon
Subject: Re: Questions

Yes, I can do a call at 2 p.m. tomorrow or any time on Monday.

On Jan 14, 2017, at 8:19 PM, Hemingway, Jennifer (b) (6) wrote:

Hi Shelley,

Thanks for your email, and for reaching out to Director Shaub. We will let the Chairman know that staff level discussions continue, which he will appreciate.

Re a staff call, Jon and I should be available almost anytime. Could we plan for Sunday (tomorrow), perhaps at 2 pm? We can circulate a conference line. Krista are you free?

If we are looking at dates other than Jan 23, there is a possibility the meeting may last beyond 90 minutes. We can discuss this during our call as we confirm the specifics of the meeting in response to the Chairman's request.

It would also be helpful to know what other dates are possible if Director Shaub has a conflict on Jan 23.

Sincerely,
Jennifer

Sent from my iPhone

On Jan 14, 2017, at 7:09 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Hi Jennifer -

Thanks for the call today. I spoke to OGE's Director this evening. I relayed the following details from our conversation about the meeting being offered in lieu of the closed-door, transcribed interview:

The event is a meeting in a conference room with the Chairman and Ranking Member and their staff present, in addition to the Director of OGE and his attendees. The Chairman, Ranking Member, and their respective staff may ask questions. The meeting will not be recorded or transcribed other than staff taking notes. The meeting is scheduled to last no longer than 90 minutes. The meeting will focus on OGE's public outreach activities and potential reauthorization. The meeting will not be under oath. The requested meeting date and time is Monday, January 23 from 4-5:30 pm.

In addition, there will be a staff-level conference call with HOCR majority and minority staff and OGE staff no later than Tuesday to ensure that we all have the same understanding of the meeting.

The Director has agreed in concept to the meeting as described above, but will need to discuss other possible dates.

I have included Krista Boyd on this email so she is aware of the status.

Please let me know best days and times for the staff level call.

Thanks,
Shelley

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Brandon A. Steele

From: Sandra S. Mabry
Sent: Thursday, January 12, 2017 7:01 PM
To: Chung Shek
Cc: Deborah J. Bortot; Teresa L. Williamson; Rodrick T. Johnson; Heather A. Jones; 'Ann M Donaldson'
Subject: Public Financial Disclosure Report of Thomas E. Price
Attachments: Price, Thomas E. final278.pdf; Price, Thomas E. finalEA.pdf; Price, Thomas E. finalsenateltr-HELP--.docx.pdf

Good Evening,

I've attached the financial disclosure package for Thomas E. Price. President-Elect Trump has announced his intention to nominate Dr. Price for the position of Secretary, Department of Health and Human Services.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Sandra Mabry
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9258

Brandon A. Steele

From: Teresa L. Williamson
Sent: Friday, December 23, 2016 12:17 PM
To: Desiree Sayle
Cc: Deborah J. Bortot; Sandra S. Mabry; Rodrick T. Johnson; Heather A. Jones; (b) (6) Ann Donaldson
Subject: Public Financial Disclosure Report of Michael R. Pompeo
Attachments: Pompeo, Michael R. finalEA.pdf; Pompeo, Michael R. finalsenateletter.pdf; Pompeo, Michael R. final278.pdf

Good Afternoon,

I've attached the financial disclosure package for Michael R. Pompeo. President-Elect Trump has announced his intention to nominate Mr. Pompeo for the position of Director, Central Intelligence Agency.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

Brandon A. Steele

From: Nicholson, Ian (Finance) (b) (6)
Sent: Thursday, January 12, 2017 10:06 AM
To: Jennifer Matis
Subject: RE: Inquiry

Jennifer,

Let's lock in 12:00pm (Noon). Please use this dial info for the call.

Dial: (b) (6)
Conference ID: (b) (6)

We'll set the PIN as the organizer. I'll see if I can send you our questions by late morning so you can review them ahead of time.

Ian

Ian Nicholson

Investigator
U.S. Senate Committee on Finance
219 Dirksen Senate Building
Washington, D.C. 20510

(b) (6)

From: Jennifer Matis [<mailto:jmatis@oge.gov>]
Sent: Thursday, January 12, 2017 9:31 AM
To: Nicholson, Ian (Finance)
Subject: Inquiry

Hello,

It's my understanding that you'd like to have a brief call today to discuss the nomination process. Are you available at either noon or 2:00?

Thanks,
Jen

Jennifer Matis
Assistant Counsel
Legal, External Affairs and Performance Branch
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

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Brandon A. Steele

From: Hemingway, Jennifer (b) (6)
Sent: Sunday, January 15, 2017 1:30 PM
To: Skladany, Jon; Shelley K. Finlayson
Cc: Boyd, Krista
Subject: RE: Questions

Me, too. I just sent a revised calendar invitation so folks would have it handy.

From: Skladany, Jon
Sent: Sunday, January 15, 2017 1:29 PM
To: Shelley K. Finlayson
Cc: Hemingway, Jennifer; Boyd, Krista
Subject: Re: Questions
I'm available at 2:00

On Jan 15, 2017, at 1:24 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

All -

I have spoken to the Director so we can go forward with the 2pm call if everyone is still free.
Shelley

From: Hemingway, Jennifer
Sent: Sunday, January 15, 2017 11:07 AM
To: Shelley K. Finlayson
Cc: Boyd, Krista; Skladany, Jon
Subject: Re: Questions

Hi Shelley,

Let's wait until you have a better sense of times that work well for Director Shaub. We can then look to schedule our call.

Sincerely,
Jennifer

Sent from my iPhone

On Jan 15, 2017, at 8:44 AM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Yes, I can do 2, but cannot be assured that I will have connected with the Director to have alternative dates by then, so a later time might be preferable. I am available this afternoon/evening and tomorrow.

Shelley

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Boyd, Krista
Sent: Saturday, January 14, 2017 9:54 PM

To: Hemingway, Jennifer
Cc: Shelley K. Finlayson; Skladany, Jon
Subject: Re: Questions

Yes, I can do a call at 2 p.m. tomorrow or any time on Monday.

On Jan 14, 2017, at 8:19 PM, Hemingway, Jennifer

(b) (6) wrote:

Hi Shelley,

Thanks for your email, and for reaching out to Director Shaub. We will let the Chairman know that staff level discussions continue, which he will appreciate. Re a staff call, Jon and I should be available almost anytime. Could we plan for Sunday (tomorrow), perhaps at 2 pm? We can circulate a conference line. Krista are you free?

If we are looking at dates other than Jan 23, there is a possibility the meeting may last beyond 90 minutes. We can discuss this during our call as we confirm the specifics of the meeting in response to the Chairman's request.

It would also be helpful to know what other dates are possible if Director Shaub has a conflict on Jan 23.

Sincerely,

Jennifer

Sent from my iPhone

On Jan 14, 2017, at 7:09 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Hi Jennifer -

Thanks for the call today. I spoke to OGE's Director this evening. I relayed the following details from our conversation about the meeting being offered in lieu of the closed-door, transcribed interview:

The event is a meeting in a conference room with the Chairman and Ranking Member and their staff present, in addition to the Director of OGE and his attendees. The Chairman, Ranking Member, and their respective staff may ask questions. The meeting will not be recorded or transcribed other than staff taking notes. The meeting is scheduled to last no longer than 90 minutes. The meeting will focus on OGE's public outreach activities and potential reauthorization. The meeting will not be under oath. The requested meeting date and time is Monday, January 23 from 4-5:30 pm.

In addition, there will be a staff-level conference call with HOCR majority and minority staff and OGE staff no later than Tuesday to ensure that we all have the same understanding of the meeting.

The Director has agreed in concept to the meeting as described above, but will need to discuss other possible dates.

I have included Krista Boyd on this email so she is aware of the status.

Please let me know best days and times for the staff level call.

Thanks,
Shelley

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Brandon A. Steele

From: Diana Veilleux
Sent: Monday, January 09, 2017 3:31 PM
To: 'Nassif, Marybeth'; Shelley K. Finlayson
Subject: RE: Confirmations

Hi Marybeth

We can call you at 4:30. Where shall we call?

Diana J. Veilleux

Diana J. Veilleux
Chief
Legal, External Affairs and Performance Branch
Program Counsel Division
Office of Government Ethics
(202) 482-9203
Diana.veilleux@oge.gov

Visit OGE's website at: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

From: Nassif, Marybeth (b) (6)
Sent: Monday, January 09, 2017 3:28 PM
To: Shelley K. Finlayson; Diana Veilleux
Subject: RE: Confirmations

Great I'll take a look at it. 4:30 today would be wonderful. Really just taking stock of the situation in case I get asked.

Marybeth

From: Shelley K. Finlayson [<mailto:skfinlay@oge.gov>]
Sent: Monday, January 09, 2017 2:58 PM
To: Nassif, Marybeth (b) (6); Diana Veilleux <djveille@oge.gov>
Subject: RE: Confirmations

Hi Marybeth –

My email (below) to you failed because of the size of the attachment. Although I cannot attach it, I recommend that you view the Transition Team Guide from the webpage (link below). We look forward to speaking with you.
Shelley

From: Shelley K. Finlayson
Sent: Monday, January 09, 2017 2:52 PM
To: 'Nassif, Marybeth'; Diana Veilleux
Subject: RE: Confirmations

Hi Marybeth –

We are happy to have a call later today or tomorrow. Does either 4:30 p.m. today or 10:30 a.m. tomorrow work for you? In the meantime, I have attached a guide for your reference that explains the process and may be helpful to you. It was developed to assist the transition team with the process. This guide plus many other relevant resources (including a guide that walks nominees through the process) are available on our Presidential transition page <https://www.oge.gov/web/oge.nsf/Resources/PRESIDENTIAL+TRANSITION>

We look forward to speaking with you,
Shelley

From: Nassif, Marybeth [mailto:(b) (6)]
Sent: Monday, January 09, 2017 12:53 PM
To: Shelley K. Finlayson; Diana Veilleux
Subject: Confirmations

Happy New Year! I hope you all had a wonderful Holiday and were able to take time off for some R&R.

I have been reading much in the press about the confirmations process. I would like to get a better understanding of what is needed before a confirmation hearing and the process.

Could we chat briefly today?

Thanks

Marybeth Nassif
Subcommittee on Financial Services and General Government
Committee on Appropriations
U.S. House of Representatives
(b) (6)

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Brandon A. Steele

From: Hemingway, Jennifer (b) (6)
Sent: Saturday, January 14, 2017 1:18 PM
To: Shelley K. Finlayson
Subject: Re: Questions

Hi Shelley,

I'm happy to help. My cell is (b) (6)

Should we connect today? I'll be checked out of the grocery store in about 20 min.

Jennifer

Sent from my iPhone

On Jan 14, 2017, at 1:14 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Hi Jennifer -

I'm spoke to Krista Boyd of Ranking Member Cummings' staff yesterday and have some questions about the details she relayed. Are you the correct point of contact for Chairman Chaffetz? If not, can you please put me in touch with the correct POC?

Thanks,
Shelley

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Brandon A. Steele

From: Secreto, Jim (HSGAC) (b) (6)
Sent: Monday, December 19, 2016 10:55 AM
To: Shelley K. Finlayson
Cc: Diana Veilleux
Subject: RE: Request for DAEO List

Shelley, many thanks—we appreciate it.

From: Shelley K. Finlayson [<mailto:skfinlay@oge.gov>]
Sent: Monday, December 19, 2016 10:45 AM
To: Secreto, Jim (HSGAC)
Cc: Diana Veilleux
Subject: RE: Request for DAEO List

Good morning, Jim –

The July list was in the process of undergoing minor revisions. We've posted the revised list on our website. It is available here:

<https://www.oge.gov/web/oge.nsf/Resources/DAEO+List>

Please let me know if we can be of any further assistance,
Shelley

From: Secreto, Jim (HSGAC) [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Sunday, December 18, 2016 12:48 PM
To: Shelley K. Finlayson
Cc: 'dveilleux@oge.gov'
Subject: Request for DAEO List

Dear Shelley—

Senator Carper would like to request a copy of the most recent DAEO list. OGE has one online that is current as of July 2016: <https://www.oge.gov/Web/OGEnsf/Resources/DAEO+List>. Is this list the most current version?

Kind regards,

Jim

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prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

Brandon A. Steele

From: Shelley K. Finlayson
Sent: Wednesday, November 30, 2016 3:24 PM
To: 'Conlan, Brian (Baldwin)'
Cc: (b) (6) Private Citizen
Subject: RE: Recusal in Statute

Hi Brian –
Although I am tied up, my staff are going to reach out to you shortly.
Thanks,
Shelley

From: Conlan, Brian (Baldwin) [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Wednesday, November 30, 2016 9:53 AM
To: Shelley K. Finlayson
Cc: (b) (6)
Subject: Recusal in Statute

Hi Shelley,

If you recall, we met in April 2015 about my boss' bill, the Financial Services Conflict of Interest Act. Those conversations were generally about the Obama Administration EO's recusal requirements and how we hoped to improve on them. Presented with a different than expected administration, we now may be left with only recusal requirements that are in statute. I wanted to know if you'd have a few minutes today to discuss those?

-Brian

Brian Conlan
Legislative Assistant
Office of Senator Tammy Baldwin
717 Hart Senate Office Bldg.
Washington, DC 20510 | (b) (6)

Brandon A. Steele

From: Nassif, Marybeth (b) (6)
Sent: Monday, January 30, 2017 6:09 PM
To: Shelley K. Finlayson
Subject: RE: Statement on the Ethics EO

Thanks

From: Shelley K. Finlayson [<mailto:skfinlay@oge.gov>]
Sent: Monday, January 30, 2017 5:57 PM
To: Nassif, Marybeth ; Diana Veilleux
Subject: RE: Statement on the Ethics EO

Hi Marybeth –

We are still in the process of evaluating the impact of the Executive Order on OGE. We will reach out to you as soon as we have a better sense of the potential effects.

Thanks,
Shelley

From: Nassif, Marybeth [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Monday, January 30, 2017 5:19 PM
To: Shelley K. Finlayson; Diana Veilleux
Subject: Statement on the Ethics EO

Hi Shelly and Diana,

Will the new EO on ethics, **Executive Order** on January 28, 2017

[Executive Order: ETHICS COMMITMENTS BY EXECUTIVE BRANCH APPOINTEES](#)

have any impact on your organization? Was wondering if there has been any coordination with your agency on this and any additional work you all might have.

Thanks
Marybeth Nassif
Subcommittee on Financial Services and General Government
Committee on Appropriations
U.S. House of Representatives
(b) (6)

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Brandon A. Steele

From: Skladany, Jon (b) (6)
Sent: Sunday, January 15, 2017 1:29 PM
To: Shelley K. Finlayson
Cc: Hemingway, Jennifer; Boyd, Krista
Subject: Re: Questions

I'm available at 2:00

On Jan 15, 2017, at 1:24 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

All -

I have spoken to the Director so we can go forward with the 2pm call if everyone is still free.
Shelley

From: Hemingway, Jennifer
Sent: Sunday, January 15, 2017 11:07 AM
To: Shelley K. Finlayson
Cc: Boyd, Krista; Skladany, Jon
Subject: Re: Questions

Hi Shelley,

Let's wait until you have a better sense of times that work well for Director Shaub. We can then look to schedule our call.

Sincerely,
Jennifer

Sent from my iPhone

On Jan 15, 2017, at 8:44 AM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Yes, I can do 2, but cannot be assured that I will have connected with the Director to have alternative dates by then, so a later time might be preferable. I am available this afternoon/evening and tomorrow.

Shelley

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Boyd, Krista
Sent: Saturday, January 14, 2017 9:54 PM
To: Hemingway, Jennifer
Cc: Shelley K. Finlayson; Skladany, Jon
Subject: Re: Questions

Yes, I can do a call at 2 p.m. tomorrow or any time on Monday.

On Jan 14, 2017, at 8:19 PM, Hemingway, Jennifer

(b) (6) wrote:

Hi Shelley,

Thanks for your email, and for reaching out to Director Shaub. We will let the Chairman know that staff level discussions continue, which he will appreciate.

Re a staff call, Jon and I should be available almost anytime. Could we plan for Sunday (tomorrow), perhaps at 2 pm? We can circulate a conference line. Krista are you free?

If we are looking at dates other than Jan 23, there is a possibility the meeting may last beyond 90 minutes. We can discuss this during our call as we confirm the specifics of the meeting in response to the Chairman's request.

It would also be helpful to know what other dates are possible if Director Shaub has a conflict on Jan 23.

Sincerely,
Jennifer

Sent from my iPhone

On Jan 14, 2017, at 7:09 PM, Shelley K. Finlayson <skfinlay@oge.gov> wrote:

Hi Jennifer -

Thanks for the call today. I spoke to OGE's Director this evening. I relayed the following details from our conversation about the meeting being offered in lieu of the closed-door, transcribed interview:

The event is a meeting in a conference room with the Chairman and Ranking Member and their staff present, in addition to the Director of OGE and his attendees. The Chairman, Ranking Member, and their respective staff may ask questions. The meeting will not be recorded or transcribed other than staff taking notes. The meeting is scheduled to last no longer than 90 minutes. The meeting will focus on OGE's public outreach activities and potential reauthorization. The meeting will not be under oath. The requested meeting date and time is Monday, January 23 from 4-5:30 pm.

In addition, there will be a staff-level conference call with HOCR majority and minority staff and OGE staff no later than Tuesday to ensure that we all have the same understanding of the meeting.

The Director has agreed in concept to the meeting as described above, but will need to discuss other possible dates.

I have included Krista Boyd on this email so she is aware of the status.

Please let me know best days and times for the staff level call.

Thanks,
Shelley

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UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN 10 2017

The Honorable Orrin Hatch
Chairman
Committee on Finance
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Steven T. Mnuchin. President-Elect Trump has announced his intention to nominate Mr. Mnuchin for the position of Secretary, Department of the Treasury.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

Walter M. Shaub, Jr.
Director

Enclosures

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN 14 2017

The Honorable Michael Enzi
Chairman
Committee on the Budget
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by John Michael Mulvaney. President-Elect Trump has announced his intention to nominate Mr. Mulvaney for the position of Director, Office of Management and Budget.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

Walter M. Shaub, Jr.
Director

Enclosures

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN 10 2017

The Honorable Lisa Murkowski
Chairman
Committee on Energy and Natural
Resources
United States Senate
Washington, DC 20510

Dear Madam Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by James Richard Perry. President-Elect Trump has announced his intention to nominate Governor Perry for the position of Secretary, Department of Energy.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

Walter M. Shaub, Jr.
Director

Enclosures

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



DEC 23 2016

The Honorable Richard Burr
Chairman
Select Committee on Intelligence
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Michael R. Pompeo. President-Elect Trump has announced his intention to nominate Mr. Pompeo for the position of Director, Central Intelligence Agency.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

Walter M. Shaub, Jr.
Director

Enclosures

MEMORANDUM

November 22, 2016

Subject: Conflict of Interest and “Ethics” Provisions That May Apply to the President

From: Jack Maskell
Legislative Attorney
American Law Division

This memorandum is intended to briefly identify those provisions of federal statute or regulation that (1) respect conflicts of interest or “ethics” and (2) may apply to the President. The memorandum begins by noting why certain provisions would appear to be *inapplicable* to the President. It then notes the remaining provisions that could potentially be seen to *apply* to the President.

The memorandum is solely concerned with the identification and, where relevant, discussion of the specific provisions. It is not intended to discuss whether and how particular provisions could potentially be enforced against the President, although it is important to note that the Department of Justice (DOJ) has previously opined that sitting Presidents are not subject to indictment for violations of federal criminal law.¹

Provisions Apparently Inapplicable to the President

Most provisions in Chapter 11 of the United States Code (“Bribery, Graft and Conflicts of Interest”)—which are considered federal conflict of interest laws in the federal criminal code—do not apply to the President, Vice President, or Members of Congress. This is because the definitions of “officer” and “employee” given in 18 U.S.C. § 202 provide that these terms will not include the President or Vice President for purposes of the following statutory provisions:

- 18 U.S.C. § 203 (compensation for representational activities before the government);
- 18 U.S.C. § 205 (acting as an agent or attorney for anyone before the government);
- 18 U.S.C. § 207 (“revolving door,” post-employment conflict of interest law);
- 18 U.S.C. § 208 (the principal financial conflict of interest law requiring “recusal” or disqualification of officials participating in governmental matters affecting their personal financial interests);
- 18 U.S.C. § 209 (receipt of outside compensation as salary or supplementation of salary for one’s official, governmental duties).

It may be noted that even before the President and Vice President were expressly excluded from the definitions of “officer” and “employee” in 1989, the DOJ was of the opinion that 18 U.S.C. § 208, the

¹ A Sitting President’s Amenability to Indictment and Criminal Prosecution, 24 Op. O.L.C. 222 (2000).

required recusal or disqualification statute, could not be applied to the President or the Vice President because the statute could interfere with the conduct of their constitutional duties.²

Portions of the Ethics in Government Act of 1978, as amended,³ provide statutory rules, guidelines, and limitations on outside employment, outside earned income, and compensation and affiliation with private, outside business or professional entities for high-ranking noncareer officers and employees.⁴ Although the statute itself is silent as to the application of these provisions to the President and Vice President, it directs the administration of the statutory provisions and limitations to the Office of Government Ethics (OGE) for the entire executive branch.⁵ The regulations promulgated by OGE on these matters expressly exclude the application of these portions of the Ethics in Governments Act (although not other provisions discussed below) to the President and Vice President.⁶

In addition to the criminal conflict of interest laws, and other statutory “ethics” provisions, the OGE has promulgated detailed and extensive regulations on official conduct and conflicts of interest for officers and employees of the executive branch.⁷ These “Standards of Ethical Conduct” regulations address a wide range of issues including the receipt of gifts, conflicting financial interests, impartiality in performing official duties, seeking private employment, misuse of one’s official position, and outside employment and other outside activities. The regulations specifically provide, however, that—other than for the sections on gifts from outside sources and gifts from other federal employees—the definition of employee “does not include the President or Vice President.”⁸

Provisions Potentially Applicable to the President

Given the express exclusions, and given the differences of opinion as to what constitutes an “ethics” law or regulation, the following federal provisions might technically apply to the President:

- U.S. CONST., art. I, § 9, cl. 8 (foreign gifts and emoluments) (“ . . . no Person holding any Office of Profit or Trust under [the United States], shall, without the Consent of the Congress, accept of any present, emolument, Office, or Title, of any kind whatever, from any King, Prince, or foreign State.”)
- 5 U.S.C. app. §§ 101-111 (Ethics in Government Act of 1978) (financial disclosure requirements of federal personnel). *See especially* 5 U.S.C. app. § 101(a) & (f).
- 5 U.S.C. § 3110 (Employment of relatives; restrictions).
- 5 U.S.C. § 7342 (Receipt and disposition of foreign gifts and decorations). *See especially* 5 U.S.C. § 7342(a)(1)(E).
- 5 U.S.C. § 7353 (Gifts to Federal employees). *But see* 5 C.F.R. § 2635.204(j) (permitting the President and Vice President to accept certain gifts because of “considerations

² See Letter from Laurence H. Silberman, Acting Attorney General, U.S. DOJ, to the Chairman, Senate Committee on Rules and Administration (Sept. 20, 1974) (concerning the nomination of Nelson A. Rockefeller to fill the vacancy as Vice President).

³ Pub. L. No. 95-521, 68 Stat. 730 (1978), as added by the Ethics Reform Act of 1989, Pub. L. No. 101-194, 103 Stat. 1716 (1989).

⁴ See 5 U.S.C. app. §§ 501-505.

⁵ *Id.* § 503(2).

⁶ 5 C.F.R. § 2636.102(c).

⁷ *Id.* §§ 2635.101 - 2635.902.

⁸ *Id.* § 2635.102(h). Although the definitions section does not exclude the President from the regulations regarding gifts from outside sources, the section on such gifts itself generally excludes the President and Vice President from the restrictions, limitations, and requirements on accepting (but not necessarily on soliciting) gifts from outside domestic sources because of considerations of “protocol and etiquette” relating to the conduct of their duties. *See id.* § 2635.204(j).

relating to the conduct of their offices,” including those of protocol and etiquette). Under OGE regulations, the President is prohibited only from soliciting a gift from a prohibited source, or receiving or soliciting a gift in violation of the illegal gratuities provision (i.e., “for or because of” an official act).

- 18 U.S.C. § 201 (Bribery of public officials and witnesses (and the lesser included offense of “illegal gratuities” at 18 U.S.C. § 201(c)(1))).
 - 18 U.S.C. § 211 (Acceptance or solicitation to obtain appointive public office).
 - 18 U.S.C. § 219 (Officers and employees acting as agents of foreign principals).
 - 18 U.S.C. § 1905 (Disclosure of confidential information generally) (prohibiting all officers or employees of the United States from disclosing or divulging “proprietary” information coming to the official in the course of official duties relating to trade secrets, processes, or operations, or the identity, confidential statistical data, amount, or source of any income, profits, losses, or expenditures of any person, firm, partnership, or corporation).
-

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN 11 2017

The Honorable Orrin Hatch
Chairman
Committee on Finance
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Thomas E. Price. President-Elect Trump has announced his intention to nominate Dr. Price for the position of Secretary, Department of Health and Human Services.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

A handwritten signature in black ink that reads "Walter M. Shaub, Jr." with a stylized flourish at the end.

Walter M. Shaub, Jr.
Director

Enclosures

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN 12 2017

The Honorable Lamar Alexander
Chairman
Committee on Health, Education,
Labor, and Pensions
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Thomas E. Price. President-Elect Trump has announced his intention to nominate Dr. Price for the position of Secretary, Department of Health and Human Services.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

A handwritten signature in blue ink, appearing to read "Walter M. Shaub, Jr.", written in a cursive style.

Walter M. Shaub, Jr.
Director

Enclosures

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN - 4 2017

The Honorable James M. Inhofe
Chairman
Committee on Environment and
Public Works
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Edward Scott Pruitt. President-Elect Trump has announced his intention to nominate Mr. Pruitt for the position of Administrator, Environmental Protection Agency.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

A handwritten signature in blue ink that reads "Walter M. Shaub, Jr." with a stylized flourish at the end.

Walter M. Shaub, Jr.
Director

Enclosures



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Appointment and Confirmation of Executive Branch Leadership: An Overview

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Summary

The Constitution divides the responsibility for populating the top positions in the executive branch of the federal government between the President and the Senate. Article II, Section 2 empowers the President to nominate and, by and with the advice and consent of the Senate, to appoint the principal officers of the United States, as well as some subordinate officers.

These positions are generally filled through the advice and consent process, which can be divided into three stages:

- First, the White House selects and clears a prospective appointee before sending a formal nomination to the Senate.
- Second, the Senate determines whether to confirm a nomination. For most nominations, much of this process occurs at the committee level.
- Third, the confirmed nominee is given a commission and sworn into office, after which he or she has full authority to carry out the duties of the office.

The President may also be able to fill vacancies in advice and consent positions in the executive branch temporarily through other means. If circumstances permit and conditions are met, the President could choose to give a recess appointment to an individual. Such an appointment would last until the end of the next session of the Senate. Alternatively, in some cases, the President may be able to designate an official to serve in a vacant position on a temporary basis under the Federal Vacancies Reform Act or under statutory authority specific to the position.

Congress has selectively included certain types of statutory provisions when establishing specific executive branch positions. These provisions include those that require appointees to have specified qualifications, that set fixed terms of office, that limit the circumstances under which the President can remove an officeholder, that specify how the chair of a collegial board or commission will be selected and may be removed, and that allow an incumbent to remain in office past the end of a term until a successor is appointed (hold over). Although these types of provisions may be found in the establishing statutes for a variety of positions, they are particularly common for members of regulatory and other collegial boards and commissions. In some cases, these types of provisions have influenced the dynamics of the Senate confirmation process. They also may be factored into the selection and vetting process in the Administration.

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Introduction

The Constitution divides the responsibility for populating the top positions in the federal government between the President and the Senate. The appointments clause (Article II, Section 2) empowers the President to nominate and, by and with the advice and consent of the Senate, to appoint the principal officers of the United States, as well as some subordinate officers.¹ Specifically, the appointments clause provides that

[The President] shall nominate, and by and with the Advice and Consent of the Senate, shall appoint Ambassadors, other public Ministers and Consuls, Judges of the supreme Court, and all other Officers of the United States, whose Appointments are not herein otherwise provided for, and which shall be established by Law.

Officers of the United States are those appointees who are “exercising *significant authority* pursuant to the laws of the United States” (emphasis added).²

This report provides an overview of high-ranking executive branch positions of this nature—presidentially appointed positions that require the advice and consent of the Senate. These positions are often referred to as “advice and consent positions” or “PAS positions.”³

The report begins by explaining the three distinct stages that comprise the advice and consent process, which is the means through which most of these positions are filled. These three stages are selection and nomination, Senate consideration, and appointment. The report then provides an overview of recess appointments and briefly discusses other options that are available to Presidents for temporarily filling vacant advice and consent positions. Finally, it discusses certain types of statutory provisions that Congress has applied selectively to specific advice and consent positions in the executive branch: qualifications for officeholders, fixed terms of office, limitations on presidential removal, appointment and removal of chairs, and holdover provisions.

Some high-ranking positions in the federal government may be filled through means other than presidential appointment with Senate confirmation. The remainder of the appointments clause states that “the Congress may by Law vest the Appointment of such inferior Officers, as they think proper, in the President alone, in the Courts of Law, or in the Heads of Departments.” Congress has created many such positions in statute.⁴ These other types of positions, however, are beyond the scope of this report, as are positions in the federal judiciary.⁵

¹ For a constitutional and historical overview of the appointment process, see Michael J. Gerhardt, *The Federal Appointments Process: A Constitutional & Historical Analysis* (Durham, NC: Duke University Press, 2003).

² *Buckley v. Valeo*, 424 U.S. 1, 126 (1976). For further information on the distinction between officers and employees of the United States, as well as the distinction between principal and inferior officers, see CRS Report R40856, *The Debate Over Selected Presidential Assistants and Advisors: Appointment, Accountability, and Congressional Oversight*, by Barbara L. Schwemle et al.

³ This abbreviation stands for Presidential Appointment with Senate Confirmation. U.S. Congress, House Committee on Oversight and Government Reform, *United States Government Policy and Supporting Positions*, committee print, prepared by U.S. Office of Personnel Management, 112th Cong., 2nd sess., December 1, 2012 (Washington: GPO, 2012), p. v.

⁴ One study found that the President was authorized by law to fill 321 positions government-wide without the advice and consent of the Senate (U.S. Government Accountability Office, *Characteristics of Presidential Appointments That Do Not Require Senate Confirmation*, GAO-13-299R, March 1, 2013, <http://www.gao.gov/products/GAO-13-299R>). The Chief Justice is directed in statute to appoint certain officers, such as the director of the Administrative Office of (continued...)

Appointments Under the Advice and Consent Process

The appointment process for executive branch positions is generally considered to have three stages: selection and nomination by the President, consideration by the Senate, and appointment by the President.

Selection, Clearance, and Nomination

In the first stage, the White House selects and clears a prospective appointee before sending the formal nomination to the Senate. With the assistance of, and preliminary vetting by, the White House Office of Presidential Personnel, the President selects a candidate for the position.⁶ Members of Congress and interested parties sometimes have recommended candidates for specific PAS positions, particularly for positions located within a Member's state. Members have offered their suggestions by letter and by contact with a White House liaison.⁷ In general, the White House is under no obligation to follow such recommendations. In the case of the Senate, however, it has been argued that Senators are constitutionally entitled, by virtue of the advice and consent clause noted above, to provide advice to the President regarding his selection; the extent of this entitlement is a matter of some debate.⁸ As a practical matter, when Senators have perceived insufficient pre-nomination consultation has occurred, they have sometimes exercised their procedural prerogatives to delay, or even effectively block, consideration of a nomination.⁹

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the United States Courts (28 U.S.C. §601). For more information on the appointing power of the Chief Justice, see James E. Pfander, "The Chief Justice, the Appointment of Inferior Officers, and the 'Court of Law' Requirement," *Northwestern University Law Review*, vol. 107, no. 3 (2013), pp. 1125-1180, <http://www.law.northwestern.edu/lawreview/v107/n3/1125/LR107n3Pfander.pdf>. Certain positions, including several thousand in the Senior Executive Service, are appointed by agency heads, as provided by law. For more information about appointments to the Senior Executive Service, see CRS Report R41801, *The Senior Executive Service: Background and Options for Reform*, by Maeve P. Carey.

⁵ For information about judicial appointments, see CRS Report RL31989, *Supreme Court Appointment Process: Roles of the President, Judiciary Committee, and Senate*, by Barry J. McMillion and Denis Steven Rutkus; CRS Report R43762, *The Appointment Process for U.S. Circuit and District Court Nominations: An Overview*, by Denis Steven Rutkus; and CRS Report RL34405, *Role of Home State Senators in the Selection of Lower Federal Court Judges*, by Barry J. McMillion and Denis Steven Rutkus.

⁶ The current personnel selection and clearance process described here is the result of a number of historical developments in the post-World War II era in the White House's Executive Office of the President. For more information about the historical development of personnel recruiting and other practices, see G. Calvin Mackenzie, *The Politics of Presidential Appointments* (New York: The Free Press, 1981); and Bradley H. Patterson and James P. Pfiffner, "The White House Office of Presidential Personnel," *Presidential Studies Quarterly*, vol. 31, no. 3 (September 2001), pp. 418-420.

⁷ The Council for Excellence in Government's Presidential Appointee Initiative, *A Survivor's Guide for Presidential Nominees*, The Brookings Institution, Washington, D.C., November 2000, pp. 31-32.

⁸ See, for example, Michael J. Gerhardt, *The Federal Appointments Process: A Constitutional and Historical Analysis* (Durham: Duke University Press, 2003), pp. 29-34.

⁹ See, for example, *ibid.*, pp. 152-153.

During the clearance process,¹⁰ the candidate prepares and submits several forms, including the “Public Financial Disclosure Report” (Office of Government Ethics (OGE) 278), the “Questionnaire for National Security Positions” (Standard Form (SF) 86), a supplement to SF 86 (“86 Supplement”), and sometimes a White House Personal Data Statement.¹¹ The clearance process often includes a background investigation conducted by the Federal Bureau of Investigation (FBI), which prepares a report that is delivered to the White House. It also includes a review of financial disclosure materials by the Office of Government Ethics (OGE) and an ethics official for the agency to which the candidate is to be nominated. If conflicts of interest are found during the background investigation, OGE and the agency ethics officer may work with the candidate to mitigate the conflicts. At the completion of the clearance process, the nomination is ready to be submitted to the Senate.

The selection and clearance stage has often been the longest part of the appointment process. There have been lengthy delays at times, particularly when many candidates have been processed simultaneously, such as at the beginning of an Administration, or where conflicts needed to be resolved. Candidates for higher-level positions have often been accorded priority in this process. At the end of 2004, in an effort to reduce the elapsed time between a new President’s inauguration and the appointment of his or her national security team, Congress enacted amendments to the Presidential Transition Act of 1963.¹² These amendments encourage a President-elect to submit, for security clearance, potential nominees to high-level national security positions as soon as possible after the election.¹³ A separate provision of law relating to transitions, enacted as part of the Federal Vacancies Reform Act of 1998 (Vacancies Act), lengthens the potential duration of a temporary appointment. (See section below entitled “Other Temporary Appointments.”)¹⁴

A nominee has no legal authority to assume the duties and responsibilities of the position; a nominee who is hired by the agency as a consultant while awaiting confirmation may serve only in an advisory capacity. Authority to act comes once there is Senate confirmation and presidential appointment, or through another method of appointment, such as a recess appointment or other temporary appointment.¹⁵

¹⁰ The White House process for clearing individuals before nominating them is distinct from the process that individuals undertake to obtain a formal security clearance to be eligible for access to classified information. Regarding the latter process, see CRS Report R43216, *Security Clearance Process: Answers to Frequently Asked Questions*, by Michelle D. Christensen and Frederick M. Kaiser.

¹¹ More detailed information about the selection and clearance process for nominees to executive branch positions can be found in a November 2012 study that was conducted pursuant to P.L. 112-166, the Presidential Appointment Efficiency and Streamlining Act. See Working Group on Streamlining Paperwork for Executive Nominations, *Streamlining Paperwork for Executive Nominations: Report to the President and the Chairs and Ranking Members of the Senate Committee on Homeland Security and Governmental Affairs and the Senate Committee on Rules and Administration*, November 2012, at <http://www.hsgac.senate.gov/download/report-of-working-group-on-streamlining-paperwork-for-executive-nominations-final>. According to this report, the Administration of President Barack Obama was not using a White House Personal Data Statement at the time of the report’s publication. See also National Academy of Public Administration, *A Survivor’s Guide for Presidential Nominees*, Washington, DC, 2013 Edition, at <http://www.napawash.org/wp-content/uploads/2013/05/SurvivorsGuide2013.pdf>.

¹² 3 U.S.C. §102 note. For more on this statute, see CRS Report RS22979, *Presidential Transition Act: Provisions and Funding*, by Henry B. Hogue.

¹³ P.L. 108-458, §7601; 118 Stat. 3856.

¹⁴ 5 U.S.C. §3349a(b). Notably, this statute does not apply to regulatory and other collegial boards and commissions.

¹⁵ In *Buckley v. Valeo*, the Supreme Court held that “any appointee exercising significant authority pursuant to the laws of the United States is an ‘Officer of the United States,’ and must, therefore, be appointed in the manner prescribed” in Article II, Section 2, clause 2 of the Constitution (424 U.S. 1, 126 (1976)). This would appear to preclude consultants (continued...)

Senate Consideration

In the second stage of the appointment process, the Senate alone determines whether or not to confirm a nomination.¹⁶ The Senate's action on a nomination varies, depending largely on the importance of the position involved, existing political circumstances, and policy implications. Most presidential appointees are confirmed routinely by the Senate, without public debate. Other appointees receive more attention from Congress and the media through hearings, investigations, and floor debate. Historically, the Senate has shown particular interest in the nominee's views and how they are likely to affect public policy.¹⁷ Two other factors have sometimes affected the examination of a nominee's personal and professional qualities: whether the President's party controlled the Senate, and the degree to which the President became involved in supporting the nomination.

Much of the Senate confirmation process occurs at the committee level. Administratively, nominations are received by the Senate executive clerk, who usually arranges for the referral of the nominations to committee, according to the Senate rules and precedents.¹⁸ Committee nomination activity has generally included investigation, hearing, and reporting stages. As part of investigatory work, committees have drawn on information provided by the White House, as well as information they themselves have collected. Some committees have held hearings on nearly all nominations; others have held hearings for only some. Hearings provide a public forum to discuss a nomination and any issues related to the program or agency for which the nominee would be responsible. Even where confirmation has been thought by most to be a virtual certainty, hearings have provided Senators and the nominee with opportunities to go on the record with particular views or commitments. Senators have used hearings to explore nominees' qualifications, articulate policy perspectives, or raise related oversight issues.

In response to an increasing perception among many Senators that processing and confirming nominations to over 1,000 positions in the executive branch was becoming too burdensome, a bipartisan effort was undertaken in 2011 to address how the Senate processes nominations.¹⁹ In August 2011, the Senate agreed to S.Res. 116, a resolution "to provide for expedited Senate

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and nominees, who have not been so appointed, from exercising such authority. The exclusivity provision of the Vacancies Reform Act (5 U.S.C. §3347) is consistent with this interpretation. It establishes the act as the "exclusive means for temporarily authorizing an acting official to perform the functions and duties of" most advice and consent positions, unless otherwise expressly provided in law, or unless the President uses his recess appointment authority.

¹⁶ For further information on the procedures and history of this stage of the appointment process, see CRS Report RL31980, *Senate Consideration of Presidential Nominations: Committee and Floor Procedure*, by Elizabeth Rybicki; and CRS Report RL31948, *Evolution of the Senate's Role in the Nomination and Confirmation Process: A Brief History*, by Betsy Palmer, who has since left CRS; questions about the report's content can be directed to Elizabeth Rybicki, Specialist on Congress and the Legislative Process.

¹⁷ G. Calvin Mackenzie, *The Politics of Presidential Appointments* (New York: The Free Press, 1981), pp. 97-189.

¹⁸ Formally, the presiding officer of the Senate makes the referrals. For more information, see Floyd M. Riddick and Alan S. Frumin, *Riddick's Senate Procedure: Precedents and Practices*, 101st Cong., 2nd sess., S.Doc. 101-28 (Washington: GPO, 1992), pp. 1154-8; CRS Report RL31980, *Senate Consideration of Presidential Nominations: Committee and Floor Procedure*, by Elizabeth Rybicki; and CRS Report RL30959, *Presidential Appointee Positions Requiring Senate Confirmation and Committees Handling Nominations*, by Christopher M. Davis and Jerry W. Mansfield.

¹⁹ See Sen. Charles Schumer, remarks in Senate, *Congressional Record*, daily edition, vol. 157 (March 30, 2011), p. S1988, and Sen. Susan Collins, remarks in Senate, *Congressional Record*, daily edition, vol. 157 (March 30, 2011), p. S1990.

consideration of certain nominations subject to advice and consent.”²⁰ S.Res. 116 established a potentially faster Senate confirmation process for nominations to a certain set of positions, which are identified in the resolution. Under the conditions of S.Res. 116, most nominations to the specified set of positions bypass formal committee referral and consideration, although committees are still responsible for collecting background information on the nominees. After the committee receives the background information from the executive branch and the nominee, the nomination can become eligible for consideration by the full Senate, unless any single Senator objects to using the expedited process. If a Senator objects, the nomination is referred to committee in the usual manner, and the committee can choose to proceed with formal consideration.²¹

With regard to each nomination that is referred to a committee, the committee may decline to act on it at any point—upon referral, after investigation, or after a hearing. If the committee votes to report a nomination to the full Senate, it has three options: it may report the nomination favorably, unfavorably, or without recommendation. A failure to obtain a majority on the motion to report means the nomination will not be reported to the Senate. Failure of a nomination to make it out of committee has occurred for a variety of reasons, including opposition to the nomination, inadequate amount of time for consideration of the nomination, or factors that may not be directly related to the merits of the nomination. If the committee declines to report a nomination, the Senate may, under certain circumstances, discharge the committee from further consideration of the nomination in order to bring it to the floor.²²

The Senate historically has confirmed most, but not all, executive branch nominations. In rare instances, a vote to confirm a nomination has failed on the Senate floor. Often, unsuccessful nominations fail to be reported or discharged from committee, as just discussed. Sometimes, however, a nomination is reported from committee but is not taken up on the floor of the Senate because of opposition to it by one or more Senators. Because of this opposition, the Senate is not able to consider and confirm the nomination by unanimous consent. The Senate will sometimes seek to overcome this opposition by invoking cloture.²³ Historically, invoking cloture was usually a difficult and time-consuming way to confirm a nomination. The support of at least 60 Senators was needed to invoke cloture, and this vote would be followed by up to 30 hours of debate on the nomination before a vote on confirmation itself. In November 2013, the Senate reinterpreted its rules to mean that only a simple majority of those Senators voting is required to invoke cloture on

²⁰ In August 2011, the Senate also passed S. 679, the Presidential Appointment Streamlining and Efficiency Act of 2011, which was enacted in August 2012 (P.L. 112-166). That law removed the requirement for the Senate’s advice and consent for appointees to 163 positions in the executive branch, as well as hundreds of positions in the National Oceanic and Atmospheric Administration Officer Corps and the Public Health Service Officer Corps, authorizing the President alone to appoint those officials. For more information, see CRS Report R41872, *Presidential Appointments, the Senate’s Confirmation Process, and Changes Made in the 112th Congress*, by Maeve P. Carey, and U.S. Congress, Senate Committee on Homeland Security and Governmental Affairs, Presidential Appointment Efficiency and Streamlining Act of 2011, report to accompany S. 679, to reduce the number of executive positions subject to Senate confirmation, 112th Cong., 1st sess., June 21, 2011, S.Rept. 112-24 (Washington: GPO, 2011).

²¹ For more information on S.Res. 116, including the list of positions included in the resolution, see CRS Report R41872, *Presidential Appointments, the Senate’s Confirmation Process, and Changes Made in the 112th Congress*, by Maeve P. Carey.

²² For more information, see CRS Report RL31980, *Senate Consideration of Presidential Nominations: Committee and Floor Procedure*, by Elizabeth Rybicki.

²³ In brief, cloture is a Senate procedure that limits further consideration of a pending nomination or other matter to a specified number of hours. For more information on cloture attempts on nominations, see CRS Report RL32878, *Cloture Attempts on Nominations: Data and Historical Development*, by Richard S. Beth.

any nomination except for one to a position on the United States Supreme Court.²⁴ The number of hours of post-cloture debate was unchanged by the new precedent.

Senate rules provide that “nominations neither confirmed nor rejected during the session at which they are made shall not be acted upon at any succeeding session without being again made to the Senate by the President...”²⁵ In practice, such nominations have sometimes been returned to the President at the end of the first session and are always returned to the President at the end of the Congress. Nominations also may be returned automatically to the President at the beginning of a recess of more than 30 days, but the Senate rule providing for this return has often been waived.²⁶

Appointment

In the final stage of the appointment process, the confirmed nominee is given a commission signed by the President (which bears the Great Seal of the United States) and sworn into office. The President may sign the commission at any time after confirmation, at which time the appointment becomes official. Once the appointee is given the commission and sworn in, he or she has full authority to carry out the responsibilities of the office.

Recess Appointments

The Constitution also empowers the President to make a limited-term appointment to fill a vacancy without Senate confirmation when the Senate is in recess. Recess appointments expire at the end of the following session of the Senate.²⁷

Presidents have occasionally used the recess appointment power to circumvent the confirmation process. In response, Congress has enacted provisions that restrict the pay of recess appointees under certain circumstances. Because most potential appointees to full-time positions would not serve without a salary, the President has an incentive to use his recess appointment authority in ways that allow them to be paid. Under one such statute, if the position falls vacant while the Senate is in session and the President fills it by recess appointment, the appointee may not be paid from the Treasury until he or she is confirmed by the Senate. However, the salary prohibition does not apply

(1) if the vacancy arose within 30 days before the end of the session of the Senate;

(2) if a nomination for the office, other than the nomination of an individual given a recess appointment during the preceding recess of the Senate, was pending when the Senate recessed; or

²⁴ See CRS Report R43331, *Majority Cloture for Nominations: Implications and the “Nuclear” Proceedings*, by Valerie Heitshusen.

²⁵ The rule may be found in U.S. Congress, Senate Committee on Rules and Administration, *Senate Manual*, 112th Cong., 1st sess., S. Doc. 112-1 (Washington: GPO, 2011), p. 58, Rule XXXI, paragraph 6 of the Standing Rules of the Senate.

²⁶ For an example of a waiver of the rule, see Sen. Harry Reid, “Nominations in Status Quo,” *Congressional Record*, daily edition, vol. 158, August 2, 2012, p. S6006.

²⁷ U.S. Constitution, Art. II, §2, cl. 3. “The President shall have Power to fill up all Vacancies that may happen during the Recess of the Senate, by granting Commissions which shall expire at the End of their next Session.”

(3) if a nomination to the office was rejected by the Senate within 30 days of the end of the session and another individual was given the recess appointment.²⁸

A recess appointment falling under any one of these three exceptions must be followed by a nomination to the position not later than 40 days after the beginning of the next session of the Senate.²⁹ For this reason, when a recess appointment is made, the President generally submits a new nomination to the position even when an old nomination is pending.³⁰ This statute has been interpreted by the Department of Justice to preclude payment of an appointee who is given successive recess appointments to the same position.³¹

Although recess appointees whose nominations to a full term are subsequently rejected by the Senate may continue to serve until the end of their recess appointments, a provision of the FY2008 Financial Services and General Government Appropriations Act may prevent them from being paid after their rejections. The provision reads, “Hereafter, no part of any appropriation contained in this or any other Act shall be paid to any person for the filling of any position for which he or she has been nominated after the Senate has voted not to approve the nomination of said person.”³² Prior to this provision, similar wording had been included in annual funding measures for most or all of the previous 50 years.

From the 110th Congress on, Congress has periodically used specific scheduling practices in an attempt to prevent the President from making recess appointments. The evolution of these practices, the President’s response to them, and associated controversies are beyond the scope of this report.³³

Other Temporary Appointments

As just discussed, the Constitution authorizes the President to fill vacant PAS positions on a temporary basis through recess appointments. Congress has provided more limited statutory authority for doing so, as well. Under the Vacancies Act,³⁴ when an executive agency position requiring confirmation becomes vacant, it may be filled temporarily in one of three ways:

²⁸ 5 U.S.C. §5503(a).

²⁹ 5 U.S.C. §5503(b).

³⁰ For further information, see CRS Report RS21308, *Recess Appointments: Frequently Asked Questions*, by Henry B. Hogue; and CRS Report RL33009, *Recess Appointments: A Legal Overview*, by Vivian S. Chu.

³¹ A 1991 Department of Justice opinion stated, “Although its language is far from clear, section 5503(a) has been interpreted as prohibiting the payment of compensation to successive recess appointees.” 15 Op. O.L.C. 93 (1991). See also 6 Op. O.L.C. 585 (1982); 41 Op. A.G. 463 (1960). While this provision implicitly bars payments to successive recess appointees, however, some legal interpretations have suggested that the prohibition does not apply to all successive recess appointments. Under such interpretations, “if someone other than a prior recess appointee whose nomination was pending at the time of adjournment is appointed, §5503(a)(2) does not bar payment.” 6 Op. O.L.C. 585, 586 (1982). See also “Modern Statutory Pay Restriction (5 U.S.C. §5503)” in CRS Report RL33009, *Recess Appointments: A Legal Overview*, by Vivian S. Chu.

³² P.L. 110-161, Div. D, Title VII, §709; 121 Stat. 2021.

³³ See CRS Report RS21308, *Recess Appointments: Frequently Asked Questions*, by Henry B. Hogue; CRS Report R42329, *Recess Appointments Made by President Barack Obama*, by Henry B. Hogue; and CRS Report RL33009, *Recess Appointments: A Legal Overview*, by Vivian S. Chu.

³⁴ P.L. 105-277, Div. C, Title I, §151; 112 Stat. 2681-611; 5 U.S.C. §§3345-3349d.

1. the first assistant to such a position may automatically assume the functions and duties of the office;
2. the President may direct an officer in any agency who is occupying a position requiring Senate confirmation to perform those tasks; or
3. the President may select any officer or employee of the subject agency who is occupying a position for which the rate of pay is equal to or greater than the minimum rate of pay at the GS-15 level and who has been with the agency for at least 90 of the preceding 365 days.

A temporary appointment made under the Vacancies Act is limited to 210 days from the date of the vacancy, but the time restriction is suspended if a first or second nomination for the position is pending. In addition, during a presidential transition, the 210-day restriction period does not begin until either 90 days after the President assumes office, or 90 days after the vacancy occurs, if the vacancy occurs within the 90-day inauguration period.

The Vacancies Act does not apply to positions on multi-headed regulatory boards and commissions or to certain other specific positions that may be filled temporarily under other statutory provisions.³⁵

In some cases, Congress has expressly provided in statute for the temporary filling of vacancies in a particular advice and consent position. Generally, such provisions employ one or more of several methods: (1) a specified official is automatically designated as acting; (2) a specified official is automatically designated as acting, unless the President provides otherwise; (3) the President designates an official to serve in an acting capacity; or (4) the head of the agency in which the vacancy exists designates an acting official.³⁶

Selectively Applied Statutory Provisions

Congress has selectively enacted certain types of statutory provisions when establishing specific executive branch positions. These provisions pertain to qualifications, fixed terms of office, limitations on presidential removal of an officeholder, chair selection and removal, and holdover authority. Although these types of provisions may be found in the establishing statutes for a variety of positions, they are particularly common for members of regulatory and other collegial boards and commissions. In some cases, these types of provisions have influenced the dynamics of the Senate confirmation process discussed above. They also may be factored into the selection and vetting process in the Administration. Each of these statutory provision types is discussed below.

³⁵ For more on the Vacancies Act, see CRS Report 98-892, *The New Vacancies Act: Congress Acts to Protect the Senate's Confirmation Prerogative*, by Morton Rosenberg. Rosenberg has since retired from CRS; questions about the report's content can be directed to Vivian S. Chu, Legislative Attorney.

³⁶ See CRS Report RS21412, *Temporarily Filling Presidentially Appointed, Senate-Confirmed Positions*, by Henry B. Hogue.

Qualifications

In many instances, Congress has mandated that appointees to particular leadership positions meet specified requirements. Some statutory qualification provisions, like those for the administrator of the Federal Emergency Management Agency (FEMA), require that appointees have certain experience, skills, or educational backgrounds that are associated with competence.³⁷ Other qualification provisions address a variety of characteristics, such as citizenship status, residency, or, for the purpose of maintaining political balance on regulatory boards, political party affiliation. Congress has, however, used qualification provisions selectively; most executive branch positions do not have statutory qualifications.

Statutory qualifications associated with a particular position might affect the selection and Senate consideration of nominees. The Administration's selection process might be limited to a smaller group of potential candidates for the position than would otherwise be the case. On one hand, such a limitation might yield a nominee who has the profile envisioned when the office was established. On the other hand, the statutory requirement might prevent the nomination of an individual who did not meet one or more of the qualifications, but is otherwise well-suited for the post. Should the President elect to nominate an individual whose qualifications are perceived to fall short of the statutory requirements, the Senate must then determine whether to take note of that fact and whether to confirm the nominee nonetheless.³⁸

Most boards and commissions are required, by statute, to have a political balance among their members (e.g., no more than three of five, or five of seven, may be from the same political party), so the White House has often negotiated over nominations to these positions with leaders of the opposition party in Congress. These negotiations involve both political and policy considerations, especially when the board or commission is involved in areas that, at the time, may be particularly sensitive. This has sometimes resulted in a packaging process in which the President has submitted several nominations together for positions on a particular board or commission, and the Senate has then considered and confirmed them as a group.³⁹

Fixed Terms and Removal Limitations

Some advice and consent positions have statutorily set terms of office, typically periods of four to seven years. Such a term may be set to coincide with the presidential election cycle, as it does for the Director of the Office of Personnel Management (OPM),⁴⁰ or to overlap Administrations, as it

³⁷ Section 313 of Title 6 of the *United States Code* provides that the FEMA administrator “shall be appointed by the President, by and with the advice and consent of the Senate ... from among individuals who have – (A) a demonstrated ability in and knowledge of emergency management and homeland security; and (B) not less than 5 years of executive leadership and management experience in the public or private sector.”

³⁸ For an in depth discussion of qualifications, see CRS Report RL33886, *Statutory Qualifications for Executive Branch Positions*, by Henry B. Hogue.

³⁹ For example, on September 23, 2013, the Senate, by unanimous consent, confirmed, en bloc, two nominees with different political party affiliations to seats on the Federal Election Commission. Two other nominations were also confirmed during this process. See Senator Harry Reid, “Unanimous Consent Request—Executive Calendar,” remarks in the Senate, *Congressional Record*, daily edition, vol. 159, part 126 (September 23, 2013), p. S6674. See also Kenneth P. Doyle, “FEC Nominations of Goodman, Ravel Confirmed by Unanimous Senate Vote,” *Bloomberg BNA Money & Politics Report*, September 23, 2013 (copy available from author).

⁴⁰ 5 U.S.C. §1102.

does with the Director of the Federal Bureau of Investigation (FBI).⁴¹ As discussed below, fixed terms are common for members of collegial boards and commissions, and the terms of the members of such a body are often designed to expire in a staggered manner.

Even though they have statutorily established terms of office, appointees to many fixed-term positions serve at the pleasure of the President. This means that incumbents can be removed by the President at any time for any reason (or no stated reason), as is the case with most presidential appointments.⁴² Lacking protection from removal, incumbents in these positions may remain subject to close guidance and direction from the President, as well as to removal at the time of a presidential transition.⁴³ A fixed term might not prevent the removal of an incumbent by the President, but it might inhibit such an action, because it establishes the given period as the normal or expected tenure of an appointee. The length of the term might also influence the independence of the appointee from the President.⁴⁴ An official serving a short term may be more susceptible to Presidential direction, especially if he or she might be reappointed by that President. On the other hand, an official whose term of office is longer than that of the President who appointed him or her may be less likely to feel a sense of allegiance or commitment to the President's successor.

In many instances where Congress has established a position with a fixed term, the statute provides that the President may remove an incumbent from office only for cause. For example, with regard to the Federal Energy Regulatory Commission (FERC), the *United States Code* provides that members “shall hold office for a term of 5 years and may be removed by the President only for inefficiency, neglect of duty, or malfeasance in office.”⁴⁵ Provisions such as this one limit the ability of a President to remove, or threaten to remove, an appointee solely for political reasons. Arguably, this is the characteristic with the most impact on the level of independence of an agency's leadership from the President's direction. Many independent regulatory boards and commissions have authorizing statutes with such provisions. To the degree that a particular independent regulatory commission exercises quasi-judicial functions, it could be argued, based on a 1958 Supreme Court ruling, that its members would be protected from presidential removal even absent a specific provision to that effect.⁴⁶ Although such provisions are less common in laws establishing single administrator-headed agencies, leaders of at least four of these organizations have such for-cause protections: the Social Security Administration (SSA), the Office of Special Counsel (OSC), the Federal Housing Finance Agency (FHFA), and the Consumer Financial Protection Bureau (CFPB).

White House vetting and selection and Senate consideration of nominations to fixed-term positions might entail a set of considerations different from those involving an appointment of indefinite duration. Where incumbents are protected from at-will presidential removal, they are unlikely to be involuntarily removed from office. In addition, where the tenure of a nominee's

⁴¹ 28 U.S.C. §532 note. The term of the Director of the FBI is 10 years. See CRS Report R41850, *FBI Director: Appointment and Tenure*, by Vivian S. Chu and Henry B. Hogue.

⁴² It has long been recognized that “the power of removal [is] incident to the power of appointment.” *Ex Parte Hennen*, 38 U.S. (13 Pet.) 230, 259 (1839).

⁴³ By tradition, appointees to these positions usually step down when the appointing President leaves office, unless asked to stay by the President-elect. Were an at-will appointee not to do so, the incoming President could remove him or her upon taking office.

⁴⁴ In the case of a commission, the longer the duration of the terms of its members, the lower the probability that one President will have the opportunity to appoint all of its members.

⁴⁵ 42 U.S.C. §7171(b)(1).

⁴⁶ *Wiener v. United States*, 357 U.S. 349 (1958).

appointment would outlast that of an incumbent President, those Senators not of the President's party might elect to prevent confirmation so as to preserve a vacancy that could be filled by an incoming President of their party.

The fixed terms for the members of many federal boards and commissions have set beginning and end dates, irrespective of whether the posts are filled or when appointments are made.⁴⁷ The end dates of the fixed terms of a board's various members are staggered, so that the terms do not expire all at once. The use of terms with fixed beginning and end dates is intended to minimize the occurrence of simultaneous board member departures and thereby increase leadership continuity.

In the case of a position with a fixed term with set beginning and end dates, an individual is nominated to a particular seat and a particular term of office. An individual may be nominated and confirmed for a seat for the remainder of an unexpired term in order to replace an appointee who has resigned (or died). Alternatively, an individual might be nominated for an upcoming term with the expectation that the new term will be underway by the time of confirmation. Occasionally, where only a few months of the unexpired term remain, the President has submitted two nominations of the same person simultaneously—the first to complete the unexpired term and the second to complete the entire succeeding term of office.⁴⁸

Appointment and Removal of Chairs

On some commissions, the chair is subject to Senate confirmation and must be appointed from among the incumbent commissioners. If the President wishes to appoint someone who is not on the commission to be chair, two nominations are submitted simultaneously for the nominee—one for member and the other for chair. For many independent boards and commissions, the chair is appointed from among the group's members by the President alone, without a separate nomination. Often, the President will make his intentions clear when nominating a member whom he plans to designate as chair, once confirmed.

Chairs of executive branch boards and commissions typically serve in that role at the pleasure of the President. Thus, a President generally could remove an incumbent from his or her role as chair as a result of a policy or political disagreement. However, he would usually have to satisfy a higher "for cause" threshold for removing the same individual from his or her role as a member of the board or commission.

⁴⁷ For example, each of the five seats on the Equal Employment Opportunity Commission has a five-year term. Each year on July 1, the term of one seat expires. On the following day the five-year clock for this seat's next term begins, regardless of whether the seat is occupied. In contrast, for a few agencies, such as the Chemical Safety and Hazard Investigation Board, the full term begins to run when an appointee takes office, and it expires after the incumbent has held the post for the requisite period of time.

⁴⁸ For example, on January 22, 2013, President Obama submitted two nominations of Sylvia M. Becker to be a member of the Foreign Claims Settlement Commission of the United States. The first of these was to complete the remainder of a three-year term that was to expire on September 30, 2013. The second nomination was to the full three years of the succeeding term, which was to expire on September 16, 2016. The Senate confirmed both nominations on June 27, 2013.

Holdover Provisions

Some statutes that establish fixed terms for particular positions also permit an incumbent to remain in office past the end of her or his term without additional appointment or confirmation. In some cases, such a “holdover” provision allows an official to continue serving until he or she is replaced.⁴⁹ In other cases, the individual may serve for a specified period linked to the calendar⁵⁰ or for some period that is linked to the congressional schedule.⁵¹

Holdover provisions may affect the dynamics of the advice and consent process. If the President, on one hand, or key Senators, on the other, are satisfied with the performance of an incumbent member serving in a holdover capacity, nomination or confirmation of a successor might be less likely than it would be if the position were vacant. Some boards and commissions have experienced extended periods during which one or more of their members are serving in a holdover capacity. For example, as of May 2015, five of the six members of the Federal Election Commission (FEC) were serving in a holdover capacity, and four of them had been doing so for two years or longer.

⁴⁹ For example, with regard to the Federal Maritime Commission (FMC), the *United States Code* provides that when “the term of a Commissioner ends, the Commissioner may continue to serve until a successor is appointed and qualified” (46 U.S.C. §301(b)(2)).

⁵⁰ For example, with regard to the Consumer Product Safety Commission (CPSC), the *United States Code* provides that a commissioner “may continue to serve after the expiration of his term until his successor has taken office, except that he may not so continue to serve more than one year after the date on which his term would otherwise expire” (15 U.S.C. §2053(b)(2)).

⁵¹ For example, with regard to the Equal Employment Opportunity Commission (EEOC), the *United States Code* provides that “all members of the Commission shall continue to serve until their successors are appointed and qualified, except that no such member of the Commission shall continue to serve (1) for more than sixty days when the Congress is in session unless a nomination to fill such vacancy shall have been submitted to the Senate, or (2) after the adjournment sine die of the session of the Senate in which such nomination was submitted” (42 U.S.C. §2000e-4(a)).

Appendix. Additional CRS Information on Presidential Appointments

A number of CRS reports discuss the process and characteristics described above, as well as other related topics. Those reports include the following:

- CRS Report RL31980, *Senate Consideration of Presidential Nominations: Committee and Floor Procedure*, by Elizabeth Rybicki
- CRS Report RL30959, *Presidential Appointee Positions Requiring Senate Confirmation and Committees Handling Nominations*, by Christopher M. Davis and Jerry W. Mansfield
- CRS Report RL32878, *Cloture Attempts on Nominations: Data and Historical Development*, by Richard S. Beth
- CRS Report R43331, *Majority Cloture for Nominations: Implications and the “Nuclear” Proceedings*, by Valerie Heitshusen
- CRS Report R40119, *Filling Advice and Consent Positions at the Outset of a New Administration*, by Henry B. Hogue and Maureen O. Bearden
- CRS Report R42963, *Nominations to Cabinet Positions During Inter-Term Transitions Since 1984*, by Maeve P. Carey, Michael Greene, and Henry B. Hogue
- CRS Report R41872, *Presidential Appointments, the Senate’s Confirmation Process, and Changes Made in the 112th Congress*, by Maeve P. Carey
- CRS Report RS21308, *Recess Appointments: Frequently Asked Questions*, by Henry B. Hogue
- CRS Report RL33009, *Recess Appointments: A Legal Overview*, by Vivian S. Chu
- CRS Report R42329, *Recess Appointments Made by President Barack Obama*, by Henry B. Hogue
- CRS Report RL33886, *Statutory Qualifications for Executive Branch Positions*, by Henry B. Hogue
- CRS Report R40856, *The Debate Over Selected Presidential Assistants and Advisors: Appointment, Accountability, and Congressional Oversight*, by Barbara L. Schwemle et al.

In addition, CRS has a number of tracking reports that compile data on nominations made by the President in each Congress to full-time positions in the executive branch. See, for example, CRS Report R43853, *Presidential Appointments to Full-Time Positions in Executive Departments During the 112th Congress*, by Michael Greene; CRS Report R43859, *Presidential Appointments to Full-Time Positions in Independent and Other Agencies During the 112th Congress*, by Michael Greene; and CRS Report R44043, *Presidential Appointments to Full-Time Positions on Regulatory and Other Collegial Boards and Commissions, 113th Congress*, by Michael Greene and Jared C. Nagel.

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UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN 26 2017

The Honorable Charles E. Grassley
Chairman
Committee on the Judiciary
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

By letter dated December 23, 2016, the Office of Government Ethics (OGE) transmitted to the Committee the financial disclosure report of Jefferson B. Sessions in connection with President Trump's announced intention to nominate Senator Sessions for the position of Attorney General, Department of Justice. Enclosed are a letter from the Department of Justice and a letter from Senator Sessions supplementing Senator Sessions' financial disclosure report and ethics agreement.

We have reviewed this additional submission and have also obtained advice from the Department of Justice concerning any possible conflict in light of its functions and Senator Sessions' proposed duties. Based on the information provided, OGE continues to believe that Senator Sessions is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

A handwritten signature in black ink that reads "Walter M. Shaub, Jr." in a cursive style.

Walter M. Shaub, Jr.
Director

Enclosures



UNITED STATES OFFICE OF
GOVERNMENT ETHICS



DEC 23 2016

The Honorable Charles E. Grassley
Chairman
Committee on the Judiciary
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Jefferson B. Sessions. President-Elect Trump has announced his intention to nominate Senator Sessions for the position of Attorney General, Department of Justice.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

Walter M. Shaub, Jr.
Director

Enclosures

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN 27 2017

The Honorable Johnny Isakson
Chairman
Committee on Veterans' Affairs
United States Senate
Washington, DC 20510

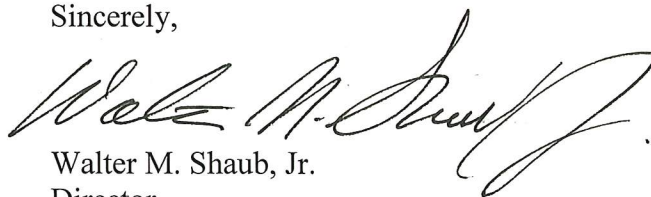
Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by David J. Shulkin, who has been nominated by President Trump for the position of Secretary, Department of Veterans Affairs.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,



Walter M. Shaub, Jr.
Director

Enclosures

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN - 3 2017

The Honorable Bob Corker
Chairman
Committee on Foreign Relations
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Rex W. Tillerson. President-Elect Trump has announced his intention to nominate Mr. Tillerson for the position of Secretary, Department of State.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

Walter M. Shaub, Jr.
Director

Enclosures

Brandon A. Steele

From: Deborah J. Bortot
Sent: Monday, January 16, 2017 8:43 AM
To: 'Maggi, David (Federal)'
Cc: Heather A. Jones; Keith Labeledz
Subject: FW: Public Financial Disclosure Report of Wilbur L. Ross
Attachments: ROSS, Wilber L. finalsenateltr.pdf; Ross Wilbur L. Final 278.pdf; Ross, Wilbur L. finalEA.PDF

From: Teresa L. Williamson
Sent: Sunday, January 15, 2017 9:41 PM
To: Jason Vanbeek
Cc: Deborah J. Bortot; Sandra S. Mabry; Rodrick T. Johnson; Heather A. Jones; (b) (6)
Subject: Public Financial Disclosure Report of Wilbur L. Ross Ann Donaldson

Good Evening,

I've attached the financial disclosure package for Wilbur L. Ross. President-Elect Trump has announced his intention to nominate Mr. Ross for the position of Secretary, Department of Commerce.

The hard copy of the attached documents will be placed at OGE reception for pick up by a Senate rider page per our agreement. If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Please respond to this message to confirm receipt of the attached package, and please let me know in your response whether you will send a Senate rider page.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



JAN 10 2017

The Honorable Lisa Murkowski
Chairman
Committee on Energy and Natural
Resources
United States Senate
Washington, DC 20510

Dear Madam Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Ryan Zinke. President-Elect Trump has announced his intention to nominate Mr. Zinke for the position of Secretary, Department of the Interior.

We have reviewed the report and have obtained advice from the agency concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is an ethics agreement outlining the actions that the nominee will undertake to avoid conflicts of interest. Unless a date for compliance is indicated in the ethics agreement, the nominee must fully comply within three months of confirmation with any action specified in the ethics agreement.

Based thereon, we believe that this nominee is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

Walter M. Shaub, Jr.
Director

Enclosures



AIMS Agency Information Management System

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10090	Resolved	Presidential ethics requirements and blind trusts	Congress	11/14/2016	11/14/2016	Shelley K. Finlayson
10124	Resolved	Employee status of Presidential transition team members	Congress/Tanya Smith	11/16/2016	11/16/2016	Seth Jaffe
10136	Resolved	Nepotism	Congress	11/17/2016	11/17/2016	Shelley K. Finlayson
10150	Resolved	OGE Summit (2017)	Congress	11/18/2016	11/18/2016	Suzanne L. Meyer
10177	Resolved	Nominee ethics process	Congress	11/22/2016	11/22/2016	Shelley K. Finlayson
10251	Resolved	Nominee process	Congress/Mary Raneses - attorney/ethics advisor, GAO	11/30/2016	11/30/2016	Sandra S. Mabry
10370	Resolved	Additional guidance on 207(b)	Congress/Tonia Smith	12/08/2016	12/08/2016	Rachel K. Dowell
10374	Resolved	Questions about nominations process	Congress/Sean Moore - Deputy Chief of Staff	12/08/2016	12/08/2016	Elizabeth D. Horton
10401	Resolved	Status of incoming administration's work with OGE on PAS nominee FD review/Presidential transition	Congress/Kapil Longani - Counsel HOCR (Minority staff)	12/08/2016	12/09/2016	Shelley K. Finlayson
10402	Resolved	Request for information on conflicts of interest	Congress/K. Boyd - Counsel HOCR (Minority staff)	12/09/2016	12/09/2016	Shelley K. Finlayson
10403	Resolved	HOCR Chairman request for meeting with Director	Congress/Jennifer Hemingway - Chief of Staff (HOCR Majority staff)	12/03/2016	12/09/2016	Shelley K. Finlayson
10426	Resolved	Question about guidance on use of nonpublic information pursuant to STOCK Act Sec. 9(a)	Congress/Nick Semanko - Sr. Legal Assistant to Rep. Louise Slaughter	12/13/2016	12/13/2016	Elizabeth D. Horton
10501	Resolved	Financial Disclosure Time Periods	Congress/Jacob Straus, Ph. D - Specialist on the Congress	12/15/2016	12/15/2016	Seth Jaffe
10517	Resolved	Congressional inquiry re: OGE's policy concerning divestiture	Congress/John Coleman	12/19/2016	12/20/2016	Seth Jaffe
10575	Resolved	Congressional Inquiry from Peter Hyun with Senator Feinstein on Senate Judiciary Committee	Congress/Peter Hyun - Counsel U.S. Senate Committee on the Judiciary Office of U.S. Senator Dianne Feinstein	12/21/2016	02/03/2017	Jennifer Matis; Kelsey D. Phipps; Seth Jaffe
10576	Resolved	Congressional Inquiry	Congress/Carly Rush - Counsel	12/27/2016	12/27/2016	Heather A. Jones; Kelsey D. Phipps
10639	Resolved	Question concerning 5 USC § 501(a) - Outside earned income restriction	Congress/Phillip Kibbe - Senate Ethics	01/04/2017	01/04/2017	Seth Jaffe
10649	Resolved	Majority Staff, Senat HSGAC	Congress/Gabrielle D'adamo	01/03/2017	01/03/2017	Heather A. Jones
10670	Resolved	Distinction between "particular matter" and "Particular matter involving specific parties"	Congress/Krista Boyd	01/05/2017	01/05/2017	Seth Jaffe
10672	Resolved	Question re status of reports to HELP Committee	Congress/Carly Rush - Staff for HELP minority	01/05/2017	01/05/2017	Heather A. Jones
10673	Resolved	Provide Status Update on Gen. Kelly	Congress/Anna Laitan - HSGAC Minority staff	01/05/2017	01/05/2017	Heather A. Jones
10674	Resolved	Follow-up on Gen. Kelly Paperwork	Congress/Anna Laitan - Senate HSGAC Minority	01/05/2017	01/05/2017	Heather A. Jones
10675	Resolved	Status update on OMB director	Congress/George Everly - Chief Counsel, Senate Budget	01/05/2017	01/05/2017	Heather A. Jones
10676	Resolved	Status of Ross paperwork	Congress/Brad Torpey - Senate Commerce	01/05/2017	01/05/2017	Heather A. Jones
10682	Resolved	502 authorizations and 208 waivers	Congress/Jacob Straus - Specialist on Congress	01/06/2017	01/06/2017	Seth Jaffe
10683	Resolved	Briefing of House Judiciary Committee Staff (minority)	Congress/Joseph Ehrenkrantz - Legislative Aide	01/06/2017	01/06/2017	Diana Veilleux; Seth Jaffe
10689	Resolved	Congressional inquiry	Congress	01/09/2017	01/09/2017	Jennifer Matis
10692	Resolved	Request for information on nominee ethics review process	Congress/Marybeth Nassif - HFSGG Appropriations Staff	01/09/2017	01/09/2017	Shelley K. Finlayson

ID	Status	Title	Source-Contact	Initiated	Resolved	Assigned
10769	Resolved	Congressional Inquiry from Micol Friedhoff with Senator Markey	Congress/Micol Friedhoff - Director of Oversight and Investigations	01/12/2017	01/12/2017	Kelsey D. Phipps
10773	Resolved	Information on appropriations	Congress/Angela Ohm - Subcommittee staff	01/11/2017	01/12/2017	Shelley K. Finlayson
10774	Resolved	Congressional Inquiry	Congress/Danita Murray - Senate Ag	01/12/2017	01/12/2017	Jennifer Matis
10775	Resolved	Congressional Inquiry	Congress/Ian Nicholson - Senate Finance	01/12/2017	01/12/2017	Jennifer Matis; Seth Jaffe
10796	Resolved	Congressional Inquiry	Congress/Katie Thomas - Sen Sanders Staff (EPW)	01/12/2017	01/12/2017	Jennifer Matis; Seth Jaffe
10907	Resolved	Question about nominee report	Congress/Sean Moore - Small Business Committee staffer	01/18/2017	01/18/2017	Elizabeth D. Horton
10947	Resolved	Question about nominee report	Congress/Kaylyn Minton - Press Secretary	01/18/2017	01/23/2017	Elizabeth D. Horton
10984	Resolved	OGE social media	Congress/Dale Cabaniss	11/30/2016	11/30/2016	Shelley K. Finlayson
10985	Resolved	OGE social media	Congress/Krista Boyd	11/30/2016	11/30/2016	Shelley K. Finlayson
10988	Resolved	Questions regarding incoming Administrations potential conflicts of interest	Congress/Jim Secreto	11/21/2016	11/23/2016	Shelley K. Finlayson
10989	Resolved	Request for DAEO list	Congress/Jim Secreto	12/18/2016	12/19/2016	Shelley K. Finlayson
10990	Resolved	Nominations process	Congress/Nick Bell	01/10/2017	01/10/2017	Shelley K. Finlayson
10991	Resolved	Incoming Administration and Ethics Pledge	Congress/Mary Renesis	01/10/2017	01/10/2017	Shelley K. Finlayson
10993	Resolved	Process for amending a nominee 278 report	Congress/Anna Laitin	01/12/2017	01/12/2017	Shelley K. Finlayson
10994	Resolved	OGE's social media	Congress/Marybeth Nassif	01/18/2017	01/19/2017	Shelley K. Finlayson
10995	Resolved	Nomination process and OGE functions	Congress/Perry Applebaum	12/16/2016	12/16/2016	Shelley K. Finlayson
10996	Resolved	Question regarding OGE's activities	Congress/Krista Boyd	01/12/2017	01/12/2017	Shelley K. Finlayson
10998	Resolved	Oversight of OGE's public communications	Congress/Krista Boyd	01/13/2017	01/13/2017	Shelley K. Finlayson
11001	Resolved	Oversight of OGE for reauthorization/its public communications	Congress/Jennifer Heminway/Jon Skladany Krista Boyd	01/15/2017	01/15/2017	Shelley K. Finlayson
11002	Resolved	Oversight meeting	Congress/Jon Skladany	01/18/2017	01/18/2017	Shelley K. Finlayson
11003	Resolved	OGE oversight	Congress/Margaret Daum	01/19/2017	01/24/2017	Shelley K. Finlayson
11034	Resolved	Congressional Inquiry	Congress/Ian Nicholson - Senate Finance	01/23/2017	01/23/2017	Jennifer Matis
11035	Resolved	Congressional Inquiry	Congress/Carly Rush - Senate Murray, HELP	01/23/2017	01/23/2017	Jennifer Matis; Seth Jaffe
11098	Resolved	Congressional Inquiry	Congress/Ian Nicholson - Senate Finance	01/30/2017	01/31/2017	Jennifer Matis
11103	Resolved	Congressional Inquiry from Micole Friedhoff with Senator Markey	Congress/Micole Friedhoff	01/31/2017	01/31/2017	Elizabeth D. Horton; Kelsey D. Phipps
11135	Resolved	Congressional Inquiry	Congress/Brian Conlan - Legislative Assistant to Sen. Tammy Baldwin	11/30/2016	11/30/2016	Diana Veilleux
11136	Resolved	Certificates of Divestiture	Congress/Megan Ladwig - Legislative Assistant to Sen Tammy Baldwin	01/12/2017	01/12/2017	Diana Veilleux
11137	Resolved	Questions About Tweets	Congress/Mike Eigers - Chief of Staff to Congresswoman Carolyn Maloney	11/30/2016	11/30/2016	Diana Veilleux
11151	Resolved	Congressional Inquiry	Congress/John Ryan - Senate Foreign	02/01/2017	02/01/2017	Jennifer Matis
11156	Resolved	Question about Ethics Pledge	Congress/Donald Sherman - Congressional staffer (McCaskill)	02/02/2017	02/07/2017	Diana Veilleux; Elizabeth D. Horton
11224	Resolved	Comparative transition data	Congress/Jacob Straus - Congressional Research Service	02/02/2017	02/07/2017	Shelley K. Finlayson
11225	Resolved	STOCK Act posting of public financial disclosure reports	Congress/Jacob Straus - Congressional Research Service	02/07/2017	02/07/2017	Shelley K. Finlayson
11273	Resolved	Congressional Inquiry from Joe Gaeta in Sen. Sheldon Whitehouse's office	Congress/Joe Gaeta	02/09/2017	02/09/2017	Kelsey D. Phipps; Seth Jaffe
11328	Resolved	Congressional Inquiry from Roselyn Cohen on the House Homeland Security Committee	Congress/Roselyn Cohen	02/13/2017	02/13/2017	Kelsey D. Phipps
11380	Resolved	18 USC § 207(k)	Congress/Jacob Straus	02/15/2017	02/15/2017	Rachel K. Dowell; Seth Jaffe
11395	Resolved	Congressional Inquiry	Congress/Susanne Grooms - HOCR	02/09/2017	02/09/2017	Jennifer Matis; Patrick Shepherd
11396	Resolved	Congressional Inquiry	Congress/Carly Rush - HELP	02/09/2017	02/09/2017	Jennifer Matis; Seth Jaffe
11400	Resolved	Request for Compilation Book	Congress/Tamar Nedzar	02/16/2017	02/16/2017	Rachel K. Dowell

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Resolved Interaction

Interaction Number: 10689	
Initiation Date*	2017-01-09
Title*	Congressional inquiry
Question*	A staffer for Senator Sanders wanted status updates on several nominee reports and also had questions about ethics agreements.
Update	
Categories*	278s, Ethics Agreements
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	
Last Name	
Title	
Position	
Email	
Phone	
Other Contact Notes	
Assignment	Jennifer Matis
Watching	
Temporary Notes	
created by:	Jennifer Matis

Resolution Information	
Resolution Date*	2017-01-09
Resolution Category*	Resolved
Response*	Answered questions about status and offered to arrange call regarding substantive issues. (Assigned to: Jennifer Matis)
Complexity (level)	(b)(5)
Time Spent (hours)	0-1 hour
Contributor	
reopen	

Interaction History Log	
Closed	01/09/2017 03 24:33 PM by Jennifer Matis
Now Assigned: Jennifer Matis	01/09/2017 03 24:33 PM by Jennifer Matis

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11225	
Initiation Date*	2017-02-07
Title*	STOCK Act posting of public financial disclosure reports
Question*	Why are some public FD reports posted on website and cannot find others? Where is a list of the positions that require public posting?
Update	
Categories*	278s, STOCK Act
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	Jacob
Last Name	Straus
Title	Congressional Research Service
Position	Other Senior Analyst
Email	(b) (6)
Phone	
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-02-07
Resolution Category*	Resolved
Response*	Provided STOCK Act amendment language and statutory citations for EL I and II positions that must be posted. (Assigned to: Shelley K. Finlayson)
Complexity (level)	<div style="border: 1px solid black; padding: 2px;"> [REDACTED] </div> <input type="button" value="Click to view/hide complexity guidelines"/>
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	02/08/2017 09:49 03 AM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	02/08/2017 09:49 03 AM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10998	
Initiation Date*	2017-01-13
Title*	Oversight of OGE's public communications
Question*	Discussion of oversight meeting (at request of the majority) with Chairman and Ranking Member to discuss OGE's public communications and other oversight.
Update	
Categories*	Ethics Program Administration
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	Krista
Last Name	Boyd
Title	
Position	Other Congressional Staff (HOCR Minority)
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-01-13
Resolution Category*	Resolved
Response*	Discussed proposed parameters for a meeting (Assigned to: Shelley K. Finlayson)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
reopen	

Interaction History Log	
Closed	01/24/2017 02:42:45 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:42:45 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11224	
Initiation Date*	2017-02-02
Title*	Comparative transition data
Question*	Does OGE have data comparing its work during prior transitions and the current transition?
Update	
Categories*	278s
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Jacob
Last Name	Straus
Title	Congressional Research Service
Position	Other Senior Analyst
Email	(b) (6)
Phone	
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-02-07
Resolution Category*	Resolved
Response*	Provided a data chart comparing 2009 and 2017 nominee financial disclosure work. (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	More than 8 hours
Contributor	
reopen	

Interaction History Log	
Closed	02/08/2017 09:00 04 AM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	02/08/2017 09:00 04 AM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10990	
Initiation Date*	2017-01-10
Title*	Nominations process
Question*	Variety of questions about legal authorities and PAS FD nominations process
Update	
Categories*	278s, Conflicting Financial Interests, Ethics Agreements
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Nick
Last Name	Bell
Title	
Position	Other Congressional staff (Senator Tillis)
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-01-10
Resolution Category*	Resolved
Response*	Provided responses to substantive and process questions. Provided my and PNB staff contact information for potential follow up. (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/24/2017 02:04 54 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:04 54 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11396	
Initiation Date*	2017-02-09
Title*	Congressional Inquiry
Question*	Explained that we can't speak about particular nominees or particular ethics agreements. Upon request, provided technical assistance with regard to 208, 502, 503.
Update	
Categories*	208, Impartiality
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Carly
Last Name	Rush
Title	HELP
Position	
Email	
Phone	(██████████)
Other Contact Notes	
Assignment	Jennifer Matis, Seth Jaffe
Watching	
Temporary Notes	
created by:	Jennifer Matis

Resolution Information	
Resolution Date*	2017-02-09
Resolution Category*	Resolved
Response*	See above. (Assigned to: Jennifer Matis; Seth Jaffe)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	02/16/2017 08 28:11 AM by Jennifer Matis
Now Assigned: Jennifer Matis Seth Jaffe	02/16/2017 08 28:11 AM by Jennifer Matis

Division(s) assigned: PCD; GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11395	
Initiation Date*	2017-02-09
Title*	Congressional Inquiry
Question*	Upon request, provided technical assistance re 2635.702 and 2638 Subpart E. Note: Marked category of "other" in reference to 2638 Subpart E.
Update	
Categories*	Misuse of Position, Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Susanne
Last Name	Grooms
Title	HOCR
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Jennifer Matis, Patrick Shepherd
Watching	
Temporary Notes	
created by:	Jennifer Matis

Resolution Information	
Resolution Date*	2017-02-09
Resolution Category*	Resolved
Response*	See above (Assigned to: Jennifer Matis; Patrick Shepherd)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	
reopen	

Interaction History Log	
Closed	02/16/2017 08:13 30 AM by Jennifer Matis
Now Assigned: Jennifer Matis Patrick Shepherd	02/16/2017 08:13 30 AM by Jennifer Matis

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10769	
Initiation Date*	2017-01-12
Title*	Congressional Inquiry from Micol Friedhoff with Senator Markey
Question*	Micol Friedhoff, Senator Markey's Director of Oversight and Investigations, requested copies of nominee's ethics agreements going back to the first Bush administration.
Update	
Categories*	Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Micol
Last Name	Friedhoff
Title	Director of Oversight and Investigations
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Kelsey D. Phipps
Watching	
Temporary Notes	
created by:	Kelsey D. Phipps

Resolution Information	
Resolution Date*	2017-01-12
Resolution Category*	Resolved
Response*	I told her that OGE destroys records after their 6 year retention period and that the records within the 6 year period could be found on OGE's website. (Assigned to: Kelsey D. Phipps)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	Daniel L. Skalla
reopen	

Interaction History Log	
Closed	01/12/2017 12 55:20 PM by Kelsey D. Phipps
Now Assigned: Kelsey D. Phipps	01/12/2017 12 55:20 PM by Kelsey D. Phipps

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10090	
Initiation Date*	2016-11-14
Title*	Presidential ethics requirements and blind trusts
Question*	House Oversight and Government Reform (minority)
Update	
Categories*	208, 278s, Trusts
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	
Last Name	
Title	
Position	
Email	
Phone	
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2016-11-14
Resolution Category*	Resolved
Response*	Provided overview of filing and blind trust requirements. Referred to statute and materials on our website. (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="checkbox"/> <input type="checkbox"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	
<input type="button" value="reopen"/>	

2016-11-15, Shelley Finlayson: Resolved Provided overview of filing and blind trust requirements. Referred to statute and materials on our website., 2016-11-15, Shelley Finlayson: Created
Interaction History Log

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10991	
Initiation Date*	2017-01-10
Title*	Incoming Administration and Ethics Pledge
Question*	Does OGE have insight into whether Ethics Pledge will continue/be replaced/be repealed by incoming Administration?
Update	
Categories*	Ethics Pledge (E.O. 13490)
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Mary
Last Name	Rensis
Title	
Position	Other GAO Analyst/counsel
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-01-10
Resolution Category*	Resolved
Response*	No insights to share with regard to incoming Administration's plans for ethics pledge (Assigned to: Shelley K. Finlayson)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
reopen	

Interaction History Log	
Closed	01/24/2017 02:08 32 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:08 32 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10907	
Initiation Date*	2017-01-18
Title*	Question about nominee report
Question*	Staffer on the Senate Small Business Committee would like to set up a conference call between their counsel, the agency ethics reviewer and OGE reviewer to go over questions about nominee report.
Update	
Categories*	278s
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Sean
Last Name	Moore
Title	Small Business Committee staffer
Position	Other
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Elizabeth D. Horton
Watching	
Temporary Notes	
created by:	Elizabeth D. Horton

Resolution Information	
Resolution Date*	2017-01-18
Resolution Category*	Resolved
Response*	I explained to Mr. Moore that OGE does not discuss specific nominees so we would not be able to participate in a telephone conference about a nominee report. I advised him that we could provide general information about reporting requirements and generally explained that in instances where recusal would impede an individual from performing a significant portion of his or her duties, divestiture may be the better-suited remedy. (Assigned to: Elizabeth D. Horton)
Complexity (level)	<input type="text" value="1"/> <input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/18/2017 01:21:46 PM by Elizabeth D. Horton
Now Assigned: Elizabeth D. Horton	01/18/2017 01:21:46 PM by Elizabeth D. Horton

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10402	
Initiation Date*	2016-12-09
Title*	Request for information on conflicts of interest
Question*	What conflict of interest laws apply to the President-elect? How are conflicts addressed for PAS nominees?
Update	
Categories*	208, 278s, Conflicting Financial Interests
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	K.
Last Name	Boyd
Title	Counsel HOCR (Minority staff)
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2016-12-09
Resolution Category*	Resolved
Response*	Provided brief overview. In-depth congressional staff briefing requested. (Assigned to: Shelley K. Finlayson)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	
reopen	

Interaction History Log	
Closed	12/12/2016 08:15 24 AM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	12/12/2016 08:15 24 AM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11103	
Initiation Date*	2017-01-31
Title*	Congressional Inquiry from Micole Friedhoff with Senator Markey
Question*	She wanted to know about specific instances where nominees went above and beyond in their ethics agreements/behaviors.
Update	
Categories*	Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Micole
Last Name	Friedhoff
Title	
Position	Other
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Elizabeth D. Horton, Kelsey D. Phipps
Watching	
Temporary Notes	
created by:	Kelsey D. Phipps

Resolution Information	
Resolution Date*	2017-01-31
Resolution Category*	Resolved
Response*	Elizabeth Horton and Kelsey Phipps called her back and went through ways nominees have gone above and beyond in the past including 1) resigning from uncompensated positions that are not a conflict, 2) over-reporting, 3) certain divestitures, 4) Forfeiting payments, and 5) spouses making agreements (see Chapter 10). (Assigned to: Elizabeth D. Horton; Kelsey D. Phipps)
Complexity (level)	<div style="border: 1px solid black; padding: 2px;"> [REDACTED] </div> <input type="button" value="Click to view/hide complexity guidelines"/>
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/31/2017 11 55:51 AM by Kelsey D. Phipps
Now Assigned: Kelsey D. Phipps Elizabeth D. Horton	01/31/2017 11 55:51 AM by Kelsey D. Phipps

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11151	
Initiation Date*	2017-02-01
Title*	Congressional Inquiry
Question*	Asked for an update on a particular nominee's report. From Sen Cardin's staff.
Update	
Categories*	278s
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	John
Last Name	Ryan
Title	Senate Foreign
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Jennifer Matis
Watching	
Temporary Notes	
created by:	Jennifer Matis

Resolution Information	
Resolution Date*	2017-02-01
Resolution Category*	Resolved
Response*	Explained a little about the normal process. With regard to that particular report, my only information is that the report is currently under review but not yet complete. Reminded that even after it is complete, we need authorization from the WH before we can transmit. (Assigned to: Jennifer Matis)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
reopen	

Interaction History Log	
Closed	02/02/2017 02:49:01 PM by Jennifer Matis
Now Assigned: Jennifer Matis	02/02/2017 02:49:01 PM by Jennifer Matis

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10501	
Initiation Date*	2016-12-15
Title*	Financial Disclosure Time Periods
Question*	If an individual did not serve a full year when the financial disclosure report is due, do they report all of their transactions for the calendar year, or just the time they are holding the covered position?
Update	
Categories*	278s
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	Jacob
Last Name	Straus, Ph. D
Title	Specialist on the Congress
Position	Other Works for the Congressional Research Service
Email	(b) (6)
Phone	
Other Contact Notes	
Assignment	Seth Jaffe
Watching	
Temporary Notes	
created by:	Seth Jaffe

Resolution Information	
Resolution Date*	2016-12-15
Resolution Category*	Resolved
Response*	I left sa voicemail for Jacob explaining some of the financial reporting requirements as well as referring him to the appropriate place on our website where reporting periods are explained in the nominee financial disclosure guide. I also left him vince Salamone's contact information if he had a follow up question since I was going to be put of the office the next day. (Assigned to: Seth Jaffe)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
reopen	

Interaction History Log	
Closed	12/19/2016 11:20:33 AM by Seth Jaffe
Now Assigned: Seth Jaffe	12/19/2016 11:20:33 AM by Seth Jaffe

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10996	
Initiation Date*	2017-01-12
Title*	Question regarding OGE's activities
Question*	Question regarding interest in a public hearing to discuss OGE's work
Update	
Categories*	Conflicting Financial Interests, Ethics Program Administration
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Krista
Last Name	Boyd
Title	
Position	Other Congressional staff (HOCR Minority)
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-01-12
Resolution Category*	Resolved
Response*	Discussed oversight (Assigned to: Shelley K. Finlayson)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/24/2017 02:38 22 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:38 22 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11136	
Initiation Date*	2017-01-12
Title*	Certificates of Divestiture
Question*	Does OGE notify congress when a CD is requested by a nominee for a cabinet position?
Update	
Categories*	CDs
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Megan
Last Name	Ladwig
Title	Legislative Assistant to Sen Tammy Baldwin
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Diana Veilleux
Watching	
Temporary Notes	
created by:	Diana Veilleux

Resolution Information	
Resolution Date*	2017-01-12
Resolution Category*	Resolved
Response*	No process for notifying Congress when a CD has been requested in oGE's procedures. (Assigned to: Diana Veilleux)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	Elaine Newton
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	02/01/2017 06:41:38 PM by Diana Veilleux
Now Assigned: Diana Veilleux	02/01/2017 06:41:38 PM by Diana Veilleux
Closed	02/01/2017 06:41:38 PM by Diana Veilleux
	02/01/2017 06:41:38 PM by Diana Veilleux

Now Assigned: Diana Veilleux	
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Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10670	
Initiation Date*	2017-01-05
Title*	Distinction between "particular matter" and "Particular matter involving specific parties"
Question*	The caller wanted information concerning the distinction between "particular matter" and "particular matter involving specific parties" as well as how that element of 18 USC § 208 works in conjunction with the element of "participating personally and substantially"? She was trying to better understand these concepts in the context of bill drafting and the effects of different language in potential bill language.
Update	
Categories*	207, 208, Impartiality
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Krista
Last Name	Boyd
Title	
Position	Other Staff Member of HOCR committee
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Seth Jaffe
Watching	
Temporary Notes	
created by:	Seth Jaffe

Resolution Information	
Resolution Date*	2017-01-05
Resolution Category*	Resolved – ELPB Consultation
Response*	I referred Krista to and gave advice consistent with: 18 USC § 208; 5 CFR § 2641 201(h)(1)&(2) as well as 5 CFR § 2635.502(b)(3). I also referred Krista to and gave advice consistent with OGE's 2006 advisory on "matter" "particular matter" and "particular matter involving specific parties". (Assigned to: Seth Jaffe)
Complexity (level)	<div style="border: 1px solid black; padding: 2px;"> [REDACTED] </div> <input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/05/2017 05:25:18 PM by Seth Jaffe

Now Assigned: Seth Jaffe	01/05/2017 05:25:18 PM by Seth Jaffe
Closed	01/05/2017 05:25:18 PM by Seth Jaffe
Now Assigned: Seth Jaffe	01/05/2017 05:25:18 PM by Seth Jaffe

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11034	
Initiation Date*	2017-01-23
Title*	Congressional Inquiry
Question*	Could OGE have altered entries on a nominee's 278 after the nominee signed it? Can OGE confirm whether a particular nominee has contacted OGE about amending his report?
Update	
Categories*	278s, E-Filing
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	Ian
Last Name	Nicholson
Title	Senate Finance
Position	
Email	
Phone	
Other Contact Notes	
Assignment	Jennifer Matis
Watching	
Temporary Notes	
created by:	Jennifer Matis

Resolution Information	
Resolution Date*	2017-01-23
Resolution Category*	Resolved
Response*	<p>Explained about Integrity's audit trail and said that OGE recommends that the nominee produce the audit trail to the Committee if he thinks the report was altered after he signed it. Said that OGE looked at the particular audit trail in question and it was not altered after the nominee signed it.</p> <p>With regard to amending, explained the procedure for amending a certified report but said that I couldn't provide info on whether that particular nominee had begun the process or not at this time. (Assigned to: Jennifer Matis)</p>
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/26/2017 09:18:16 AM by Jennifer Matis

Now Assigned: Jennifer Matis	01/26/2017 09:18:16 AM by Jennifer Matis
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Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10682	
Initiation Date*	2017-01-06
Title*	502 authorizations and 208 waivers
Question*	<p>Last evening, I received an inquiry about non-financial conflict of interest waivers under 5 CFR 2635.502. I remember from the Ethics training, our previous discussions, and the regulations codified in August that conflict of interest determinations are made at the agency level.</p> <p>The requester would like to know how often waivers are granted for non-financial matters under this provision. The office is not interested in individual waivers (per say), rather any aggregate statistics that might be available on the number of waivers granted over the years. Is this information that might be available from Integrity? Is there a public report that already contains this information?</p> <p>Thank you in advance for your assistance.</p>
Update	
Categories*	208, Impartiality, Waivers (208 b(1) and (3))
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Jacob
Last Name	Straus
Title	Specialist on Congress
Position	Other Congressional Research Service (CRS)
Email	(b) (6)
Phone	
Other Contact Notes	
Assignment	Seth Jaffe
Watching	
Temporary Notes	
created by:	Seth Jaffe

Resolution Information	
Resolution Date*	2017-01-06
Resolution Category*	Resolved – ELPB Consultation
Response*	I provided advice distinguishing between 5 CFR 2635 502 "authorizations" and 18 USC § 208 "waivers" as well as the procedures for both and the relative roles played by OGE and agencies for each. I also referred Jacob to relevant regulations and statutes. (Assigned to: Seth Jaffe)
Complexity (level)	<input type="text" value=""/> <input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/06/2017 04:20:19 PM by Seth Jaffe
Now Assigned: Seth Jaffe	01/06/2017 04:20:19 PM by Seth Jaffe

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11002	
Initiation Date*	2017-01-18
Title*	Oversight meeting
Question*	Discussion of topics for oversight meeting on 1/23/17 based on 1/12/17 letter.
Update	
Categories*	Ethics Program Administration
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Jon
Last Name	Skladany
Title	
Position	Other Congressional staff (HOCR Majority)
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-01-18
Resolution Category*	Resolved
Response*	Discussed potential oversight topics for meeting on 1/23/17. (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/24/2017 02:51 55 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:51 55 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10683	
Initiation Date*	2017-01-06
Title*	Briefing of House Judiciary Committee Staff (minority)
Question*	Perry Apelbaum, Chief of Staff and Chief Counsel for the House Judiciary Minority Staff wanted to speak with OGE and had some of the questions regarding conflict of interest under your purview.
Update	
Categories*	278s, Conflicting Financial Interests, Ethics Agreements, Outside Activities
Origin Of Interaction*	Meeting
Source*	Non-Agency Congress
First Name	Joseph
Last Name	Ehrenkrantz
Title	Legislative Aide
Position	Other House Committee on the Judiciary (minority staff)
Email	(b) (6)
Phone	
Other Contact Notes	Additionally, staff from Pelosi's office was there, as well as minority staff from the HOCR committee.
Assignment	Diana Veilleux, Seth Jaffe
Watching	
Temporary Notes	
created by:	Seth Jaffe

Resolution Information	
Resolution Date*	2017-01-06
Resolution Category*	Resolved
Response*	We provided a summary of OGE's role in the Executive Branch ethics program as well as answered specific questions concerning OGE's role in the nomination process for PAS nominees. (Assigned to: Diana Veilleux; Seth Jaffe)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/06/2017 04:30:35 PM by Seth Jaffe
	01/06/2017 04:30:35 PM by Seth Jaffe

Now Assigned: Seth Jaffe Diana Veilleux	
Closed	01/06/2017 04:30:35 PM by Seth Jaffe
Now Assigned: Seth Jaffe Diana Veilleux	01/06/2017 04:30:35 PM by Seth Jaffe
Closed	01/06/2017 04:30:35 PM by Seth Jaffe
Now Assigned: Seth Jaffe Diana Veilleux	01/06/2017 04:30:35 PM by Seth Jaffe

Division(s) assigned: PCD; GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10674	
Initiation Date*	2017-01-05
Title*	Follow-up on Gen. Kelly Paperwork
Question*	When did OGE receive the report?
Update	
Categories*	Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Anna
Last Name	Laitan
Title	Senate HSGAC Minority
Position	Other
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Heather A. Jones
Watching	
Temporary Notes	
created by:	Heather A. Jones

Resolution Information	
Resolution Date*	2017-01-05
Resolution Category*	Resolved
Response*	Indicated that we had recently received it, which means in the last two weeks. (Assigned to: Heather A. Jones)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/05/2017 08:02:05 PM by Heather A. Jones
Now Assigned: Heather A. Jones	01/05/2017 08:02:05 PM by Heather A. Jones

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10676	
Initiation Date*	2017-01-05
Title*	Status of Ross paperwork
Question*	When will the Senate Committee receive the report
Update	
Categories*	Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Brad
Last Name	Torpey
Title	Senate Commerce
Position	Other
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Heather A. Jones
Watching	
Temporary Notes	
created by:	Heather A. Jones

Resolution Information	
Resolution Date*	2017-01-05
Resolution Category*	Resolved
Response*	Provided an update on the process. (Assigned to: Heather A. Jones)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/05/2017 08:17:05 PM by Heather A. Jones
Now Assigned: Heather A. Jones	01/05/2017 08:17:05 PM by Heather A. Jones

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10692	
Initiation Date*	2017-01-09
Title*	Request for information on nominee ethics review process
Question*	What is the status of OGE's efforts to timely complete nominee ethics reviews during the Presidential transition? Are there resource issues?
Update	
Categories*	278s
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	Marybeth
Last Name	Nassif
Title	HFSGG Appropriations Staff
Position	Other Congressional Subcommittee Staff
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-01-09
Resolution Category*	Resolved
Response*	Provided update on nominee ethics review process and resource needs. (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value="1"/> <input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours)	0-1 hour
Contributor	Diana Veilleux
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/10/2017 08:00 24 AM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/10/2017 08:00 24 AM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11328	
Initiation Date*	2017-02-13
Title*	Congressional Inquiry from Roselyn Cohen on the House Homeland Security Committee
Question*	Needed help finding John Kelly's 278 on our website.
Update	
Categories*	Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Roselyn
Last Name	Cohen
Title	
Position	Other
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Kelsey D. Phipps
Watching	
Temporary Notes	
created by:	Kelsey D. Phipps

Resolution Information	
Resolution Date*	2017-02-13
Resolution Category*	Resolved
Response*	I helped her find the 278. (Assigned to: Kelsey D. Phipps)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	02/13/2017 09 52:32 AM by Kelsey D. Phipps
Now Assigned: Kelsey D. Phipps	02/13/2017 09 52:32 AM by Kelsey D. Phipps

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10774	
Initiation Date*	2017-01-12
Title*	Congressional Inquiry
Question*	Question about the use of 278 vs 278e vs Integrity.
Update	
Categories*	278s
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Danita
Last Name	Murray
Title	Senate Ag
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Jennifer Matis
Watching	
Temporary Notes	
created by:	Jennifer Matis

Resolution Information	
Resolution Date*	2017-01-12
Resolution Category*	Resolved
Response*	Explained the background of how we moved from 278 to Integrity. Informed her that all nominees now using Integrity. Explained that any changes were not substantive in terms of what information is required - the questions track the statute closely and OGE has little discretion over what info is required. (Assigned to: Jennifer Matis)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
reopen	

Interaction History Log	
Closed	01/12/2017 02:46:02 PM by Jennifer Matis
Create	01/12/2017 02:44:09 PM by Jennifer Matis
Now Assigned: Jennifer Matis	01/12/2017 02:44:09 PM by Jennifer Matis

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10773	
Initiation Date*	2017-01-11
Title*	Information on appropriations
Question*	Confirming recent activities and appropriations information.
Update	
Categories*	Appropriations
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Angela
Last Name	Ohm
Title	Subcommittee staff
Position	Other HFSGG Minority Staff
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-01-12
Resolution Category*	Resolved
Response*	Provided requested information (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/12/2017 02:31:41 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/12/2017 02:31:41 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11400	
Initiation Date*	2017-02-16
Title*	Request for Compilation Book
Question*	Request to send over copies of Compilation of Federal Ethics Laws book.
Update	
Categories*	Ethics Program Administration
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Tamar
Last Name	Nedzar
Title	
Position	Ethics Official
Email	
Phone	(b)
Other Contact Notes	
Assignment	Rachel K. Dowell
Watching	
Temporary Notes	
created by:	Rachel K. Dowell

Resolution Information	
Resolution Date*	2017-02-16
Resolution Category*	Resolved
Response*	Because our printed compilation is from 2013 and out of date, I pointed her instead to https://www.oge.gov/web/oge.nsf/Other+Statutes which has our most up-to-date compilation. Also noted that we are in the process of reviewing changes made during the 114th Congress and will be revising the online version if needed. (Assigned to: Rachel K. Dowell)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
reopen	

Interaction History Log	
Closed	02/16/2017 10:48:42 AM by Rachel K. Dowell
Now Assigned: Rachel K. Dowell	02/16/2017 10:48:42 AM by Rachel K. Dowell

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10993	
Initiation Date*	2017-01-12
Title*	Process for amending a nominee 278 report
Question*	Reports that a nominee's 278 is missing information. Will the nominee refile? What is the process?
Update	
Categories*	278s
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Anna
Last Name	Laitin
Title	
Position	Other Congressional Staff (HSGAC Ranking Member McCaskill)
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-01-12
Resolution Category*	Resolved
Response*	Explained the process for amending an incomplete report that has been certified and transmitted to the Senate. (Assigned to: Shelley K. Finlayson)
Complexity (level)	<div style="border: 1px solid black; padding: 2px;"> [Click to view/hide complexity guidelines] </div>
Time Spent (hours)	1-4 hours
Contributor	
<div style="border: 1px solid black; padding: 2px; display: inline-block;">reopen</div>	

Interaction History Log	
Closed	01/24/2017 02:11 56 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:11 56 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10426	
Initiation Date*	2016-12-13
Title*	Question about guidance on use of nonpublic information pursuant to STOCK Act Sec. 9(a)
Question*	What guidance has OGE issued on use of nonpublic information pursuant to Sec. 9(a) of the STOCK Act?
Update	
Categories*	Misuse of Position, STOCK Act
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Nick
Last Name	Semanko
Title	Sr. Legal Assistant to Rep. Louise Slaughter
Position	Other
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Elizabeth D. Horton
Watching	
Temporary Notes	
created by:	Elizabeth D. Horton

Resolution Information	
Resolution Date*	2016-12-13
Resolution Category*	Resolved
Response*	<p>I explained that OGE did not issue additional guidance on use of nonpublic information pursuant to Sec. 9(a) of the STOCK Act because use of nonpublic information is covered in the Standards of Conduct at §2635.703. I also directed him to the Use of Government Information page on OGE's website: https://www.oge.gov/web/oge/nsf/Use%20of%20Government%20Position%20and%20Resources/FAA177E6770C346E85257E96006364E7?opendocument.</p> <p>Update 12/15/16 - I called the staffer back to inform him about legal advisory LA-16-10 that issues interpretive guidance on the STOCK Act. (Assigned to: Elizabeth D. Horton)</p>
Complexity (level)	<div style="border: 1px solid black; padding: 2px;"> <input type="text"/> </div> <p>[Click to view/hide complexity guidelines]</p>
Time Spent (hours)	0-1 hour
Contributor	
reopen	

Reopen Information	
Date	2016-12-15
Reason	New information

Interaction History Log	
Closed	12/15/2016 01:46 27 PM by Elizabeth D. Horton
Reopen	12/15/2016 01:43 55 PM by Elizabeth D. Horton
Closed	12/13/2016 04:31:14 PM by Elizabeth D. Horton
Now Assigned: Elizabeth D. Horton	12/13/2016 04:31:14 PM by Elizabeth D. Horton

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10374	
Initiation Date*	2016-12-08
Title*	Questions about nominations process
Question*	The congressional staffer would like a briefing on OGE's role in the nominations process.
Update	
Categories*	208, Conflicting Financial Interests, Ethics Agreements, Other, Standards of Conduct - Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Sean
Last Name	Moore
Title	Deputy Chief of Staff
Position	
Email	(b) (6)
Phone	
Other Contact Notes	
Assignment	Elizabeth D. Horton
Watching	
Temporary Notes	
created by:	Elizabeth D. Horton

Resolution Information	
Resolution Date*	2016-12-08
Resolution Category*	Resolved
Response*	Sandy and I provided a briefing via telephone explaining OGE's responsibilities and mission, financial disclosure, and the nominations process. (Assigned to: Elizabeth D. Horton)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	
reopen	

2016-12-08, Elizabeth Horton: Resolved Sandy and I provided a briefing via telephone explaining OGE's responsibilities and mission, financial disclosure, and the nominations process., 2016-12-08, Elizabeth Horton: Created

Interaction History Log	

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10649	
Initiation Date*	2017-01-03
Title*	Majority Staff, Senat HSGAC
Question*	Can we have a status update on DHS Secretary's report
Update	
Categories*	Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Gabrielle
Last Name	D'adamo
Title	
Position	Other Committee staff
Email	
Phone	[REDACTED]
Other Contact Notes	
Assignment	Heather A. Jones
Watching	
Temporary Notes	
created by:	Heather A. Jones

Resolution Information	
Resolution Date*	2017-01-03
Resolution Category*	Resolved
Response*	Provided information on status (Assigned to: Heather A. Jones)
Complexity (level)	[REDACTED] [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	Heather A. Jones
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/04/2017 07:31:50 PM by Heather A. Jones
Now Assigned: Heather A. Jones	01/04/2017 07:31:50 PM by Heather A. Jones

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10775	
Initiation Date*	2017-01-12
Title*	Congressional Inquiry
Question*	Had questions about a specific nominees Ethics Agreement.
Update	
Categories*	Ethics Agreements
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Ian
Last Name	Nicholson
Title	Senate Finance
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Jennifer Matis, Seth Jaffe
Watching	
Temporary Notes	
created by:	Jennifer Matis

Resolution Information	
Resolution Date*	2017-01-12
Resolution Category*	Resolved
Response*	Explained that we can't speak about particular nominees or particular ethics agreements. Provided technical assistance with regard to 208, 502, 503, the Obama Ethics Pledge paragraph 2, and the Ethics Agreement Guide (paragraph 3.2.1). (Assigned to: Jennifer Matis; Seth Jaffe)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	
<input type="button" value="reopen"/>	

Reopen Information	
Date	2017-01-12
Reason	fix typo

Interaction History Log

Closed	01/12/2017 04 37:51 PM by Jennifer Matis
Reopen	01/12/2017 04 37:30 PM by Jennifer Matis
Closed	01/12/2017 02 50:16 PM by Jennifer Matis
Now Assigned: Jennifer Matis Seth Jaffe	01/12/2017 02 50:16 PM by Jennifer Matis

Division(s) assigned: PCD, GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10177	
Initiation Date*	2016-11-22
Title*	Nominee ethics process
Question*	What is the nominee ethics process for high-level executive branch officials?
Update	
Categories*	278s, Ethics Agreements
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	
Last Name	
Title	
Position	
Email	
Phone	
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2016-11-22
Resolution Category*	Resolved
Response*	Provided Nominee Guide and Presidential Transition Team Guide (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

2016-11-22, Shelley Finlayson: Resolved Provided Nominee Guide and Presidential Transition Team Guide, 2016-11-22, Shelley Finlayson: Created
Interaction History Log

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10136	
Initiation Date*	2016-11-17
Title*	Nepotism
Question*	Application of nepotism rules to the President
Update	
Categories*	Nepotism
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	
Last Name	
Title	
Position	
Email	
Phone	
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2016-11-17
Resolution Category*	Resolved
Response*	OSC has jurisdiction over nepotism and MSPB has summaries of relevant cases (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

2016-11-17, Shelley Finlayson: Resolved OSC has jurisdiction over nepotism and MSPB has summaries of relevant cases, 2016-11-17, Shelley Finlayson: Created
Interaction History Log

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10994	
Initiation Date*	2017-01-18
Title*	OGE's social media
Question*	Question regarding OGE's public communications including 11/30 tweets.
Update	
Categories*	Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Marybeth
Last Name	Nassif
Title	
Position	Other Congressional Staff (HFSGG majority staff)
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-01-19
Resolution Category*	Resolved
Response*	Provided responses with regard to OGE's social media, FOIA, public communication and resources. (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/24/2017 02:16:10 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:16:10 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10124	
Initiation Date*	2016-11-16
Title*	Employee status of Presidential transition team members
Question*	We received a call from the House ethics office asking whether presidential transition team members are Government employees?
Update	
Categories*	203, 205, 207, Outside Activities
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Tanya
Last Name	Smith
Title	
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Seth Jaffe
Watching	
Temporary Notes	
created by:	Seth Jaffe

Resolution Information	
Resolution Date*	2016-11-16
Resolution Category*	Resolved
Response*	I told them that Presidential transition team members are not Government employees. I let them know that 18 USC §§ 203 & 205 applies to government employees who volunteer for the transition team and that 18 USC § 207 would apply to former government employees working for the transition team. I referred them to DO-08-04 and DO-00-048 as well as O L.C. opinions from 2000 and 2001. (Assigned to: Seth Jaffe)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

2016-11-16, Seth Jaffe: Resolved I told them that Presidential transition team members are not Government employees. I let them know that 18 USC §§ 203 & 205 applies to government employees who volunteer for the transition team and that 18 USC § 207 would apply to former government employees working for the transition team. I referred them to DO-08-04 and DO-00-048 as well as O L.C. opinions from 2000 and 2001.
2016-11-16, Seth Jaffe: Created

Interaction History Log	

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10672	
Initiation Date*	2017-01-05
Title*	Question re status of reports to HELP Committee
Question*	Please provide status of DeVos, Pudzer, and Price
Update	
Categories*	Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Carly
Last Name	Rush
Title	Staff for HELP minority
Position	Other
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Heather A. Jones
Watching	
Temporary Notes	
created by:	Heather A. Jones

Resolution Information	
Resolution Date*	2017-01-05
Resolution Category*	Resolved
Response*	Provided status update (Assigned to: Heather A. Jones)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/05/2017 07:57:51 PM by Heather A. Jones
Now Assigned: Heather A. Jones	01/05/2017 07:57:51 PM by Heather A. Jones

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10675	
Initiation Date*	2017-01-05
Title*	Status update on OMB director
Question*	When should the Committee expect the ethics paperwork
Update	
Categories*	Other
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	George
Last Name	Everly
Title	Chief Counsel, Senate Budget
Position	Other
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Heather A. Jones
Watching	
Temporary Notes	
created by:	Heather A. Jones

Resolution Information	
Resolution Date*	2017-01-05
Resolution Category*	Resolved
Response*	Provided a status update and overview of process. (Assigned to: Heather A. Jones)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/05/2017 08:05:36 PM by Heather A. Jones
Now Assigned: Heather A. Jones	01/05/2017 08:05:36 PM by Heather A. Jones

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10907	
Initiation Date*	2017-01-18
Title*	Question about nominee report
Question*	Staffer on the Senate Small Business Committee would like to set up a conference call between their counsel, the agency ethics reviewer and OGE reviewer to go over questions about nominee report.
Update	
Categories*	278s
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Sean
Last Name	Moore
Title	Small Business Committee staffer
Position	Other
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Elizabeth D. Horton
Watching	
Temporary Notes	
created by:	Elizabeth D. Horton

Resolution Information	
Resolution Date*	2017-01-18
Resolution Category*	Resolved
Response*	I explained to Mr. Moore that OGE does not discuss specific nominees so we would not be able to participate in a telephone conference about a nominee report. I advised him that we could provide general information about reporting requirements and generally explained that in instances where recusal would impede an individual from performing a significant portion of his or her duties, divestiture may be the better-suited remedy. (Assigned to: Elizabeth D. Horton)
Complexity (level)	<input type="text" value="1"/> <input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/18/2017 01:21:46 PM by Elizabeth D. Horton
Now Assigned: Elizabeth D. Horton	01/18/2017 01:21:46 PM by Elizabeth D. Horton

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10150	
Initiation Date*	2016-11-18
Title*	OGE Summit (2017)
Question*	Good Morning, Would you please send me information on OGE's Summits and Conferences scheduled for 2017? Thank you, TJ T.J. McGrath General Counsel Congressional Budget Office United States Congress
Update	
Categories*	Training
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	
Last Name	
Title	
Position	
Email	
Phone	
Other Contact Notes	
Assignment	Suzanne L. Meyer
Watching	
Temporary Notes	
created by:	Suzanne L. Meyer

Resolution Information	
Resolution Date*	2016-11-18
Resolution Category*	Resolved
Response*	I let him know that OGE will not be hosting a summit in 2017 as agencies will be focusing on the transition then, but will resume hosting one in 2018. (Assigned to: Suzanne L. Meyer)
Complexity (level)	<input type="text" value="1"/> <input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

2016-11-18, Suzanne Meyer: Resolved I let him know that OGE will not be hosting a summit in 2017 as agencies will be focusing on the transition then, but will resume hosting one in 2018.. 2016-11-18, Suzanne Meyer: Created

Interaction History Log	

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10177	
Initiation Date*	2016-11-22
Title*	Nominee ethics process
Question*	What is the nominee ethics process for high-level executive branch officials?
Update	
Categories*	278s, Ethics Agreements
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	
Last Name	
Title	
Position	
Email	
Phone	
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2016-11-22
Resolution Category*	Resolved
Response*	Provided Nominee Guide and Presidential Transition Team Guide (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

2016-11-22, Shelley Finlayson: Resolved Provided Nominee Guide and Presidential Transition Team Guide, 2016-11-22, Shelley Finlayson: Created	
Interaction History Log	

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10251	
Initiation Date*	2016-11-30
Title*	Nominee process
Question*	I received a telephone call from Mary Raneses, an attorney in the GAO ethics office (in office with Tom Armstrong). She asked about our nominee process for a new administration.
Update	
Categories*	278s, Ethics Agreements, Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Mary
Last Name	Raneses
Title	attorney/ethics advisor, GAO
Position	
Email	
Phone	
Other Contact Notes	
Assignment	Sandra S. Mabry
Watching	
Temporary Notes	
created by:	Sandra S. Mabry

Resolution Information	
Resolution Date*	2016-11-30
Resolution Category*	Resolved
Response*	I told her that the nominees will be using the electronic filing system (Integrity). I briefly explained the process when the President sends a nomination to the Senate, i.e., we work with the agency to ensure that the nominee's report is complete, and if any conflicts are identified, they are resolved in an ethics agreement. I referred her to the Presidential Transition section of the OGE web site—in particular, the Guides for the Presidential Transition Team, Nominees, Appendix to the Guide for Nominees, as well as the Nominee Ethics Agreement Guide. <i>(Assigned to: Sandra S. Mabry)</i>
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

2016-11-30, Sandy Mabry: Resolved I told her that the nominees will be using the electronic filing system (Integrity). I briefly explained the process when the President sends a nomination to the Senate, i.e., we work with the agency to ensure that the . 2016-11-30, Sandy Mabry: Created

Interaction History Log	

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10403	
Initiation Date*	2016-12-03
Title*	HOGR Chairman request for meeting with Director
Question*	Explain OGE's recent social media activities and provide an update on the status of the Presidential transition from OGE perspective, including conflict of interest issues.
Update	
Categories*	208, 278s, Conflicting Financial Interests
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	Jennifer
Last Name	Hemingway
Title	Chief of Staff (HOGR Majority staff)
Position	Other Congressional staff
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2016-12-09
Resolution Category*	Resolved
Response*	Exchanged information regarding purpose of meeting. Schedulers attempted to coordinate time to meet. House adjourned and Chairman departed before meeting occurred. (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	Matthew A. Marinec
reopen	<input type="checkbox"/>

Interaction History Log	
Closed	12/12/2016 08:22:11 AM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	12/12/2016 08:22:11 AM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10370	
Initiation Date*	2016-12-08
Title*	Additional guidance on 207(b)
Question*	Does OGE have additional guidance on 18 USC 207(b) (ie, more than what our regulations discuss)?
Update	
Categories*	207
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Tonia
Last Name	Smith
Title	
Position	
Email	(b) (6)
Phone	
Other Contact Notes	
Assignment	Rachel K. Dowell
Watching	
Temporary Notes	
created by:	Rachel K. Dowell

Resolution Information	
Resolution Date*	2016-12-08
Resolution Category*	Resolved
Response*	Referred Tonia to DO-04-023, which goes into more detail on 207(b) than our regs do. (Assigned to: Rachel K. Dowell)
Complexity (level)	<input type="checkbox"/> <input type="checkbox"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
reopen	

2016-12-08, Rachel Dowell: Resolved Referred Tonia to DO-04-023, which goes into more detail on 207(b) than our regs do., 2016-12-08, Rachel Dowell: Created
Interaction History Log

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11001	
Initiation Date*	2017-01-15
Title*	Oversight of OGE for reauthorization/its public communications
Question*	Discussion of an oversight meeting
Update	
Categories*	Ethics Program Administration
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Jennifer Heminway/Jon Skladany
Last Name	Krista Boyd
Title	
Position	Other Congressional Staff (HOCR Majority and Minority)
Email	
Phone	
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-01-15
Resolution Category*	Resolved
Response*	Request meeting be bi-partisan and public. (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value="1"/> <input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/24/2017 02:48:19 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:48:19 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10989	
Initiation Date*	2016-12-18
Title*	Request for DAEO list
Question*	Question regarding accuracy of DAEO list on OGE website
Update	
Categories*	Website
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	Jim
Last Name	Secreto
Title	
Position	Other Congressional staff (HSGAC Ranking Member Carper)
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2016-12-19
Resolution Category*	Resolved
Response*	Provided revised list via link to the website (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/24/2017 01:57 57 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 01:57 57 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10639	
Initiation Date*	2017-01-04
Title*	Question concerning 5 USC § 501(a) - Outside earned income restriction
Question*	Phillip wanted to know whether he was correct that the calculation of the outside earned income limitation of %15 of an EL II should use the the December 2015 E.O. pay tables and not the December 2016 pay tables from the new 2016 E.O. since 5 USC § 501(a) requires use of Executive Level pay in effect on January 1st of the year in consideration. The new pay tables take effect on the 1st pay period of the new year (i.e. 1/8/17).
Update	
Categories*	Other, Outside Activities
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Phillip
Last Name	Kibbe
Title	Senate Ethics
Position	Other
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Seth Jaffe
Watching	
Temporary Notes	
created by:	Seth Jaffe

Resolution Information	
Resolution Date*	2017-01-04
Resolution Category*	Resolved – ELPB Consultation
Response*	I confirmed that his understanding is correct. I also referred him to LA-16-01 on our website and let him know that LA-17-01 will be issued soon also addressing this issue. (Assigned to: Seth Jaffe)
Complexity (level)	<input type="text" value="1"/> <input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/04/2017 10:35:42 AM by Seth Jaffe
Now Assigned: Seth Jaffe	01/04/2017 10:35:42 AM by Seth Jaffe

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10517	
Initiation Date*	2016-12-19
Title*	Congressional inquiry re: OGE's policy concerning divestiture
Question*	1) Does OGE have a written policy on divestment apart from the 1983 guidance? and 2) What is the agency's position on divestment?
Update	
Categories*	208
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	John
Last Name	Coleman
Title	
Position	Other
Email	(b) (6)
Phone	
Other Contact Notes	Mr. Coleman is with the House Judiciary Committee (Majority) - constitution subcommittee. Chief Counsel to the subcommittee - Paul Taylor was also on the call.
Assignment	Seth Jaffe
Watching	
Temporary Notes	
created by:	Seth Jaffe

Resolution Information	
Resolution Date*	2016-12-20
Resolution Category*	Resolved – ELPB Consultation
Response*	<p>Seth Jaffe & Diana Veilleux provided advice consistent with OGE guidance on this topic and we also provided the following requested information in a subsequent email:</p> <p>Good Afternoon</p> <p>We are following up on information discussed during our phone call this morning. Following is a link to OGE's December 12 response to questions posed by Senator Carper in a letter to OGE's Director dated November 20, 2016. In response to your specific questions, we direct your attention to OGE's answer to Question 2 b., on page 10 of OGE's letter.</p> <p>https://www.oge.gov/web/oge.nsf/Congressional%20Correspondence/092B59EFD6EC27608525808800724288/\$FILE/Carper%20response.pdf?open</p> <p>You also requested citations regarding OGE's authorities to provide overall direction of executive branch policies related to preventing conflicts of interest. We direct your attention to sections 402(a), (b)(8) and (c) of the Ethics in Government Act, 5 U.S.C. app.</p> <p>We hope this information is helpful. (Assigned to: Seth Jaffe)</p>
Complexity (level)	<input type="text"/> <p>[Click to view/hide complexity guidelines]</p>
Time Spent (hours)	1-4 hours
Contributor	Diana Veilleux, Seth Jaffe

reopen	
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Interaction History Log	
Closed	12/20/2016 05:15:57 PM by Seth Jaffe
Now Assigned: Seth Jaffe	12/20/2016 05:15:57 PM by Seth Jaffe

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10576	
Initiation Date*	2016-12-27
Title*	Congressional Inquiry
Question*	Carly Rush from Senator Murray's office on the Health, Education, Labor, and Pensions Committee called because Senator Murray is the ranking member on the Health, Education, Labor and Pensions Committee and the Senator would like to know if there is a timeline for getting ethics letters for the nominees for HHS (Price), Education (De Vos), and Labor (Puzder). Rush wanted to know if she needed to be in touch with the DAEO's at the agencies to find that out and what the best way to reach them was.
Update	
Categories*	Other
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Carly
Last Name	Rush
Title	Counsel
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Heather A. Jones, Kelsey D. Phipps
Watching	
Temporary Notes	
created by:	Kelsey D. Phipps

Resolution Information	
Resolution Date*	2016-12-27
Resolution Category*	Resolved
Response*	I spoke with Ms. Rush and informed her of the status of each nominee. (Assigned to: Heather A. Jones; Kelsey D. Phipps)
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	Heather A. Jones
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	12/27/2016 06:04:30 PM by Heather A. Jones
Update	12/27/2016 05:33:30 PM by Heather A. Jones
Create	12/27/2016 05:27:33 PM by Kelsey D. Phipps

Now Assigned: Kelsey D. Phipps Heather A. Jones	12/27/2016 05:27:33 PM by Kelsey D. Phipps
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Division(s) assigned: GCLPD; PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10401	
Initiation Date*	2016-12-08
Title*	Status of incoming administration's work with OGE on PAS nominee FD review/Presidential transition
Question*	How do the incoming administration's efforts compare with recommended best practice/targets set prior to election?
Update	
Categories*	278s, Conflicting Financial Interests
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Kapil
Last Name	Longani
Title	Counsel HOCR (Minority staff)
Position	Other Congressional staff
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2016-12-09
Resolution Category*	Resolved
Response*	Provided phone briefing (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	Deborah J. Bortot, Heather A. Jones, Seth Jaffe
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	12/12/2016 08:10 26 AM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	12/12/2016 08:10 26 AM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10947	
Initiation Date*	2017-01-18
Title*	Question about nominee report
Question*	Staffer on the Senate Small Business Committee wanted to know if a nominee report could be released to the press.
Update	
Categories*	278s
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Kaylyn
Last Name	Minton
Title	Press Secretary
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Elizabeth D. Horton
Watching	
Temporary Notes	
created by:	Elizabeth D. Horton

Resolution Information	
Resolution Date*	2017-01-23
Resolution Category*	Resolved
Response*	The staffer indicated that being shown where to find a report on OGE's website instead of releasing a nominee report to the press answered all of her questions. (Assigned to: Elizabeth D. Horton)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	Heather A. Jones
reopen	

Interaction History Log	
Closed	01/23/2017 12:13 23 PM by Elizabeth D. Horton
Now Assigned: Elizabeth D. Horton	01/23/2017 12:13 23 PM by Elizabeth D. Horton

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11380	
Initiation Date*	2017-02-15
Title*	18 USC § 207(k)
Question*	<p>CRS just received an inquiry about 18 USC 207(k) waivers granted by the president for up to 25 people.</p> <p>(k) (1) (A) The President may grant a waiver of a restriction imposed by this section to any officer or employee described in paragraph (2) if the President determines and certifies in writing that it is in the public interest to grant the waiver and that the services of the officer or employee are critically needed for the benefit of the Federal Government. Not more than 25 officers and employees currently employed by the Federal Government at any one time may have been granted waivers under this paragraph.</p> <p>Are these waivers tracked by OGE? If so, our requester would like to know who is on this waiver list. If they are not tracked by OGE, do you know where they are housed?</p>
Update	
Categories*	207
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Jacob
Last Name	Straus
Title	
Position	Other Researcher from the CRS
Email	
Phone	[REDACTED]
Other Contact Notes	
Assignment	Rachel K. Dowell, Seth Jaffe
Watching	
Temporary Notes	
created by:	Seth Jaffe

Resolution Information	
Resolution Date*	2017-02-15
Resolution Category*	Resolved
Response*	<p>I informed Jacob that there have been no 18 USC 207(k) waivers ever issued. I also indicated that any such waiver would take the form of a certification by the President issued in the FR and that a copy of FR issuance would also have to be sent to the Director of OGE. I said that the FR would be a "repository" for any waivers as well as the issuing agency, while OGE only receives a copy of the certificate. In addition, I pointed out that no waiver can be issued to an employee of the EOP. Finally, I referred Jacob to our regulations on this statutory provision found at: 5 CFR § 2641.301(i). (Assigned to: Rachel K. Dowell; Seth Jaffe)</p>
Complexity (level)	<p>[REDACTED]</p> <p>[Click to view/hide complexity guidelines]</p>
Time Spent (hours)	0-1 hour
Contributor	
reopen	

Interaction History Log	
Closed	02/15/2017 11 23:24 AM by Seth Jaffe
Now Assigned: Seth Jaffe Rachel K. Dowell	02/15/2017 11 23:24 AM by Seth Jaffe

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10575	
Initiation Date*	2016-12-21
Title*	Congressional Inquiry from Peter Hyun with Senator Feinstein on Senate Judiciary Committee
Question*	Peter Hyun of Senator Diane Feinstein's Senate Judiciary staff emailed to ask for technical assistance and said they were looking to address Presidential conflicts of interests and OGE's role in resolving them and also dealing with reporting requirements and documents for OGE's review.
Update	
Categories*	Other
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	Peter
Last Name	Hyun
Title	Counsel U.S. Senate Committee on the Judiciary Office of U.S. Senator Dianne Feinstein
Position	Other
Email	(b) (6)
Phone	
Other Contact Notes	
Assignment	Jennifer Matis, Kelsey D. Phipps, Seth Jaffe
Watching	
Temporary Notes	
created by:	Kelsey D. Phipps

Resolution Information	
Resolution Date*	2017-02-03
Resolution Category*	Resolved
Response*	We attempted several times to accommodate him and schedule a meeting but he never responded to our last communication. (Assigned to: Jennifer Matis; Kelsey D. Phipps; Seth Jaffe)
Complexity (level)	<input type="text" value="1"/> <input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours)	1-4 hours
Contributor	Seth Jaffe
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	02/03/2017 12 06:02 PM by Jennifer Matis
Update	12/29/2016 09 03:56 AM by Jennifer Matis
Update	12/28/2016 11:19:51 AM by Kelsey D. Phipps

Update	12/27/2016 05:18:51 PM by Kelsey D. Phipps
Create	12/27/2016 05:17:52 PM by Kelsey D. Phipps
Now Assigned: Kelsey D. Phipps Jennifer Matis Seth Jaffe	12/27/2016 05:17:52 PM by Kelsey D. Phipps

Division(s) assigned: PCD; GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10673	
Initiation Date*	2017-01-05
Title*	Provide Status Update on Gen. Kelly
Question*	When will the Committee receive Gen. Kelly.
Update	
Categories*	Other
Origin Of Interaction*	Other
Source*	Non-Agency Congress
First Name	Anna
Last Name	Laitan
Title	HSGAC Minority staff
Position	Other
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Heather A. Jones
Watching	
Temporary Notes	
created by:	Heather A. Jones

Resolution Information	
Resolution Date*	2017-01-05
Resolution Category*	Resolved
Response*	Provided status update (Assigned to: Heather A. Jones)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/05/2017 07:59:49 PM by Heather A. Jones
Now Assigned: Heather A. Jones	01/05/2017 07:59:49 PM by Heather A. Jones

Division(s) assigned: GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11035	
Initiation Date*	2017-01-23
Title*	Congressional Inquiry
Question*	Staffers from the Senate HELP committee wanted info on nominee financial disclosure reports and ethics agreement.
Update	
Categories*	207, 208, Impartiality
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Carly
Last Name	Rush
Title	Senate Murray, HELP
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Jennifer Matis, Seth Jaffe
Watching	
Temporary Notes	
created by:	Jennifer Matis

Resolution Information	
Resolution Date*	2017-01-23
Resolution Category*	Resolved
Response*	Provided technical assistance with regard to 502, 503, 207, 208, 5 USC app 102, EO 12731, and STOCK Act sec 11. We explained that we could not provide information or comment on individual reports or ethics agreements, but that we hoped that the general information provided would be helpful. <i>(Assigned to: Jennifer Matis; Seth Jaffe)</i>
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	
<input type="button" value="reopen"/>	

Reopen Information	
Date	2017-01-26
Reason	Inadvertently closed before entry complete.

Interaction History Log	
Closed	01/26/2017 10 53:13 AM by Jennifer Matis
Reopen	01/26/2017 10 52:20 AM by Jennifer Matis
Closed	01/26/2017 10 51:32 AM by Jennifer Matis
Now Assigned: Jennifer Matis Seth Jaffe	01/26/2017 10 51:32 AM by Jennifer Matis
Create	01/26/2017 09 56:21 AM by Jennifer Matis
Now Assigned: Jennifer Matis	01/26/2017 09 56:21 AM by Jennifer Matis

Division(s) assigned: PCD; GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11156	
Initiation Date*	2017-02-02
Title*	Question about Ethics Pledge
Question*	Staffer would like to know the following about the new ethics pledge: 1) Is there no longer a reporting requirement regarding waivers? 2) Is there no longer a reporting requirement specified in Sec. 4(c)(4) of the old pledge? 3) Is there no longer a reporting requirement specified in Sec. 4(c)(5) of the old pledge? 4) Does OGE also keep a copy of signed pledges and waivers?
Update	
Categories*	Ethics Pledge (E.O. 13770)
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Donald
Last Name	Sherman
Title	Congressional staffer (McCaskill)
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Diana Veilleux, Elizabeth D. Horton
Watching	
Temporary Notes	
created by:	Elizabeth D. Horton

Resolution Information	
Resolution Date*	2017-02-07
Resolution Category*	Resolved
Response*	Spoke to D. Sherman and responded that OGE no longer has responsibility to collect and report on ethics pledge compliance and waivers as we did under the former administration's EO on Ethics. Clarified that OGE traditionally did not keep copies of signed ethics pledges; those reside at the agencies. He asked about whether OGE would be issuing guidance about the EO and pledge. Advised that we issued a legal advisory yesterday that was posted on our website. He asked that I email him a link to the legal advisory, which I did. <i>(Assigned to: Diana Veilleux; Elizabeth D. Horton)</i>
Complexity (level)	<input type="text" value="1"/> <input type="button" value="[Click to view/hide complexity guidelines]"/>
Time Spent (hours)	1-4 hours
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	

Closed	02/07/2017 05:31:19 PM by Diana Veilleux
Now Assigned: Elizabeth D. Horton Diana Veilleux	02/07/2017 05:31:19 PM by Diana Veilleux
Update	02/06/2017 01:32 21 PM by Elizabeth D. Horton
Create	02/02/2017 04:30:47 PM by Elizabeth D. Horton
Now Assigned: Elizabeth D. Horton	02/02/2017 04:30:47 PM by Elizabeth D. Horton

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11273	
Initiation Date*	2017-02-09
Title*	Congressional Inquiry from Joe Gaeta in Sen. Sheldon Whitehouse's office
Question*	He had questions about whether OGE was involved in the appointment Carl Icahn in the White House and whether Mr. Icahn is subject to the conflict of interest laws.
Update	
Categories*	Conflicting Financial Interests
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Joe
Last Name	Gaeta
Title	
Position	Other Legislative Director to Senator Sheldon Whitehouse
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Kelsey D. Phipps, Seth Jaffe
Watching	
Temporary Notes	
created by:	Kelsey D. Phipps

Resolution Information	
Resolution Date*	2017-02-09
Resolution Category*	Resolved – ELPB Consultation
Response*	I informed Mr. Gaeta that: 1) OGE is not involved in whether individuals receive an appointment to work in the White House, and 2) we were not involved in any determination of Mr. Icahn's role or, 3) whether he is or should be appointed to a government employee position. I also informed Mr. Gaeta that the Department of Justice's Office of Legal Counsel has previously issued a legal opinion detailing the factors to consider when determining whether a President's informal advisor should be considered an employee (usually an SGE). Mr. Gaeta indicated that he planned to write a letter to the White House inquiring about Mr. Icahn's employee status, and whether O.L.C. had weighed in on this determination. (Assigned to: Kelsey D. Phipps; Seth Jaffe)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	02/09/2017 03:42:35 PM by Seth Jaffe

Now Assigned: Kelsey D. Phipps Seth Jaffe	02/09/2017 03:42:35 PM by Seth Jaffe
Create	02/09/2017 11 50:32 AM by Kelsey D. Phipps
Now Assigned: Kelsey D. Phipps	02/09/2017 11 50:32 AM by Kelsey D. Phipps

Division(s) assigned: PCD; GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10796	
Initiation Date*	2017-01-12
Title*	Congressional Inquiry
Question*	Questions about ethics agreements and recusals.
Update	
Categories*	Ethics Agreements
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Katie
Last Name	Thomas
Title	Sen Sanders Staff (EPW)
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Jennifer Matis, Seth Jaffe
Watching	
Temporary Notes	
created by:	Jennifer Matis

Resolution Information	
Resolution Date*	2017-01-12
Resolution Category*	Resolved
Response*	Large group of staffers on the phone, from the staffs of EPW Committee Members. Explained that we can't speak about particular nominees or particular ethics agreements. Provided technical assistance with regard to 208, 502, 503, the Obama Ethics Pledge paragraph 2, and the Ethics Agreement Guide. Directed them where to find Ethics Agreement Guide and other resources on oge.gov. (Assigned to: Jennifer Matis; Seth Jaffe)
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	1-4 hours
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/12/2017 04:42:01 PM by Jennifer Matis
	01/12/2017 04:42:01 PM by Jennifer Matis

Now Assigned: Jennifer Matis Seth Jaffe	
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Division(s) assigned: PCD, GCLPD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11003	
Initiation Date*	2017-01-19
Title*	OGE oversight
Question*	Questions regarding OGE's functions
Update	
Categories*	Ethics Program Administration
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Margaret
Last Name	Daum
Title	
Position	Other Congressional staffing (HSGAC Ranking Member McCaskill)
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2017-01-24
Resolution Category*	Resolved
Response*	Discussed potential meeting regarding oversight of OGE (Assigned to: Shelley K. Finlayson)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/24/2017 02:54 24 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:54 24 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10995	
Initiation Date*	2016-12-16
Title*	Nomination process and OGE functions
Question*	Variety of questions and desire for a briefing on nominations process and OGE's functions
Update	
Categories*	278s, Conflicting Financial Interests
Origin Of Interaction*	Phone
Source*	Non-Agency Congress
First Name	Perry
Last Name	Applebaum
Title	
Position	Other Congressional Staff (House Judiciary Minority Staff Director)
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Shelley K. Finlayson
Watching	
Temporary Notes	
created by:	Shelley K. Finlayson

Resolution Information	
Resolution Date*	2016-12-16
Resolution Category*	Resolved
Response*	Provided responses and assigned to schedule a briefing for further questions (Assigned to: Shelley K. Finlayson)
Complexity (level)	<input type="text" value="1"/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Interaction History Log	
Closed	01/24/2017 02:22:12 PM by Shelley K. Finlayson
Now Assigned: Shelley K. Finlayson	01/24/2017 02:22:12 PM by Shelley K. Finlayson

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 10527	
Initiation Date*	12/20/2016
Title*	Briefing with Staff of Ranking Member of HSGAC
Question*	Provided a briefing to the staff of the incoming Ranking Member of HSGAC on OGE and the nominee review process. Briefing took place on 12/20/2016 at 2 p.m. at Russel 199. Attendees from OGE were Diana, Sandy and Brandon Steele.
Update	
Categories*	208, E-Filing, Ethics Agreements, Waivers (208 b(1) and (3))
Origin Of Interaction*	Meeting
Source*	Non-Agency Congress
First Name	Sarah
Last Name	Garcia
Title	Senior Counsel
Position	Other Senior Counsel
Email	(b) (6)
Phone	
Other Contact Notes	Roughly 10 staff members were at the meeting at Russel 199.
Assignment	Brandon A. Steele
Watching	
Temporary Notes	
created by:	Brandon A. Steele

Resolution Information	
Resolution Date*	12/20/2016
Resolution Category*	Resolved
Response*	Briefed the staffers on 12/20/2016. (Assigned to: Brandon A. Steele)
Complexity (level)	1 [Click to view/hide complexity guidelines]
Time Spent (hours)	4-8 hours
Contributor	Brandon A. Steele, Diana Veilleux, Sandra S. Mabry
reopen	

Interaction History Log	
Closed	12/21/2016 02:28:18 PM by Brandon A. Steele
Now Assigned: Brandon A. Steele	12/21/2016 02:28:18 PM by Brandon A. Steele

Division(s) assigned: PCD



AIMS Agency Information Management System

Resolved Interaction

Interaction Number: 11098	
Initiation Date*	2017-01-30
Title*	Congressional Inquiry
Question*	Just following up on Congressman Price's OGE-278 form. Has OGE received a written notification from the nominee, with respect to modifying his 278 form? I know you mentioned last time that the process starts with the DAEO, and then heads to OGE after. Have you learned anything new about where the Price modifications could be in the process? We noticed today his 278 form on OGE's website remains the same.
Update	
Categories*	278s
Origin Of Interaction*	Email
Source*	Non-Agency Congress
First Name	Ian
Last Name	Nicholson
Title	Senate Finance
Position	
Email	
Phone	(b) (6)
Other Contact Notes	
Assignment	Jennifer Matis
Watching	
Temporary Notes	
created by:	Jennifer Matis

Resolution Information	
Resolution Date*	2017-01-31
Resolution Category*	Resolved
Response*	Left voicemail saying there is an amendment in process. (Assigned to: Jennifer Matis)
Complexity (level)	<input type="text" value=""/> [Click to view/hide complexity guidelines]
Time Spent (hours)	0-1 hour
Contributor	
<input type="button" value="reopen"/>	

Reopen Information	
Date	2017-01-31
Reason	typo

Interaction History Log	
Closed	01/31/2017 09 08:18 AM by Jennifer Matis
Update	01/31/2017 09 08:07 AM by Jennifer Matis
Reopen	01/31/2017 09 07:59 AM by Jennifer Matis
Closed	01/31/2017 09 07:35 AM by Jennifer Matis
Reopen	01/31/2017 09 07:23 AM by Jennifer Matis
Closed	01/31/2017 09 07:06 AM by Jennifer Matis
Now Assigned: Jennifer Matis	01/31/2017 09 07:06 AM by Jennifer Matis

Division(s) assigned: PCD